



# City of Galena, Illinois

## AGENDA

REGULAR CITY COUNCIL MEETING

MONDAY, DECEMBER 23, 2019

6:30 P.M. – CITY HALL 101 GREEN STREET

ITEM	DESCRIPTION
19C-0505.	Call to Order by Presiding Officer
19C-0506.	Roll Call
19C-0507.	Establishment of Quorum
19C-0508.	Pledge of Allegiance
19C-0509.	Reports of Standing Committees
19C-0510.	Citizens Comments <ul style="list-style-type: none"> <li>• Not to exceed 15 minutes as an agenda item</li> <li>• Not more than 3 minutes per speaker</li> </ul>

### PUBLIC HEARINGS

None.

### LIQUOR COMMISSION

None.

### CONSENT AGENDA CA19-26

ITEM	DESCRIPTION	PAGE
19C-0511.	Approval of the Minutes of the Regular City Council Meeting of December 9, 2019	3-7
19C-0512.	Approval of Budget Amendment BA20-08 for Motor Reconditioning and Sludge Removal at the Wastewater Treatment Plant	8-9
19C-0513.	Approval of Request by Police Officer Keith Brandel for Secondary Employment with P4 Security Solutions	10-11

**UNFINISHED BUSINESS**

ITEM	DESCRIPTION	PAGE
19C-0499.	Second Reading and Possible Approval of an Ordinance Amending the Zoning Map to Rezone Seven Parcels in Unit 2 of the Galena Scenic Meadows Subdivision from Limited Agricultural to Planned Industrial and a Parcel in the Cobblestone Crossing Subdivision from Medium Density Residential to Limited Agriculture	12

**NEW BUSINESS**

ITEM	DESCRIPTION	PAGE
19C-0514.	Discussion and Possible Action on Marketing Initiatives and Event Proposals by Galena Country Tourism, Including: Amazing for All Bridge, American Flag Stairs, Field of Dreams Interactive Walking Tour, Field of Dreams at Depot Park, Food Truck Fight at Depot Park, and Farm-to-Table Dinner on Main Street	13-21
19C-0515.	Discussion and Possible Action an Engaging Baird Public Finance as Managing Underwriter for the Refunding of the City of Galena Series 2010 General Obligation Bonds	22-40
19C-0516.	Discussion and Possible Action an Engaging Chapman and Cutler, LLC as Bond Counsel for the Refunding of the City of Galena Series 2010 General Obligation Bonds	41-51
19C-0517.	Discussion and Possible Action on the Capital Improvement Plan for Fiscal Years 2021-25	52-94
19C-0518.	Warrants	95-101
19C-0519.	Alderspersons' Comments	
19C-0520.	City Administrator's Report	
19C-0521.	Mayor's Report	
19C-0522.	Motion for Executive Session Including: <ul style="list-style-type: none"> <li>• Section 2 (c) (11) – Pending, probable or imminent litigation.</li> <li>• Section 2 (c) (21) Review of Executive Session Minutes</li> </ul>	
19C-0523.	Adjournment	

**CALENDAR INFORMATION**

BOARD/COMMITTEE	DATE	TIME	PLACE
Zoning Board of Appeals	Wed. January 8	6:30 P.M.	City Hall, 101 Green Street
City Council	Mon. January 13	6:30 P.M.	City Hall, 101 Green Street
Historic Preservation Comm.	Thurs. January 2	6:30 P.M.	City Hall, 101 Green Street

Please view the full City of Galena Calendar at [www.cityofgalena.org](http://www.cityofgalena.org)

Posted: Thursday, December 19, 2019 at 4:00 p.m. Posted By:

**MINUTES OF THE REGULAR CITY COUNCIL MEETING OF 09 DECEMBER 2019**

**19C-0486 – CALL TO ORDER**

Mayor Renner called the regular meeting to order at 6:30 p.m. in the Board Chambers at 101 Green Street on 09 December 2019.

**19C-0487 – ROLL CALL**

Upon roll call, the following members were present: Allendorf, Bernstein, Kieffer, McCoy, Westemeier, Renner

Absent: Hahn

**19C-0488 – ESTABLISHMENT OF QUORUM**

Mayor Renner announced a quorum of Board members present to conduct City business.

**19C-0489 – PLEDGE OF ALLEGIANCE**

The Pledge was recited.

**19C-0490 - REPORTS OF STANDING COMMITTEE**

No reports.

**19C-0491 – CITIZENS COMMENTS**

None.

**CONSENT AGENDA CA19-25**

**19C-0492 – APPROVAL OF THE MINUTES OF THE REGULAR CITY COUNCIL MEETING OF NOVEMBER 25, 2019**

**19C-0493 – APPROVAL OF EMERGENCY SNOW REMOVAL CONTRACT WITH LOUIE'S TRENCHING SERVICE**

**19C-0494 – APPROVAL OF THE CITY OF GALENA SOCIAL MEDIA POLICY**

**19C-0495 – APPROVAL OF CONTRACT FOR SOCIAL MEDIA RECORD RETENTION AND RETRIEVAL SERVICES WITH PAGEFREEZER SOFTWARE**

**19C-0496 – APPROVAL OF REQUEST FOR SECONDARY EMPLOYMENT BY OFFICER DEVIN HALSTEAD**

**19C-0497 – ACCEPTANCE OF OCTOBER 2019 FINANCIAL REPORT**

**Motion:** Kieffer moved, seconded by Allendorf, to approve Consent Agenda, CA19-25.

**Discussion:** None.

**Roll Call:** AYES: Bernstein, Kieffer, McCoy, Westemeier, Allendorf, Renner  
NAYS: None  
ABSENT: Hahn

The motion carried.

**UNFINISHED BUSINESS**

**19C-0474 – SECOND READING OF AN ORDINANCE PROVIDING FOR THE VACATION OF MOREL COURT IN THE SCENIC MEADOWS SUBDIVISION**

**Motion:** Allendorf moved, seconded by Bernstein, to approve the second reading of an ordinance providing for the vacation of Morel Court in the Scenic Meadows Subdivision, 19C-0474.

**Discussion:** None.

**Roll Call:** AYES: Kieffer, McCoy, Westemeier, Allendorf, Bernstein, Renner  
NAYS: None  
ABSENT: Hahn

The motion carried.

**19C-0479 – SECOND READING AND POSSIBLE APPROVAL OF AN ORDINANCE TO ACCEPT OWNERSHIP BY DEDICATION OF THE PUBLIC STREETS, SANITARY SEWER SYSTEM, WATER DISTRIBUTION SYSTEM, AND STORM WATER SYSTEM IN UNIT 3 OF THE GALENA SCENIC MEADOWS SUBDIVISION IN THE CITY OF GALENA**

**Motion:** Westemeier moved, seconded by Kieffer to approve an ordinance to accept ownership by dedication of the public streets, sanitary sewer system, water distribution system and storm water system in Unit 3 of the Galena Scenic Meadows Subdivision in the City of Galena, 19C-0479.

**Discussion:** None

**Roll Call:** AYES: Kieffer, Bernstein, McCoy, Westemeier, Allendorf, Renner  
NAYS: None  
ABSENT: Hahn

The motion carried.

**19C-0480 – SECOND READING AND POSSIBLE APPROVAL OF THE 2019 TAX LEVY ORDINANCE FOR TAXES TO BE COLLECTED IN 2020**

**Motion:** McCoy moved, seconded by Bernstein, to approve the 2019 Tax Levy Ordinance for taxes to be collected in 2020.

**Discussion:** None

**Roll Call:** AYES: McCoy, Allendorf, Bernstein, Renner  
NAYS: Westemeier, Kieffer  
ABSENT: Hahn

The motion carried.

**NEW BUSINESS**

**19C-0498 – DISCUSSION AND POSSIBLE ACTON ON THE EASTERN GATEWAY PROPOSAL BY THE GALENA PRESERVATION ACTION ORGANIZATION**

Jim Consedine, on behalf of the Galena Preservation Action Organization, presented a summary of potential plans for transportation related improvements at Galena's eastern gateway.

Plans could include the following:

- Burying the overhead wires on the north side of US 20/IL 84 at Gateway Park that block the views of Galena for eastbound traffic.
- Creating a turnout, overlook or viewing area alongside US20/IL 84 at Gateway Park and potentially Horseshoe Mound
- A traffic analysis of US 20/IL 84 from West Powder Hill House Road to Mount Hope Road to determine if safety improvements are warranted.
- Creating a safe crossing of US20/IL 84 for bicycles and pedestrians. This would be part of a larger plan for a trail from Buehler Preserve to Horseshoe Mound.
- Natural restoration of the area within the Illinois Department of Transportation (IDOT) right-of-way adjacent to Horseshoe Mound.
- Installing signage to direct travelers to Gateway Park and the Horseshoe Mound Preserve. This could also include new "Welcome to Galena" and "Thanks for Visiting Galena" signs.

Consedine advised the next steps would be:

- Convene a meeting of stakeholders to develop a concept plan for the project.
- Meet with the Illinois Department of Transportation (IDOT) District 2 to get feedback and gain their support.
- Present the results to the City Council and request approval to proceed.

Potential future actions by the City would be as follows:

- Agree to be a project sponsor.
- Prepare a Phase I Engineering Project Development Report. There likely would be costs associated with preparing this report. However, the concept plan needs to be developed and reviewed with IDOT before a reasonable cost estimate for the DR can be made.
- Prepare an ITEP grant application.
- Secure local match – 20% of project cost. The local match could come from other grant funds or local contributions of land, materials or services.
- Update the Comprehensive Plan to address the future of Gateway Park.

Consedine stated he has been to the Jo Daviess County Board. They had good discussions and there were not objections. They did not vote on the matter. They urged the group to keep going and see what the city says and bring back a proposal.

Renner stated this is all in the preliminary stages. The City would need more information down the road. He feels dollar wise the city's money is tied up and it could take a long time to get a grant.

Consedine advised at this time he is just looking to have a meeting between the City of Galena, Jo Daviess County and the Jo Daviess County Conservation Foundation. They would like to work with them to put together something on paper with different concepts and from there talk to IDOT to see if it is acceptable.

Renner felt it would be premature on the city's part to jump in with both feet. We would need dollar amounts. Consedine stated before he can get dollar amounts, he would like to have feedback from the stakeholders. It is hard to do dollar amounts at this time until the concept plan is approved.

Council agreed to postpone action until such time as there is more information available.

Bernstein requested, as they move forward, they keep the city advised of progress and thinking.

**Motion:** Kieffer moved, seconded by Westemeier, to table action until such time as they come back with more information.

**19C-0499 – FIRST READING OF AN ORDINANCE AMENDING THE ZONING MAP TO REZONE SEVEN PARCELS IN UNIT 2 OF THE GALENA SCENIC MEADOWS SUBDIVISION FROM LIMITED AGRICULTURAL TO PLANNED INDUSTRIAL AND A PARCEL IN COBBLESTONE CROSSING SUBDIVISION FROM MEDIUM DENSITY RESIDENTIAL TO LIMITED AGRICULTURE**

**Motion:** Allendorf moved, seconded by Westemeier, to approve the first reading of an Ordinance amending the Zoning Map to rezone seven parcels in Unit 2 of the Galena Scenic Meadows Subdivision from Limited Agricultural to Planned Industrial and a parcel in Cobblestone Crossing Subdivision from Medium Density Residential to Limited Agriculture, 19C-0499.

**Discussion:** None.

**Roll Call:** AYES: Allendorf, Bernstein, Kieffer, McCoy, Westemeier, Renner  
NAYS: None  
ABSENT: Hahn

The motion carried.

**19C-0500 – WARRANTS**

**Motion:** Kieffer moved, seconded by Allendorf, to approve the Warrants as presented, 19C-0500.

**Discussion:** None.

**Roll Call:** AYES: McCoy, Westemeier, Allendorf, Bernstein, Kieffer, Renner  
NAYS: None  
ABSTAIN: Hahn

The motion carried.

**19C-0501 – ALDERPERSONS' COMMENTS**

**Fire in the Sky** – Allendorf reported there was very good attendance at the weekend events. It is good to promote these types of events in these low tax months.

**Kayak Park** – Bernstein stated she has noticed in the reports that we have to keep postponing work on the Kayak Park because of flooding. She questions if the City needs to take another look at it. If it continues to flood there, where will the water go if we had more concrete.

**Energy Districts** – Bernstein reported there has been a lot of interest in the area with regard to creating energy districts. Bernstein has attended two meetings. The main reason for the districts would be to keep energy dollars local and reduce fossil fuel emissions. The next meeting will be held on January 23<sup>rd</sup> at 10:30 a.m. The venue is yet to be determined. They hope to find people at the meeting to serve on the board. Bernstein will let everyone know where the meeting will be held.

**Fire in the Sky** - McCoy stated he enjoyed the fireworks and the music over the weekend.

**19C-0502 – CITY ADMINISTRATOR’S REPORT**

**Glass Recycling** – Moran reported we are getting very close on glass recycling. The dumpster is in Galena and should be put in place at Piggly Wiggly sometime this week. Moran thanked Tammy at Tammy’s Piggly Wiggly and Ripple Glass for their involvement. The Dubuque Solid Waste Management Agency is ready to accept glass. They have the agreement with Ripple Glass. We are a partner in the background.

Moran thanked Pam Bernstein for bringing the concept forward and pursuing it.

**Passenger Rail** – Moran advised, Alderman Chris Allendorf, participated in a meeting on passenger rail. It was a good meeting. The next step is putting together a feasibility study of the route. The Dubuque Council of Governments will do that with guidance from the committee.

**Capital Improvement Plan** – The Capital Improvement Plan will be presented at the next meeting.

**19C-0503 – MAYOR’S REPORT**

Mayor Renner stated the weekend activities with the music and fireworks were very nice. He reminded everyone this weekend will be luminaria and living windows.

**19C-0504 – ADJOURNMENT**

**Motion:** Bernstein moved, seconded by Allendorf to adjourn.

**Discussion:** None.

**Roll Call:** AYES: Kieffer, McCoy, Westemeier, Allendorf, Bernstein, Renner  
NAYS: None  
ABSENT: Hahn

The motion carried.

The meeting adjourned at 6:51 p.m.

Respectfully submitted,



Mary Beth Hyde  
City Clerk

City of Galena, Illinois

Budget Amendment No. 20-08  
Fiscal Year 2019-20



Item No.	Line Item Title	Line Item No.	Beginning Balance	Increase	Decrease	Ending Balance
1	Equipment Replacement Fund (Sewer)	52.43.850.15	\$ 58,595	\$ 7,500		\$ 66,095
2	Contingency (Sewer)	52.49.929.00	\$ 5,000	\$ 10,000		\$ 15,000

Justification:

Item No.	Description
1	One of the motors that drives an aerator in one of the oxidation ditches at the wastewater plant requires reconditioning to operate. Several quotes were obtained and the low quote was from Energetics from Dubuque, Iowa. The proposed amendment adds \$7,500 to the Sewer Fund equipment repair line item to cover the expense. The year-end fund balance for the Sewer Fund is projected as \$1.15 million after the expense described in this amendment.
2	The treatment plant has two large concrete oxidation tanks. One of the tanks is in operation at all times. This fall, US Water transferred the sewerage from one tank to the other. After transfer, the first tank had to be cleaned with a long-reach excavator and the waste product hauled and disposed of at the Dubuque landfill. This budget amendment adds \$10,000 to the sewer budget to cover the cost of the labor, equipment and disposal fees for the project. The year-end fund balance for the Sewer Fund is projected as \$1.15 million after the expense described in this amendment.

Effect of Budget Amendment on Budget:

Fund	Approved Budget	Budget After Previous Amendments	Budget After Proposed Amendment
General Fund Revenues	\$ 4,015,455	\$ 4,025,355	\$ 4,025,355
General Fund Expenses	\$ 4,000,630	\$ 4,254,130	\$ 4,261,630
<b>General Surplus/(Deficit)</b>	<b>\$ 14,825</b>	<b>\$ (228,775)</b>	<b>\$ (236,275)</b>
Other Fund Revenues	\$ 5,621,560	\$ 5,700,060	\$ 5,951,160
Other Fund Expenses	\$ 5,416,895	\$ 5,746,935	\$ 6,643,630
<b>Other Fund Surplus/(Deficit)</b>	<b>\$ 204,665</b>	<b>\$ (46,875)</b>	<b>\$ (692,470)</b>
<b>Total Budget Surplus/(Deficit)</b>	<b>\$ 219,490</b>	<b>\$ (275,650)</b>	<b>\$ (928,745)</b>

Approved by City Council on \_\_\_\_\_

Mark Moran  
Budget Officer





311 N. Bench Street, Galena, IL 61036-1809

**Chief of Police**  
Lori Huntington

(815) 777-2131  
FAX (815) 777-4736

TO: Mayor Renner  
FROM: Chief Lori Huntington *LH*  
DATE: December 16, 2019  
RE: part time employment Keith Brandel

This memo is let you know I do not have an issue with Officer Keith Brandel taking a part time job with P4 Security Solutions. I have spoken with Officer Brandel and this will not affect his full time employment with the Galena Police Department in any way.

Thank you.

**GALENA POLICE DEPARTMENT**

**311 N. Bench Street**  
**Galena, IL 61036-2332**

**Chief of Police**  
**Lori Huntington**

**(815) 777-2131**  
**FAX (815) 777-4736**

12-11-19

To: Chief Lori Huntington

From: Officer Keith Brandel

Re: Part-time employment

Chief Huntington,

I am submitting this request to see outside part-time employment. The employment I am seeking is through P4 Security Solutions. They are a security company that employs off duty police officers. Ron Shutts, from the Jo Daviess County Sheriff's Department, referred me to them.

As with my past part-time employment, it will not interfere with my duties for the City of Galena or the Galena Police Department.

If you have any questions, please let me know.

Respectfully Submitted,



Officer Keith Brandel

Ordinance #O-19-\_\_\_

**AN ORDINANCE AMENDING  
THE ZONING MAP OF THE CITY OF GALENA**

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**BE IT ORDAINED** by the City Council of the City of Galena, Jo Daviess County, Illinois as follows:

**SECTION I:** The official Zoning Map of the City of Galena shall hereby be amended to change the zoning on approximately 11.19 acres, Parcel 1: 13-001-106-13, Lots 1 through 6 in Block 2 of Galena Scenic Meadows Unit 2; and Lot 7 in Block 1 of Galena Scenic Meadows Unit 2 in the City of Galena, Jo Daviess County, Illinois (including the Morel Court right-of-way to be vacated by separate ordinance) from Limited Agricultural district to Planned Industrial district.

**SECTION II:** The official Zoning Map of the City of Galena shall hereby be amended to change the zoning on approximately 7.5 acres Parcel 2: 13-000-105-15, Lot 5, Block 7 of the Cobblestone Crossing Plat 2, Section 12, T28N, R1W, Galena, Illinois from Medium Density Residential district to Limited Agricultural district.

**SECTION III:** All other provisions of the Zoning Ordinance and the Zoning Map shall remain in full force and effect.

**SECTION IV:** All ordinances or parts of ordinances in conflict with the provisions of this ordinance are hereby repealed.

**SECTION V:** This ordinance shall be in full force and effect upon adoption.

**SECTION VI:** Passed on this \_\_\_<sup>th</sup> day of \_\_\_\_\_, A.D. 2019, in open Council.

**AYES:**

**NAYS:**

**ATTEST:**

\_\_\_\_\_  
Terry Renner, Mayor

\_\_\_\_\_  
Mary Beth Hyde, City Clerk



ROSE NOBLE, PRESIDENT/CEO  
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[RNOBLE@VISITGALENA.ORG](mailto:RNOBLE@VISITGALENA.ORG)  
[WWW.VISITGALENA.ORG](http://WWW.VISITGALENA.ORG)

Mark Moran, City Administrator  
City of Galena, 101 Green Street  
Galena, IL 61036, 815.777.1050

Hello Mark,

I writing to submit a series of topics to be presented at the upcoming City Council meeting, Mon., Dec. 23, 2019. If the agenda allows, I would like this request to be considered. Galena Country Tourism (GCT) is requesting approval for a series of events and happenings scheduled for Summer 2020, specifically June-Aug. Below is a brief description of each item. Attached is a more detailed summary. If selected to be on the agenda next week, I plan to speak during public comment and be available to the Council for any questions they might have.

**Stair activation:**

- #AmazingForAll Bridge – A pop-up campaign installation on the Pedestrian Bridge, June 1-28.
- American Flag Stairs – A pop-up campaign installation on Green Street Stairs, June 28-July 19.

**Field of Dreams activation:**

- Interactive walking tour – A pop-up campaign installation along Main Street in partnership with select Main Street businesses, June 1-Aug. 31.
- Field of Dreams event – A two-day event in Depot Park, Sun., Aug. 9 and Mon., Aug. 10, in partnership with the Galena Historical Society.

**New Destination Experiences:**

- Food Truck Fight – A one-day, family-friendly event in Depot Park, Sat., June 6. Featuring traveling and local food vendors. Portion of proceeds to local charities.
- Farm-to-Table on Main – A one-day, high-end culinary event on Main St., Thu., Aug. 6. Featuring celebrity chefs and hosted by a world-renowned organization. Includes local farmers and makers. Portion of proceeds to local charities.

Galena Country Tourism (GCT) will organize all logistics, including efforts to secure permits, hire and organize security/safety as well as cover installation costs. We will erect, remove and monitor installations, including all fees associated with each phase. Each event proposed will include a community relations plan to inform residents and build local support. Each item proposed will be matched with a digital promotion campaign to market to visitors.

GCT aligns their marketing efforts with the Illinois Office of Tourism (IOT). We believe the timing and experiences of the proposed activations and events will shine light on our area as one of the leading small towns in America. These will prove to the IOT that we are solid marketing partners and therefore build a stronger relationship between both brands - a partnership we consider crucial in tourism and economic growth for Galena Country.

Thank you for your consideration to be included on the agenda. Please reference the attached summary. I am happy to answer any questions you or the Council may have.

Rose Noble, President/CEO  
Galena Country Tourism

ADDRESS: 101 Bouthillier St.  
Galena, IL 61036-2209  
EMAIL: [admin@visitgalena.org](mailto:admin@visitgalena.org)  
PHONE: 815.776.9200

*Galena Country Tourism is the official Destination Management Organization for the City of Galena & Jo Daviess County.*

# Stair Activation

## Pop-up campaign installations



**WHO:** Galena Country Tourism (GCT) will organize all efforts secure products, erect and remove the installations, and monitor its duration, including all fees associated with each phase of this project.

**WHAT:** Approval to reinstall **#AmazingForAll** flags and removable, recyclable decals on the pedestrian bridge and pedestrian bridge stairs. This installation will be exactly like last year, with industry-standard, 3'x5' flags and residue-free, removable decals.

- Approval to install similar decals, in the design of an **American Flag**, on the Green Street stairs. Decals will be removable and residue-free.

**WHEN:** **#AmazingForAll** will run from June 1-28. **American Flag Stairs** will run from June 28-July 19.

During that time frame, the GCT staff will monitor the install and handle any needed upkeep or adjustments - although we expect none. The time frame is meant to be a “surprise,” short-lived install to draw attention and curiosity amongst visitors, residents and press. We believe this “surprise” will draw additional exposure in terms of public relation efforts within our bureau, community and state-wide campaign.

**WHY?:** GCT aligns their marketing efforts with the Illinois Office of Tourism. A large and successful campaign, initiated by the state in 2017, is **#AmazingForAll**. This campaign embraces difference and diversity and welcomes everyone to experience the places and people that make it so amazing, including LGBTQ travelers. Galena has a rich history of inclusion and with June being “Pride Month” many destinations ramp up their LGBTQ campaigns during this time. Last-year, our **#AmazingForAll** install was a huge success within the community and many locals voiced their support via social media.

Another common accolade Galena receives is “Best Small Town” in Illinois based on our small town America look/feel and our community’s welcoming spirit. We believe the **American Flag** Green Street stair activation will boost our media presence during the month of July, capturing America small town accolades and many visitor and media images of the install.

### Last year’s bridge install:

Numerous community support posts & media pickups.



### Mock-up of Green St. install:

A digital rendering of the proposed activation.



# Field of Dreams activation

## Pop-up campaign and two-day event



**WHO:** Galena Country Tourism (GCT) will organize all logistics, including efforts to secure permits, hire and organize security and safety as well as cover installation costs. We will erect, remove and monitor installations, including all fees associated with each phase. The two-day event will include a community relations plan to inform residents and build local support and a digital promotion campaign to market to visitors.

**WHAT:** Approval to install no more than 10 removable sidewalk decals and possible building decals, with owner permission, in front of locations notably captured in the *Field of Dreams* film.

- Approval to hang a Chisholm, MN banner, above Main Street, near 237 S Main, as featured in the film.
- Approval to erect a phone booth photo-opt, pending ADA ordinances, at the corner of Green and Main St.
- Approval to park a 1963 Mercury Monterey near 116 S. Main St, from Aug. 9-15, as featured in the film.
- Approval to reserve Depot Park for a two-day event, Sun., Aug. 9-Mon. Aug. 10, in partnership with the Galena Historical Society. This family-friendly event will feature live music, local food & drink, a screening of *Field of Dreams* and more.

**WHEN:** Pop-up campaign will run from June-August. Field of Dreams event at Depot will run Aug. 9-10.

During that time frame, the GCT staff will monitor the install and handle any needed upkeep or adjustments - although we expect none. The time frame is meant to be "short-lived" to draw attention and curiosity amongst visitors, residents and press. We believe this will draw additional exposure in terms of public relation efforts within our bureau, community and state-wide campaign, including all coverage of the MLB game taking place at the Field of Dreams on Thu., Aug. 13.

**WHY?:** Galena pitch-hit for Chisholm, MN in the filming of the fan-favorite, *Field of Dreams*. Our intention is to bring the scenes from the movie back to life, creating an interactive experience that includes a coordinating web presence, digital and hard-copy maps, a detailed press outreach campaign and more.

The two-day event will take place on the Sunday and Monday, prior to the MLB event, to extend the weekend experience for visitors. This will potentially boost more lodging bookings. A screening of the film will draw visitors and locals alike to experience Galena through the eyes of Universal Studios, giving viewers a glimpse into Galena's past while celebrating the beautiful backdrop of historic downtown Galena. The event will include live-music, family fun, food and movie inspired experiences.

### Pop-up campaign info:



### **PVC-free aluminum foil base sidewalk decals**

- Ability to conform to uneven surfaces
- Installation without specialized tools
- Certified non-slip surface
- Easy, clean removal and can be recycled

### **Scenes we'd like to recreate**



# New Destination Experiences

## Summer 2020 food-inspired events



**WHO:** Galena Country Tourism (GCT) will organize all logistics, including efforts to secure permits, hire and organize security/safety as well as cover signage and shuttle costs. Each event will include a community relations plan to inform residents and build local support and be paired with a digital promotions campaign to market to visitors.

**WHAT:** Approval to reserve Depot Park and its parking lot for a one-day event, Sat., June 6, in partnership with the Food Truck Fight. This come and go, family-friendly event will feature traveling and local food vendors, kids activities and live music. Portion of proceeds will be donated to local charities.

- Approval to reserve Main Street, from 130 S. Main to 100 S. Main for a one-day, Farm-to-Table event, Thu., Aug. 6. This event will be hosted by a world-renowned organization with 20 years experience. It will featuring celebrity chefs and includes local farmers and makers. Portion of the proceeds will be donated to local charities. *Traffic will be able to flow via detour on Washington St and Hill St.*

- Approval to reserve all parking spots along Washington Street during one-day event Farm-to-Table event, Thu., Aug. 6.

**WHEN:** The Food Truck Fight event will run from Noon-8 p.m.. The park and parking lot will need to be reserved Fri. afternoon - Sun. morning.

- The Farm-to-Table event will run from 4-9 p.m., Thu., Aug. 6. Main Street will need to be reserved from 10 a.m. - Midnight.

- Washington Street parking spots will need to be reserved from 8 a.m. - Midnight on Thu., Aug. 6.

**WHY?:** GCT's strategic planning works to advance our community and supports the evolution of a desirable destination for travellers, with a sole focus on the supply side of tourism, by providing compelling experiences, quality infrastructure, and remarkable services to entice repeat visitation. Tourism experiences boost our economy, employ residents, enrich our businesses and pays for important public services.

- GCT only partners with reputable brands. The Farm-to-Table event has previously been held in all 50 states and in 15 countries. Galena has been selected as 1 of 3-5 cities, throughout the world, to host this event in 2020. It will draw high-end visitors and garner national attention. This event is also on a midweek evening, encouraging visitor overnights beyond the normal weekend stay.

- The Food Truck Fight event is sure to be a crowd pleaser, infused with local food vendors. This successful event is estimated to draw a big crowd of locals and visitors. Please reference the event coordinator's more detailed submission.

**Bonus:** GCT will provide free shuttle service to accomodate visitors and locals alike during the timeframe of each event listed above. We will partner with the City as needed to supply signage for detour and parking routes.

### Food Truck Fight:



### Farm-to-Table:



**Food Truck Fight® Event Proposal  
Galena, IL, June 6, 2020**

**Who:** BRB LIVE, LLC is an event production company based out of Bettendorf, IA. This event production company is owned and operated by Bobby Ray Bunch, with the help of his wife Jacquelyn, has produced (3) Food Truck Fight® events in Le Claire, IA, and (1) franchised event in Aurora, CO.



**What:** Food Truck Fight® is a family-friendly food truck competition founded in 2017. The inaugural event brought over 4,000 people to Le Claire, while the following years saw an increase in attendance to 5,500 in 2018 and 8,000+ in 2019. This event caters to families and we provide bounce houses, face painters, stilt walkers and more. In addition to the kid-friendly entertainment, we provide live music all day. This is a People’s Choice Award and the votes are counted at 6pm, with the winner being announced at 7pm. Admission is just \$5 and kids 12 & under are free. Each truck is required to offer a \$2 sample item.

Food Truck Fight® is aiming for 20+ food trucks in 2020 along with a few food related vendors. We will also sell soda, water, canned beer and ciders to anyone ages 21+. The liquor license in 2020 will be held by a local non-profit that we will partner with and donate a portion of proceeds, along with another local organization. In the past, Food Truck Fight® has donated thousands of dollars to Le Claire Parks & Rec, Le Claire PD, Le Claire FD and Iowa Mission of Mercy.



**Food Truck Fight® Event Proposal  
Galena, IL, June 6, 2020**

**When:** This would be a one-day event taking place **Saturday, June 6<sup>th</sup>, 2020**. Ideally, we would have access to Depot Park on Friday, June 5<sup>th</sup>, 2020 until Sunday, June 7<sup>th</sup>, 2020 for set-up, the event and the clean-up. The event will be in partnership with Galena Country Tourism but operated by BRB LIVE, LLC and their volunteers. This is a rain or shine event. In case of severe weather, we will use the PA on stage and volunteers to evacuate the area and to seek shelter.

**Where:** The proposed event would take place at Depot Park in Downtown Galena, IL. Due to the potential size of this event, we would not be able to utilize any space at the park for on-site parking. The Parking Plan would involve street parking as well as a proposed off-site plan with a shuttle. The goal for this event is to bring 5000+ people to Downtown Galena.

**Why?:** Galena, IL, is a desirable new location for Food Truck Fight® based on being a popular tourist destination, having a beautiful downtown as a backdrop and being a unique event, unlike anything in a 90 min. radius.

- Le Claire, Iowa's population triples when Food Truck Fight® comes to town which, in turn, increases revenue for the small businesses in town. Several shops and restaurants report record revenue on this day and the Chief of Police claims it is the "safest and most well organized event Le Claire has".
- This event will increase awareness of everything Galena, IL, has to offer. Once the attendees have paid admission, they are free to come and go as they please. Typically, an attendee will enter the event and then leave to "cool off" and shop around town, then eventually make their way back to the event.
- This event attracts visitors from a 60 mi. radius of Le Claire, IA, with minimal advertising. Our plan is to create and execute a marketing strategy in conjunction with Galena Country Tourism. We have three years of data to apply to 2020's marketing campaign and will reach hundreds of thousands of people through social media, appearances on Paula Sands Live (KWQC), Living Local (WHBF) and WQAD. I will also be hosting a bi-monthly radio show on WOC 1420 which I will use for promotion. We will also lean Galena Country Tourism's large network to spread the word.

**Food Truck Fight® Le Claire Logistics:**

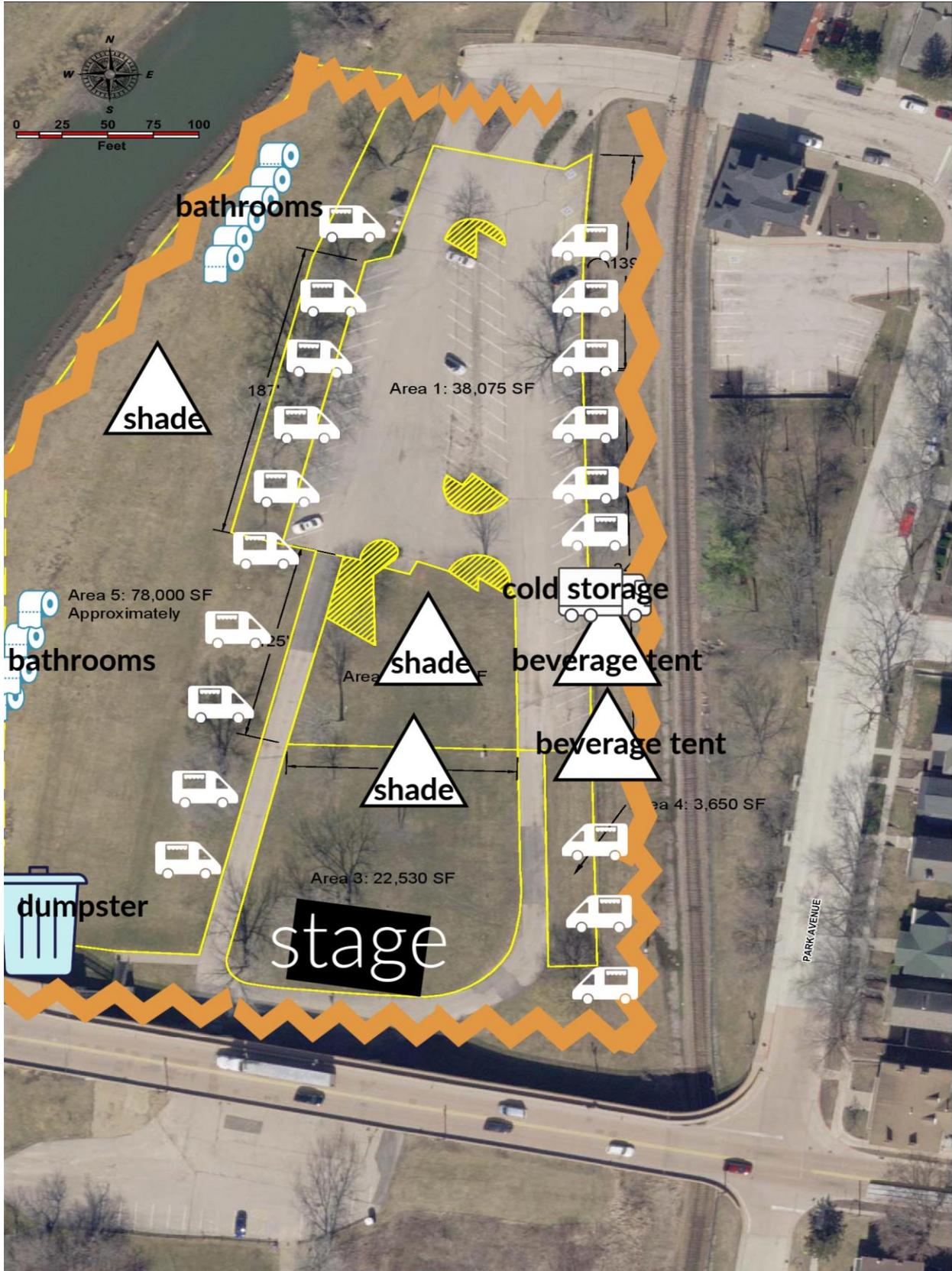
- 30' x 20' x 3' stage for live music and awards announcements
- Tents for shade, tents for beverage sales and tents for volunteer shade
- Tables and chairs (mostly under tents) for seating and gathering
- 20+ food trucks will be the goal for Food Truck Fight® Galena
- 12+ port-a-potties with hand washing stations
- Cold storage truck for the food trucks to utilize as well as storing beverages
- Ice trailer for the beverage tent
- (2) Mobile ATM's (cash only at the gate and beverage tent)
- Space for Sponsor setup/promotion
- Perimeter fencing
- Proper signage for entry, voting and beverage tents
- (4) Police Officers worked the 2019 Le Claire event
- 100+ volunteers
- 40 yd. dumpster on-site – 20+ trash receptacles and 20+ recycling containers
- (2) Bounce houses/obstacle
- Dedicated voting area
- Set up takes place the day before and the morning of the event
- Clean up takes place immediately after the event and the following day. In the past, items like the dumpster, ice trailer, port-a-potties and stage were picked up the following day or 1-2 days after the event.
- Admission is \$5 at the gate or sold in advance on Eventbrite.com. VIP tickets are \$25 and only sold in advance (capped at 500) VIP Tickets include free sample tickets, free beverage tickets, one hour early entry and a Food Truck Fight® swag item.

**Testimonials:**

- *“As the owner of Crane & Pelican, I didn’t know what to expect for my restaurant the first year of Food Truck Fight® but this event brings so many people to town that they treat it as an all-day experience to shop, dine and enjoy the food trucks.” – Mandy Harvey; Owner/Operator Crane & Pelican, Le Claire, IA.*
- *“Bob helped our nonprofit put on our first large-scale fundraiser, Food Truck Fight®. He went above and beyond what we asked him to do. He was detailed, attentive, and always there when we needed him or had questions. He knows the "ins" and "outs" of holding events and we would have been lost without him. I HIGHLY recommend Bob!” – Molli Barker; Executive Director, Juvenile Assessment Center, Aurora, CO.*

**Summary:**

BRB LIVE, LLC and Galena Country Tourism agree that Galena, IL, is the perfect new location for Food Truck Fight®. If we are able to make this beautiful city the new home of the event, we would want to keep it unique to Galena, therefore, not do any other Food Truck Fight® events within a 70 mile radius (Le Claire, IA is ~ 70 miles away). We would work with Galena Country Tourism side-by-side to ensure we are planning this event to its full potential. Galena Country Tourism has a very large following, the City of Galena is a destination town and together they set the bar for tourism. Food Truck Fight® has a goal to create a unique, fun and family-friendly environment to showcase food trucks, the talented chefs behind the wheel and to have a friendly competition along the way. We have always donated a portion of proceeds to non-profits, city departments and fundraisers. This event has a positive impact on the economy of Le Claire, its tourism and its residents. We would like to emulate that in Galena and bring thousands of people to town to eat, drink and stay. We hope to produce this event on a year-to-year basis and work with the city and Galena Country Tourism as the event grows.



# CITY OF GALENA, ILLINOIS

101 Green Street, Galena, Illinois 61036



## MEMORANDUM

TO: Honorable Mayor Renner and City Council

FROM: Mark Moran, City Administrator

DATE: December 16, 2019

RE: Sewer Bond Refinancing

I am writing to bring to your attention the potential for significant cost savings in our Sewer Fund. In 2001, the City secured an \$11.3 million low-interest loan from the Illinois Environmental Protection Agency (EPA) for the construction of the new wastewater treatment plant. In 2010, as a cost-saving measure, we refinanced the loan with general obligation bonds in the amount of \$8.7 million. The current bond market interest rate has dropped to a level that makes another refinancing (refunding) a viable cost-saving undertaking.

Baird Public Finance served as managing underwriter for all the City bond issues since 2002. The firm provided the attached analysis to demonstrate the potential cost-savings from refunding the current \$5.4 million in sewer bonds. Based on the interest rates at the end of November the potential net savings would be \$711,000 or \$47,400 annually over the current term of the debt. The current debt expires in 2034. The projected savings would be after the costs of underwriting, bond counsel, rating agency, escrow agent, printing, and paying agent. These costs would be included in the newly issued debt so there would be no out-of-pocket costs for the City to complete the refunding.

To move forward with the refunding, I recommend that you approve the engagement of Baird Public Finance as managing underwriter and Chapman and Cutler, LLP as bond counsel. Baird's fee would not exceed 0.90% of the final offering price and would be adjusted at the time of sale based on an assessment of fee for similar transactions. Baird charged 0.90% on past transactions for the City.

The legal opinion and related services of bond counsel are necessary to proceed with the issuance of the proposed debt. Chapman and Cutler is perhaps the most respected municipal bond counsel firm in Illinois. The firm is the only counsel the City has used during the last several decades for the issuance of bonded debt. The City contracted with the firm in 1991 when the initial TIF revenue bonds were issued and again in 2002, 2003, 2010, and 2012.

As explained in the attached engagement letter, Chapman's fee will be \$25,000 for the proposed bond sale. This fee is the same as in 2010 for the larger, \$8.7 million, transaction.

The refunding process requires considerable staff time, but the amount of potential savings makes the process worthwhile. Please feel free to contact me if you have any questions.

Thank you.



December 4, 2019

Mr. Mark Moran  
 City Administrator  
 City of Galena  
 101 Green Street  
 Galena, IL 61036

Dear Mark,

Baird would like to update the City on the potential refunding of its outstanding General Obligation Bonds (Sales Tax Alternate Revenue Source), Series 2010 that could generate debt service savings for the City. The 2010 Bonds have a June 30, 2020 call date and can be refunded at tax-exempt rates up to three months prior to that date or March 30, 2020.

Assuming market conditions as of November 29, 2019, a refunding of the 2010 Bonds could generate approximately \$825,000 in gross debt service savings net of all estimated costs to complete the transaction. The table below summarizes the hypothetical refunding statistics.

	2010 Bonds***
Par Amount	\$5,425,000
Refunded Par Amount	\$5,835,000
Refunded Maturities	2021-2034
Total Hypothetical Savings*	\$829,530
Hypothetical Present Value Savings**	\$711,076
Hypothetical PV Savings as % **	12.186%

\*Calculated assuming current market conditions for comparable issuers and publicly offered bank qualified bonds rated “AA” and assuming the same debt payment schedule and final maturity date as the 2010 Bonds. Actual results will vary. If actual rates are higher than those assumed, the total interest cost savings will be lower.

\*\*Calculated using the refunding bond yield of 2.166% as the discount rate for the 2010 Bonds.

\*\*\*This letter is not a recommendation that the City refund its 2010 Bonds or take other action. This letter provides information and is not intended to be advice. See “Important Disclosures” herein.

The table below summarizes an interest rate sensitivity analysis should rates move up or down by 10 basis points (0.10%).

Interest Rate Sensitivity Analysis		
Change in Interest Rates	-0.10%	+0.10%
Hypothetical Present Value Savings	\$761,527	\$662,121
Hypothetical PV Savings as % **	13.051%	11.347%

Please feel free to call me at (630) 778-9174 with any questions.

Sincerely,

Stephan C. Roberts  
 Director  
 Baird Public Finance



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**IMPORTANT DISCLOSURES**

**City of Galena, Illinois**  
**General Obligation Refunding Bonds (Sales Tax ARS), Series 2020**  
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**First Optional Redemption: December 30, 2027**  
**Preliminary Interest Rates as of November 29, 2019**

Robert W. Baird & Co. Incorporated (“Baird”) is not recommending any action to you. Baird is not acting as an advisor to you and does not owe you a fiduciary duty pursuant to Section 15B of the Securities Exchange Act of 1934. Baird is acting for its own interests. You should discuss the information contained herein with any and all internal or external advisors and experts you deem appropriate before acting on the information. Baird seeks to serve as an underwriter (or placement agent) on a future transaction and not as a financial advisor or municipal advisor. The primary role of an underwriter (or placement agent) is to purchase, or arrange for the placement of, securities in an arm’s length commercial transaction with the issuer, and it has financial and other interests that differ from those of the issuer. The information provided is for discussion purposes only, in seeking to serve as underwriter (or placement agent). See “Important Disclosures” contained herein.

**SAVINGS**

**City of Galena, Illinois**  
**General Obligation Refunding Bonds (Sales Tax ARS), Series 2020**  
**Hypothetical Illustration: Current Refunding of G.O. Bonds (Sales Tax ARS), Series 2010**  
**Assumptions: UTGO, BQ, S&P Global AA Rating**  
**First Optional Redemption: December 30, 2028**  
**Preliminary Interest Rates as of November 29, 2019**

<b>Date</b>	<b>Prior Debt Service</b>	<b>Refunding Debt Service</b>	<b>Savings</b>	<b>Present Value to 03/30/2020 @ 2.1662754%</b>
12/30/2020	249,245.00	195,275.00	53,970.00	53,863.67
12/30/2021	564,245.00	507,650.00	56,595.00	54,691.61
12/30/2022	566,645.00	513,800.00	52,845.00	49,972.42
12/30/2023	563,445.00	506,400.00	57,045.00	52,777.21
12/30/2024	564,845.00	508,800.00	56,045.00	50,744.62
12/30/2025	565,645.00	510,600.00	55,045.00	48,774.69
12/30/2026	565,475.00	511,800.00	53,675.00	46,544.04
12/30/2027	564,497.50	507,400.00	57,097.50	48,440.86
12/30/2028	567,697.50	512,600.00	55,097.50	45,742.98
12/30/2029	564,847.50	507,000.00	57,847.50	46,986.99
12/30/2030	566,142.50	511,000.00	55,142.50	43,828.07
12/30/2031	566,350.00	509,200.00	57,150.00	44,439.76
12/30/2032	564,975.00	511,800.00	53,175.00	40,455.97
12/30/2033	567,700.00	513,600.00	54,100.00	40,265.03
12/30/2034	564,300.00	509,600.00	54,700.00	39,826.06
	8,166,055.00	7,336,525.00	829,530.00	707,353.99

**Savings Summary**

PV of savings from cash flow	707,353.99
Plus: Refunding funds on hand	3,722.10
	711,076.09
Potential Net PV Savings	711,076.09

Note: Present Value savings are net of hypothetical costs of issuance.

**SUMMARY OF REFUNDING RESULTS**

**City of Galena, Illinois**  
**General Obligation Refunding Bonds (Sales Tax ARS), Series 2020**  
**Hypothetical Illustration: Current Refunding of G.O. Bonds (Sales Tax ARS), Series 2010**  
**Assumptions: UTGO, BQ, S&P Global AA Rating**  
**First Optional Redemption: December 30, 2028**  
**Preliminary Interest Rates as of November 29, 2019**

Assumed Dated Date	03/30/2020
Assumed Delivery Date	03/30/2020
Arbitrage yield	2.166275%
Escrow yield	1.596159%
Value of Negative Arbitrage	8,375.76
Bond Par Amount	5,425,000.00
Potential True Interest Cost	2.469607%
Potential Net Interest Cost	2.659971%
Potential All-In TIC	2.743005%
Potential Average Coupon	3.988680%
Average Life	8.834
Weighted Average Maturity	8.909
Duration	7.561
Par amount of refunded bonds	5,835,000.00
Average coupon of refunded bonds	4.357836%
Average life of refunded bonds	8.922
Remaining weighted average maturity of refunded bonds	8.922
PV of prior debt to 03/30/2020 @ 2.166275%	6,908,611.25
Potential Net PV Savings	711,076.09
Percentage savings of refunded bonds	12.186394%

**BOND DEBT SERVICE**

**City of Galena, Illinois**  
**General Obligation Refunding Bonds (Sales Tax ARS), Series 2020**  
**Hypothetical Illustration: Current Refunding of G.O. Bonds (Sales Tax ARS), Series 2010**  
**Assumptions: UTGO, BQ, S&P Global AA Rating**  
**First Optional Redemption: December 30, 2028**  
**Preliminary Interest Rates as of November 29, 2019**

Period Ending	Principal	Coupon	Interest	Debt Service	Annual Debt Service
03/30/2020					
06/30/2020			53,425	53,425	
12/30/2020	35,000	3.000%	106,850	141,850	195,275
06/30/2021			106,325	106,325	
12/30/2021	295,000	3.000%	106,325	401,325	507,650
06/30/2022			101,900	101,900	
12/30/2022	310,000	4.000%	101,900	411,900	513,800
06/30/2023			95,700	95,700	
12/30/2023	315,000	4.000%	95,700	410,700	506,400
06/30/2024			89,400	89,400	
12/30/2024	330,000	4.000%	89,400	419,400	508,800
06/30/2025			82,800	82,800	
12/30/2025	345,000	4.000%	82,800	427,800	510,600
06/30/2026			75,900	75,900	
12/30/2026	360,000	4.000%	75,900	435,900	511,800
06/30/2027			68,700	68,700	
12/30/2027	370,000	4.000%	68,700	438,700	507,400
06/30/2028			61,300	61,300	
12/30/2028	390,000	4.000%	61,300	451,300	512,600
06/30/2029			53,500	53,500	
12/30/2029	400,000	4.000%	53,500	453,500	507,000
06/30/2030			45,500	45,500	
12/30/2030	420,000	4.000%	45,500	465,500	511,000
06/30/2031			37,100	37,100	
12/30/2031	435,000	4.000%	37,100	472,100	509,200
06/30/2032			28,400	28,400	
12/30/2032	455,000	4.000%	28,400	483,400	511,800
06/30/2033			19,300	19,300	
12/30/2033	475,000	4.000%	19,300	494,300	513,600
06/30/2034			9,800	9,800	
12/30/2034	490,000	4.000%	9,800	499,800	509,600
	5,425,000		1,911,525	7,336,525	7,336,525



**BOND PRICING**

**City of Galena, Illinois  
 General Obligation Refunding Bonds (Sales Tax ARS), Series 2020  
 Hypothetical Illustration: Current Refunding of G.O. Bonds (Sales Tax ARS), Series 2010  
 Assumptions: UTGO, BQ, S&P Global AA Rating  
 First Optional Redemption: December 30, 2028  
 Preliminary Interest Rates as of November 29, 2019**

Bond Component	Maturity Date	Amount	Rate	Yield	Price	Yield to Maturity	Call Date	Call Price	Premium (-Discount)	Principal Cost
Serial Bonds:										
	12/30/2020	35,000	3.000%	1.450%	101.150				402.50	35,402.50
	12/30/2021	295,000	3.000%	1.490%	102.597				7,661.15	302,661.15
	12/30/2022	310,000	4.000%	1.590%	106.457				20,016.70	330,016.70
	12/30/2023	315,000	4.000%	1.640%	108.547				26,923.05	341,923.05
	12/30/2024	330,000	4.000%	1.740%	110.258				33,851.40	363,851.40
	12/30/2025	345,000	4.000%	1.830%	111.790				40,675.50	385,675.50
	12/30/2026	360,000	4.000%	1.910%	113.174				47,426.40	407,426.40
	12/30/2027	370,000	4.000%	2.000%	114.290				52,873.00	422,873.00
	12/30/2028	390,000	4.000%	2.090%	115.198				59,272.20	449,272.20
	12/30/2029	400,000	4.000%	2.180%	114.424	2.337%	12/30/2028	100.000	57,696.00	457,696.00
	12/30/2030	420,000	4.000%	2.280%	113.571	2.548%	12/30/2028	100.000	56,998.20	476,998.20
	12/30/2031	435,000	4.000%	2.330%	113.147	2.687%	12/30/2028	100.000	57,189.45	492,189.45
	12/30/2032	455,000	4.000%	2.370%	112.809	2.798%	12/30/2028	100.000	58,280.95	513,280.95
	12/30/2033	475,000	4.000%	2.420%	112.389	2.901%	12/30/2028	100.000	58,847.75	533,847.75
	12/30/2034	490,000	4.000%	2.470%	111.970	2.990%	12/30/2028	100.000	58,653.00	548,653.00
		5,425,000							636,767.25	6,061,767.25

Assumed Dated Date	03/30/2020	
Assumed Delivery Date	03/30/2020	
First Coupon	06/30/2020	
Par Amount	5,425,000.00	
Premium	636,767.25	
Production	6,061,767.25	111.737645%
Underwriter's Discount		
Purchase Price	6,061,767.25	111.737645%
Accrued Interest		
Net Proceeds	6,061,767.25	

Notes:

This illustration represents a mathematical calculation of potential interest cost savings, assuming hypothetical interest rates based on current rates for municipal bonds as of November 29, 2019. Actual rates may vary. If actual rates are higher than those assumed, the interest cost savings would be lower. This illustration provides information and is not intended to be a recommendation, proposal or suggestion for a refinancing or otherwise considered as advice. A sensitivity analysis is included in the 'Refund Batch Summary' on the last page of this information.

***CALCAGENT SUMMARY***

**Sensitivity Analysis**

<b>Coupon Offset</b>	<b>Escrow Offset</b>	<b>Par</b>	<b>Bond Yield</b>	<b>Escrow Yield</b>	<b>Net Present Value Savings</b>	<b>Refunded PV Savings Pct</b>
(0.100%)	(0.100%)	5,425,000.00	2.065%	1.495%	761,527.17	13.051%
0.000%	0.000%	5,425,000.00	2.166%	1.596%	711,076.09	12.186%
0.100%	0.100%	5,425,000.00	2.267%	1.697%	662,120.78	11.347%

Assumptions:

Component	refund:9_1202-R10_BQCR
Delivery Date	03/30/2020

Important Disclosures:

Robert W. Baird & Co. Incorporated (“Baird”) is not recommending that you take or not take any action. Baird is not acting as financial advisor or municipal advisor to you and does not owe a fiduciary duty pursuant to Section 15B of the Securities Exchange Act of 1934 to you with respect to the information contained herein and/or accompanying materials (collectively, the “Materials”). Baird is acting for its own interests. You should discuss the Materials with any and all internal or external advisors and experts that you deem appropriate before acting on the Materials.

Baird seeks to serve as underwriter in connection with a possible issuance of municipal securities you may be considering and not as financial advisor or municipal advisor. Baird is providing the Materials for discussion purposes only, in anticipation of being engaged to serve as underwriter (or placement agent).

The role of an underwriter includes the following: Municipal Securities Rulemaking Board Rule G-17 requires an underwriter to deal fairly at all times with both municipal issuers and investors. An underwriter’s primary role is to purchase the proposed securities to be issued with a view to distribution in an arm’s length commercial transaction with the issuer. An underwriter has financial and other interests that differ from those of the issuer. An underwriter may provide advice to the issuer concerning the structure, timing, terms, and other similar matters for an issuance of municipal securities. Any such advice, however, would be provided in the context of serving as an underwriter and not as municipal advisor, financial advisor or fiduciary. Unlike a municipal advisor, an underwriter does not have a fiduciary duty to the issuer under the federal securities laws and is therefore not required by federal law to act in the best interests of the issuer without regard to its own financial or other interests. An underwriter has a duty to purchase securities from the issuer at a fair and reasonable price but must balance that duty with its duty to sell those securities to investors at prices that are fair and reasonable. An underwriter will review the official statement (if any) applicable to the proposed issuance in accordance with, and as part of, its responsibilities to investors under the federal securities laws, as applied to the facts and circumstances of the proposed issuance.

The Materials do not include any proposals, recommendations or suggestions that you take or refrain from taking any action with regard to an issuance of municipal securities and are not intended to be and should not be construed as "advice" within the meaning of Section 15B of the Securities Exchange Act of 1934 or Rule 15Ba1-1 thereunder. The Materials are intended to provide information of a factual, objective or educational nature, as well as general information about Baird (including its Public Finance unit) and its experience, qualifications and capabilities.

Any information or estimates contained in the Materials are based on publicly available data, including information about recent transactions believed to be comparable, and Baird’s experience, and are subject to change without notice. Baird has not independently verified the accuracy of such data. Interested parties are advised to contact Baird for more information.

If you have any questions or concerns about the above disclosures, please contact Baird Public Finance.

IRS Circular 230 Disclosure: To ensure compliance with requirements imposed by the IRS, we inform you that the Materials do not constitute tax advice and shall not be used for the purpose of (i) avoiding tax penalties or (ii) promoting, marketing or recommending to another party any transaction or matter addressed herein.

The logo for Baird, consisting of the word "BAIRD" in white, uppercase, sans-serif font, set against a blue parallelogram background.The logo for Baird's 100th anniversary, featuring the number "100" in a large, blue, stylized font with a white diagonal line through it, and the word "YEARS" in a smaller, blue, sans-serif font below it.

December 9, 2019

Mr. Mark Moran  
City Administrator  
City of Galena  
101 Green Street  
Galena, IL 61036

Mr. Moran:

On behalf of Robert W. Baird & Co. Incorporated (“we” or “Baird”), we wish to thank you for the opportunity to serve as managing underwriter for City of Galena, Illinois (“you” or the “Issuer”) on its proposed offering and issuance of approximately \$6,000,000 General Obligation Refunding Bonds, Series 2020 to refund Series 2010 (the “Securities”). This letter will confirm the terms of our engagement; however, it is anticipated that this letter will be replaced and superseded by a bond purchase agreement to be entered into by the parties (the “Purchase Agreement”) if and when the Securities are priced following successful completion of the offering process. The Purchase Agreement will set forth the terms and conditions on which Baird will purchase or place the Securities and will contain provisions that are consistent with those stated in this letter.

1. Services to be Provided by Baird. Baird is hereby engaged to serve as managing underwriter of the proposed offering and issuance of the Securities, and in such capacity Baird agrees to provide the following services:

- Review and evaluate the proposed terms of the offering and the Securities
- Develop a marketing plan for the offering, including identification of potential purchasers of the Securities
- Assist in the preparation of the preliminary official statement and final official statement and other offering documents
- Contact potential purchasers of the Securities and provide them with copies of the offering materials and related information
- Respond to inquiries from potential purchasers and, if requested, coordinate their due diligence calls and meetings
- If the Securities are to be rated, assist in the preparation of information and materials to be provided to securities rating agencies and in the development of strategies for meetings with the rating agencies
- Consult with counsel and other service providers about the offering and the terms of the Securities
- Inform the Issuer of the marketing and offering process
- Negotiate the pricing, including the interest rate, and other terms of the Securities
- Obtain CUSIP number(s) for the Securities and arrange for their DTC book-entry eligibility
- Submit documents and other information about the offering to the MSRB’s EMMA website
- Plan and arrange for the closing and settlement of the issuance and the delivery of the Securities
- Such other usual and customary underwriting services as may be requested by the Issuer

2. Disclosures Concerning Baird’s Role as Underwriter as Required by MSRB Rules: At the Issuer’s request, Baird may provide incidental financial advisory services, including advice as to the structure, timing, terms and other matters concerning the issuance of the Securities. Please note that Baird would be providing such advisory

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services in its capacity as underwriter and not as a municipal advisor or financial advisor to the Issuer. As underwriter, Baird's primary role is to purchase, or arrange for the placement of, the Securities in an arm's length commercial transaction between the Issuer and Baird. Baird has financial and other interests that differ from those of the Issuer. Municipal Securities Rulemaking Board Rule G-17 requires an underwriter to deal fairly at all times with both municipal issuers and investors. However, unlike a municipal advisor or financial advisor, Baird as an underwriter does not have a fiduciary duty to the Issuer under the federal securities laws and is, therefore, not required by federal law to act in the best interests of the Issuer without regard to its own financial or other interests. As part of its services, Baird will review the official statement applicable to the proposed offering in accordance with, and as part of, its responsibilities to investors under the federal securities laws, as applied to the facts and circumstances of the proposed offering.

As underwriter, Baird will not be required to purchase the Securities except pursuant to the terms of the Purchase Agreement, which will not be signed until successful completion of the pre-sale offering period and satisfaction of various conditions. This letter does not obligate Baird to purchase any of the Securities. If all of the conditions to its obligation to purchase any securities have been satisfied, Baird as underwriter has a duty to purchase securities from the Issuer at a fair and reasonable price but must balance that duty with its duty to sell those securities to investors at prices that are fair and reasonable.

Baird is registered with the Municipal Securities Rulemaking Board ("MSRB") and the SEC. The MSRB website is [www.msrb.org](http://www.msrb.org). Two investor brochures, Information for Municipal Securities Investors and Information for Municipal Advisory Clients, describe the protections that may be provided by the MSRB's rules. The brochures are available on the MSRB website. The MSRB website also contains information about how to file a complaint with an appropriate regulatory authority.

3. Fees and Expenses; Conflicts of Interest. Baird's proposed underwriting fee/spread will not exceed 0.90% of the public offering price of the Securities issued. The underwriting fee/spread will represent the difference between the price that Baird pays for the Securities and the public offering price stated on the cover of the final official statement. The underwriting fee/spread will be contingent upon the closing of the proposed offering and the amount of the fee/spread will be based on the public offering price of the Securities. While this form of compensation is customary in the municipal securities market, it presents a conflict of interest because the underwriter may have an incentive to recommend to the Issuer a transaction that is unnecessary or to recommend that the size of the transaction be larger than is necessary. Other firms that provide services in connection with the proposed offering may also have fees that are contingent on the closing of the offering.

The Issuer shall be responsible for paying or reimbursing Baird for all other costs of issuance, including without limitation, CUSIP, DTC, IPREO (electronic book-running/sales order system), a day loan charge (currently at the rate of 1% per annum of the par amount), printing and mailing/distribution charges, bond counsel, disclosure counsel, underwriter's counsel and ratings agency fees and expenses, and all other expenses incident to the performance of the Issuer's obligations under the proposed offering.

Baird is a full service securities firm and as such Baird and its affiliates may from time to time provide advisory, brokerage, consulting and other services and products to municipalities, other institutions, and individuals including the Issuer, certain Issuer officials or employees, and potential purchasers of the Securities for which Baird may receive customary compensation; however, such services are not related to the proposed offering. Baird has previously served as underwriter, placement agent or financial advisor on other bond offerings and financings for the Issuer and expects to serve in such capacities in the future. Baird may also be engaged from time to time by the Issuer to manage investments for the Issuer (including the proceeds from the proposed offering) through a separate contract that sets forth the fees to be paid to Baird. Baird may compensate its associates for any referrals they have made that resulted in the Issuer's selection of Baird to serve as underwriter on the proposed offering of the Securities. Baird manages various mutual funds, and from time to time those funds may own bonds and other securities issued by the Issuer (including the Securities). Additionally, clients of Baird may from time to time purchase, hold and sell bonds and other securities issued by the Issuer (including the Securities).

In the ordinary course of fixed income trading business, Baird may purchase, sell, or hold a broad array of investments and may actively trade securities and other financial instruments, including the Securities and other municipal bonds, for its own account and for the accounts of customers, with respect to which Baird may receive a mark-up or mark-down, commission or other remuneration. Such investment and trading activities may involve or relate to the offering or other assets, securities and/or instruments of the Issuer and/or persons and entities with

relationships with the Issuer. Spouses and other family members of Baird associates may be employed by the Issuer.

Baird has not identified any additional potential or actual material conflicts that require disclosure. If potential or actual conflicts arise in the future, we will provide you with supplemental disclosures about them.

4. Term and Termination. The term of this engagement shall extend from the date of this letter to the closing of the offering of the Securities. Notwithstanding the forgoing, either party may terminate Baird's engagement at any time without liability or penalty upon at least 30 days' prior written notice to the other party. If Baird's engagement is terminated by the Issuer, the Issuer agrees to compensate Baird for the services provided and to reimburse Baird for its out-of-pocket expenses incurred until the date of termination.

5. Miscellaneous. This letter shall be governed and construed in accordance with the laws of the State of Illinois. This Agreement may not be amended or modified except by means of a written instrument executed by both parties hereto. This Agreement may not be assigned by either party without the prior written consent of the other party. The Issuer acknowledges that Baird may, at its option and expense and after announcement of the offering, place announcements and advertisements or otherwise publicize a description of the offering and Baird's role in it on Baird's website and/or other marketing material and in such financial and other newspapers and journals as it may choose, stating that Baird has acted as underwriter for the offering. The Issuer also agrees that Baird may use the Issuer's name and logo or official seal for these purposes.

6. Disclosures of Material Financial Characteristics and Material Financial Risks. Accompanying this letter is a disclosure document describing the material financial characteristics and material financial risks of the Securities as required by MSRB Rule G-17.

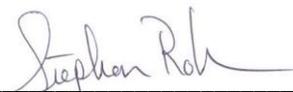
If there is any aspect of this Agreement that requires further clarification, please do not hesitate to contact us. In addition, please consult your own financial and/or municipal, legal, accounting, tax and other advisors as you deem appropriate. We understand that you have the authority to bind the Issuer by contract with us, and that you are not a party to any conflict of interest relating to the proposed offering. If our understanding is not correct, please let us know.

If the forgoing is consistent with your understanding, please send me an email at [scroberts@rwbaird.com](mailto:scroberts@rwbaird.com) to acknowledge your receipt of this letter.

Again, we thank you for the opportunity to assist you with your proposed financing and the confidence you have placed in us.

Very truly yours,

**ROBERT W. BAIRD & CO. INCORPORATED**

By:   
Stephan Roberts, Director



## **Disclosures of Material Financial Characteristics and Financial Risks of Proposed Offering of Fixed Rate Bonds**

Robert W. Baird & Co. Incorporated (“Baird”) has been engaged as underwriter for the proposed offering by you (or the “Issuer”) of fixed rate bonds, notes, certificates of participation or other debt securities (“Fixed Rate Bonds”), to be sold on a negotiated basis. The following is a general description of the financial characteristics and security structures of Fixed Rate Bonds, as well as a general description of certain financial risks that you should consider before deciding whether to issue Fixed Rate Bonds.

This document is being provided to an official of the Issuer who has the authority to bind the Issuer by contract with Baird, who does not have a conflict of interest with respect to the offering.

If the Fixed Rate Bonds proposed to be issued are “conduit revenue bonds,” you will be a party to the bond purchase agreement and certain other legal documents to be entered into in connection with the issuance, but the material financial risks described below will be borne by the borrower or obligor, as set forth in those legal documents.

### **Financial Characteristics**

*Maturity and Interest.* Fixed Rate Bonds are interest-bearing debt securities issued by state and local governments, political subdivisions and agencies or authorities, such as the Issuer. Maturity dates for Fixed Rate Bonds will be fixed at the time of issuance and may include serial maturities (specified principal amounts are payable on the same date in each year until final maturity) or one or more term maturities (specified principal amounts are payable on each term maturity date) or a combination of serial and term maturities. Maturity dates, including the final maturity date, are subject to negotiation and will be reflected in the official statement. At each maturity, the scheduled principal or par amount of the Fixed Rate Bonds will have to be repaid.

Fixed Rate Bonds will pay fixed rates of interest typically semi-annually on scheduled payment dates, although some Fixed Rate Bonds may accrue interest to be paid at maturity. Such bonds are often referred to as capital appreciation or zero-coupon bonds. The interest rates to be paid on Fixed Rate Bonds may differ for each series or maturity date. The specific interest rates will be determined based on market conditions and investor demand and reflected in the official statement for the Fixed Rate Bonds. Fixed Rate Bonds with longer maturity dates will generally have interest rates that are greater than securities with shorter maturity dates.

*Redemption.* Fixed Rate Bonds may be subject to optional redemption, which allows the Issuer, at its option, to redeem some or all of the Fixed Rate Bonds on a date prior to scheduled maturity, such as in connection with the issuance of refunding bonds to take advantage of lower interest rates. Fixed Rate Bonds may be subject to optional redemption only after the passage of a specified period of time from the date of issuance, and upon payment of the redemption price set forth in the official statement for the Fixed Rate Bonds, which typically is equal to the par amount of the Fixed Rate Bonds being redeemed (plus accrued interest) but may include a

redemption premium. The Issuer will be required to send out a notice of optional redemption to the holders of Fixed Rate Bonds, usually a certain period of time prior to the redemption date. Fixed Rate Bonds with term maturity dates also may be subject to mandatory sinking fund redemption, which requires the Issuer to redeem specified principal amounts of the Fixed Rate Bonds annually in advance of the term maturity date. The mandatory sinking fund redemption price is 100% of the principal amount of the Fixed Rate Bonds to be redeemed. Fixed Rate Bonds may also be subject to extraordinary or mandatory redemption upon the occurrence of certain events, authorizing or requiring you to redeem the Fixed Income Bonds at their par amount (plus accrued interest).

*Credit Enhancements.* Fixed Rate Bonds may feature credit enhancements, such as an insurance policy provided by a municipal bond insurance company that guarantees the payment of principal of an interest on the bonds when due in the event of default. Other credit enhancements could include a letter of credit provided by a financial institution, or financial support from a state agency.

*Tax Status.* If Fixed Rate Bonds are intended to be tax-exempt, counsel will provide an opinion that interest on the Fixed Rate Bonds will be excluded from gross income for federal income tax purposes. Certain Fixed Rate Bonds may also be exempt from state personal income tax.

Some Fixed Rate Bonds (or a portion of those being issued) may be taxable, meaning that interest on the Fixed Rate Bonds will be included in gross income for federal income tax purposes.

### **Security**

Payment of principal of and interest on a municipal security, including Fixed Rate Bonds, may be backed by various types of pledges and forms of security, some of which are described below. The security for Fixed Rate Bonds will vary, depending on whether they are general obligation bonds, revenue bonds, conduit bonds or other types.

#### *General Obligation Bonds*

“General obligation bonds” are debt securities to which your full faith and credit is pledged to pay principal and interest. If you have taxing power, generally you will pledge to use your ad valorem (property) taxing power to pay principal and interest. Ad valorem taxes necessary to pay debt service on general obligation bonds may not be subject to state constitutional property tax millage limits (an unlimited tax general obligation bond). The term “limited” tax is used when such limits exist. General obligation bonds constitute a debt and, depending on applicable state law, may require that you obtain approval by voters prior to issuance. In the event of default in required payments of interest or principal, the holders of general obligation bonds have certain rights under state law to compel you to impose a tax levy.

#### *Revenue Bonds*

“Revenue bonds” are debt securities that are payable only from a specific source or sources of revenues that are generated from a particular enterprise or service you offer, such as water, electricity, sewer, health care, housing, transportation, toll roads and bridges, parking, parks and recreation fees, and stadiums and entertainment facilities. Revenue bonds are not a pledge of your full faith and credit and you are obligated to pay principal and interest on your revenue bonds only from the revenue source(s) specifically pledged to the bonds. Revenue bonds do not

permit the bondholders to compel you to impose a tax levy for payment of debt service. Pledged revenues may be derived from operation of the financed project or system, grants, license or user fees, or excise or other specified taxes. Generally, subject to state law or local charter requirements, you are not required to obtain voter approval prior to issuance of revenue bonds. If the specified source(s) of revenue become inadequate, a default in payment of principal or interest may occur. Various types of pledges of revenue may be used to secure interest and principal payments on revenue bonds. The nature of these pledges may differ widely based on state law, the type of issuer, the type of revenue stream and other factors. Some revenue bonds may be backed by your full faith and credit or moral obligation. A moral obligation is a non-binding covenant by you to make a budget recommendation to your legislative body to appropriate moneys needed to make up any revenue shortfall in order to meet debt service obligations on the revenue bonds, but the legislative body is not legally obligated to make such appropriation.

Certain revenue bonds may be structured as certificates of participation, which are instruments evidencing a pro rata share in a specified pledged revenue stream, usually lease payments that are typically subject to annual appropriation. With certificates of participation, the lessor or party receiving payments assigns those payments to a trustee that distributes them to the certificate holders. Certificates of participation do not constitute general obligation indebtedness of the issuer or municipality and are not backed by a municipality's full faith and credit or taxing power. Certificates of participation are payable solely from specific revenue sources.

#### Tax Increment or Tax Allocation Bonds

"Tax increment" or "tax allocation" bonds are a form of revenue bonds that are payable from the incremental increase in taxes realized from any appreciation in property values resulting from capital improvements benefitting the properties located in a particular location such as a tax incremental district. They are commonly used to redevelop, add infrastructure or otherwise improve a blighted, neglected or under-utilized area to encourage development in that area. Tax increment bonds may also be payable from increased sales taxes generated in a designated district. The proceeds of an issuance of tax increment or tax allocation bonds are typically applied to pay the costs of infrastructure and other capital improvements in the designated district. The incremental taxes or other revenues may not be sufficient to meet debt service obligations on the tax increment or tax allocation bonds. Some tax increment or tax allocation bonds may also be backed by an issuer's full faith and credit or moral obligation.

#### Conduit Bonds

Conduit revenue bonds may be issued by a governmental issuer acting as conduit for the benefit of a private sector entity or a 501(c)(3) organization (the "borrower" or "obligor"). Industrial revenue bonds are a form of conduit revenue bonds. Conduit revenue bonds commonly are issued for not-for-profit hospitals, health care facilities, educational institutions, single and multi-family housing, airports, industrial or economic development projects, corporations, and student loan programs, among other borrowers or obligors. Principal and interest on conduit revenue bonds normally are paid exclusively from revenues pledged by the borrower or obligor. Unless otherwise specified under the terms of the bonds, you are not required to make payments of principal or interest if the borrower or obligor defaults.

#### Charter School Bonds

Fixed Rate Bonds issued for the benefit of charter schools are a form of conduit revenue bonds.

They are issued by a government entity acting as a conduit for the benefit of a charter school. The charter school is the borrower or obligor for the bonds. Principal and interest on charter school bonds normally are paid exclusively from revenues pledged by the charter school. Unless otherwise specified under the terms of the bonds, you are not required to make payments of principal or interest if the charter school defaults.

Financial and Other Covenants

Issuers of Fixed Rate Bonds (and/or obligors) may be required to agree to certain financial and other covenants (such as debt service coverage ratios) that are designed to protect bond holders. Covenants are a form of additional security. The failure to continue to meet covenants may trigger an event of default or other adverse consequences to you and/or the obligor giving bond holders certain rights and remedies.

The description above regarding “Security” is only a brief summary of certain possible security provisions for the Fixed Rate Bonds and is not intended as legal advice. You should consult with your bond counsel for further information regarding the security for the Bonds.

**Financial Risk Considerations**

Certain risks may arise in connection with your issuance of Fixed Rate Bonds, including some or all of the following (generally, the borrower or obligor, rather than you, will bear these risks for conduit revenue bonds):

Issuer Default Risk

You (or the obligor) may be in default if the funds pledged to secure Fixed Rate Bonds are not sufficient to pay debt service on the bonds when due. The consequences of a default may be serious for you (and/or the obligor) and, depending on applicable state law and the terms of the authorizing documents, the holders of the bonds may be able to exercise a range of available remedies against you (or the obligor). For example, if Fixed Rate Bonds are secured by a general obligation pledge, you may be ordered by a court to raise taxes. Other budgetary adjustments also may be necessary to enable you to provide sufficient funds to pay debt service on the bonds. If the Fixed Rate Bonds are revenue bonds, you (or the obligor) may be required to take steps to increase the available revenues that are pledged as security for the bonds. A default may negatively impact your (or the obligor’s) credit ratings and may effectively limit your (or the obligor’s) ability to publicly offer bonds or other securities at market interest rate levels. Further, if you (or the obligor) are unable to provide sufficient funds to remedy the default, subject to applicable state law and the terms of the authorizing documents, you (or the obligor) may find it necessary to consider available alternatives under state law, including (for some issuers) state-mandated receivership or bankruptcy. A default also may occur if you (or the obligor) are unable to comply with covenants or other provisions agreed to in connection with the issuance of the Fixed Rate Bonds.

This description is only a brief summary of issues relating to defaults and is not intended as legal advice. You should consult with your bond counsel for further information regarding defaults and remedies.

Redemption Risk

Your (or the obligor’s) ability to redeem Fixed Rate Bonds prior to maturity may be limited, depending on the terms of any optional redemption provisions. In the event that interest rates

decline, you (or the obligor) may be unable to take advantage of the lower interest rates to reduce debt service. In addition, if Fixed Rate Bonds are subject to extraordinary or mandatory redemption, you (or the obligor) may be required to redeem the bonds at times that are disadvantageous.

Refinancing Risk

If your (or the obligor's) financing plan contemplates refinancing some or all of the Fixed Rate Bonds at maturity (for example, if there are term maturities or if a shorter final maturity is chosen than might otherwise be permitted under the applicable federal tax rules), market conditions or changes in law may limit or prevent you (or the obligor) from refinancing those bonds when required. Further, limitations in the federal tax rules on advance refunding of bonds (an advance refunding of bonds occurs when tax-exempt bonds are refunded more than 90 days prior to the date on which those bonds may be retired) may restrict your (or the obligor's) ability to refund the Fixed Rate Bonds to take advantage of lower interest rates.

Reinvestment Risk

You (or the obligor) may have proceeds of the Fixed Rate Bonds to invest prior to the time that you (or the obligor) are able to spend those proceeds for the authorized purpose. Depending on market conditions, you (or the obligor) may not be able to invest those proceeds at or near the rate of interest that you (or the obligor) are paying on the bonds, which is referred to as "negative arbitrage".

Tax Compliance Risk (applicable if the Fixed Rate Bonds are tax-exempt bonds)

The issuance of tax-exempt bonds is subject to a number of requirements under the United States Internal Revenue Code, as enforced by the Internal Revenue Service (IRS), and, if applicable, state tax laws. You (and the obligor) must take certain steps and make certain representations prior to the issuance of tax-exempt bonds. You (and the obligor) also must covenant to take certain additional actions after issuance of the tax-exempt bonds. A breach of the representations or a failure to comply with certain tax-related covenants may cause the interest on the Fixed Rate Bonds to become taxable retroactively to the date of issuance of the bonds, which may result in an increase in the interest rate that you (or the obligor) pay on the bonds or the mandatory redemption of the bonds. The IRS also may audit you (or the obligor) or the Fixed Rate Bonds or your (or the obligor's) other bonds, in some cases on a random basis and in other cases targeted to specific types of bond issues or tax concerns. If the Fixed Rate Bonds are declared taxable, or if you (or the obligor) are subject to audit, the market price of the Fixed Rate Bonds and/or your (or the obligor's) other bonds may be adversely affected. Further, your (or the obligor's) ability to issue other tax-exempt bonds also may be limited.

This description of tax compliance risks is not intended as legal advice and you should consult with your bond counsel regarding tax implications of issuing Fixed Rate Bonds.

Continuing Disclosure Risk.

In connection with the issuance of Fixed Rate Bonds, you (and/or the obligor) may be subject to continuing disclosures which require dissemination of annual financial and operating information and notices of material events. Compliance with these continuing disclosure requirements is important and facilitates an orderly secondary market. Failure to comply with continuing disclosure requirements may affect the liquidity and marketability of the Fixed Rate Bonds, as well as your (and/or the obligor's) other outstanding securities. Because instances of

material non-compliance with previous continuing disclosure requirements must be disclosed in an official statement, failure to comply with continuing disclosure requirements may also make it more difficult or expensive for you (or the obligor) to market and sell future bonds.

December 16, 2019

Mr. Mark Moran  
City Administrator  
101 Green Street  
Galena, Illinois 61036

Re: City of Galena, Jo Daviess County, Illinois (the “City”)  
General Obligation Refunding Bonds  
(Alternate Revenue Source), Series 2020

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Dear Mark:

We are pleased to provide an engagement letter for our services as bond counsel for the bonds in reference (the “*Bonds*”). For convenience and clarity, we may refer to the City in its corporate capacity and to you, the City officers (including the governing body of the City) and employees and general and special counsel to the City, collectively as “*you*” (or the possessive “*your*”). You have advised us that the purpose of the issuance of the Bonds, briefly stated, is to refund certain outstanding bonds of the City. You are retaining us for the limited purpose of rendering our customary approving legal opinion as described in detail below.

A. DESCRIPTION OF SERVICES

As Bond Counsel, we will work with you and the following persons and firms: the underwriters or other bond purchasers who purchase the Bonds from the City (all of whom are referred to as the “*Bond Purchasers*”), counsel for the Bond Purchasers, financial advisors, trustee, paying agent and bond registrar and their designated counsel (you and all of the foregoing persons or firms, collectively, the “*Participants*”). We intend to undertake each of the following (the “*Services*”) as necessary:

1. Review relevant Illinois law, including pending legislation and other recent developments, relating to the legal status and powers of the City or otherwise relating to the issuance of the Bonds.
2. Obtain information about the Bond transaction and the nature and use of the facilities or purposes financed or refinanced with the proceeds of the Bonds (the “*Project*”).
3. Review the proposed timetable and consult with the Participants as to the issuance of the Bonds in accordance with the timetable.

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4. Consider the issues arising under the Internal Revenue Code of 1986, as amended, and applicable tax regulations and other sources of law relating to the issuance of the Bonds on a tax-exempt basis; these issues include, without limitation, ownership and use of the Project, use and investment of Bond proceeds prior to expenditure and security provisions or credit enhancement relating to the Bonds.

5. Prepare or review major Bond documents, including tax compliance certificates, review the bond purchase agreement, if applicable, and, at your request, draft descriptions of the documents which we have drafted. We understand that the Bond Purchasers have undertaken to independently perform their due diligence investigation with respect to the Bonds. As Bond Counsel, we assist you in reviewing only those portions of the official statement or any other disclosure document to be disseminated in connection with the sale of the Bonds involving the description of the Bonds, the security for the Bonds (excluding forecasts, projections, estimates or any other financial or economic information in connection therewith) and the description of the federal tax exemption of interest on the Bonds and, if applicable, the “bank-qualified” status of the Bonds.

6. Prepare or review all pertinent proceedings to be considered by the governing body of the City; confirm that the necessary quorum, meeting and notice requirements are contained in the proceedings and draft pertinent excerpts of minutes of the meetings relating to the financing.

7. Attend or host such drafting sessions and other conferences as may be necessary, including a preclosing, if needed, and closing; and prepare and coordinate the distribution and execution of closing documents and certificates, opinions and document transcripts.

8. Render our legal opinion regarding the validity of the Bonds, the source of payment for the Bonds and the federal income tax treatment of interest on the Bonds, which opinion (the “*Bond Opinion*”) will be delivered in written form on the date the Bonds are exchanged for their purchase price (the “*Closing*”). The Bond Opinion will be based on facts and law existing as of its date. Please see the discussion below at Part D. Please note that our opinion represents our legal judgment based upon our review of the law and the facts so supplied to us that we deem relevant and is not a guarantee of a result.

B. LIMITATIONS; SERVICES WE DO NOT PROVIDE

Our Services as Bond Counsel are limited as stated above. Consequently, unless otherwise agreed pursuant to a separate engagement letter, our Services *do not* include:

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1. Giving any advice, opinion or representation as to the financial feasibility or the fiscal prudence of issuing the Bonds, including, without limitation, the undertaking of the Project, the investment of Bond proceeds, the making of any investigation of or the expression of any view as to the creditworthiness of the City, of the Project or of the Bonds or the form, content, adequacy or correctness of the financial statements of the City. We will not offer you financial advice in any capacity beyond that constituting services of a traditionally legal nature.

2. Except as described in Paragraph (A)(5) above, assisting in the preparation or review of an official statement or any other disclosure document with respect to the Bonds (which may be referred to as the “*Official Statement*”) or performing an independent investigation to determine the accuracy, completeness or sufficiency of the Official Statement or rendering any advice, view or comfort that the Official Statement does not contain any untrue statement of a material fact or omit to state a material fact necessary to make the statements contained therein, in light of the circumstances under which they were made, not misleading. Please see our comments below at Paragraphs (D)(5) and (D)(6).

3. Independently establishing the veracity of certifications and representations of you or the other Participants. For example, we will not review the data available on the Electronic Municipal Market Access system website created by the Municipal Securities Rulemaking Board (and commonly known as “EMMA”) to verify the information relating to the Bonds to be provided by the Bond Purchasers, and we will not undertake a review of your website to establish that information contained corresponds to that which you provide independently in your certificates or other transaction documents.

4. Supervising any state, county or local filing of any proceedings held by the governing body of the City incidental to the Bonds.

5. Preparing any of the following — requests for tax rulings from the Internal Revenue Service (the “*IRS*”), blue sky or investment surveys with respect to the Bonds, state legislative amendments or pursuing test cases or other litigation.

6. Opining on securities laws compliance or as to the continuing disclosure undertaking pertaining to the Bonds; and, after the execution and delivery of the Bonds, providing advice as to any Securities and Exchange Commission investigations or concerning any actions necessary to assure compliance with any continuing disclosure undertaking.

7. After Closing, providing continuing advice to the City or any other party concerning any actions necessary to assure that interest paid on the Bonds will continue to be tax-exempt; *e.g.*, we will not undertake rebate calculations for the Bonds without a separate engagement for

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December 16, 2019  
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that purpose, we will not monitor the investment, use or expenditure of Bond proceeds or the use of the Project, and we are not retained to respond to IRS audits.

8. Any other services not specifically set forth above in Part A.

C. ATTORNEY-CLIENT RELATIONSHIP; REPRESENTATION OF OTHERS

Upon execution of this engagement letter, the City will be our client, and an attorney-client relationship will exist between us. However, our Services as Bond Counsel are limited as set forth in this engagement letter, and your execution of this engagement letter will constitute an acknowledgment of those limitations. Also please note that the attorney-client privilege, normally applicable under state law, may be diminished or non-existent for written advice delivered with respect to Federal tax law matters.

This engagement letter will also serve to give you express written notice that from time to time we represent in a variety of capacities and consult with most underwriters, investment bankers, credit enhancers such as bond insurers or issuers of letters of credit, ratings agencies, investment providers, brokers of financial products, financial advisors, banks and other financial institutions and other persons who participate in the public finance market on a wide range of issues. We may represent the Bond Purchasers in other matters not related to the Bond transaction. Prior to execution of this engagement letter we may have consulted with one or more of such firms regarding the Bonds including, specifically, the Bond Purchasers. We are advising you, and you understand that the City consents to our representation of it in this matter, notwithstanding such consultations, and even though parties whose interests are or may be adverse to the City in this transaction are clients in other unrelated matters. Your acceptance of our services constitutes consent to these other engagements. Neither our representation of the City nor such additional relationships or prior consultations will affect, however, our responsibility to render an objective Bond Opinion.

Your consent does not extend to any conflict that is not subject to waiver under applicable Rules of Professional Conduct (including Circular 230 discussed below), or to any matter that involves the assertion of a claim against the City or the defense of a claim asserted by the City. In addition, we agree that we will not use any confidential non-public information received from you in connection with this engagement to your material disadvantage in any matter in which we would be adverse to you.

Circular 230 as promulgated by the U.S. Department of Treasury ("*Circular 230*") provides rules of professional conduct governing tax practitioners. Circular 230 includes provisions regarding conflicts of interest and related consents that in some respects are stricter

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than applicable state rules of professional conduct which otherwise apply. In particular, Circular 230 requires your consent to conflicts of interest be given in writing within 30 days of the date of this letter. If we have not received all of the required written consents by this date, we may be required under Circular 230 to “promptly withdraw from representation” of the City in this matter.

Further, this engagement letter will also serve to give you express notice that we represent many other municipalities, school districts, park districts, counties, townships, special districts and units of local government both within and outside of the State of Illinois and also the State itself and various of its agencies and authorities (collectively, the “*governmental units*”). Most but not all of these representations involve bond or other borrowing transactions. We have assumed that there are no controversies pending to which the City is a party and is taking any position which is adverse to any other governmental unit, and you agree to advise us promptly if this assumption is incorrect. In such event, we will advise you if the other governmental unit is our client and, if so, determine what actions are appropriate. Such actions could include seeking waivers from both the City and such other governmental unit or withdrawal from representation.

We anticipate that the City will have its general or special counsel available as needed to provide advocacy in the Bond transaction and has had the opportunity to consult with such counsel concerning the conflict consents and other provisions of this letter; and that other Participants will retain such counsel as they deem necessary and appropriate to represent their interests.

D. OTHER TERMS OF THE ENGAGEMENT; CERTAIN OF YOUR UNDERTAKINGS

Please note our understanding with respect to this engagement and your role in connection with the issuance of the Bonds.

1. In rendering the Bond Opinion and in performing any other Services hereunder, we will rely upon the certified proceedings and other certifications you and other persons furnish us. Other than as we may determine as appropriate to rendering the Bond Opinion, we are not engaged and will not provide services intended to verify the truth or accuracy of these proceedings or certifications. We do not ordinarily attend meetings of the governing body of the City at which proceedings related to the Bonds are discussed or passed unless special circumstances require our attendance.

2. The factual representations contained in those documents which are prepared by us, and the factual representations which may also be contained in any other documents that are

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Mr. Mark Moran  
December 16, 2019  
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furnished to us by you are essential for and provide the basis for our conclusions that there is compliance with State law requirements for the issue and sale of valid bonds and with the Federal tax law for the tax exemption of interest paid on the Bonds. Accordingly, it is important for you to read and understand the documents we provide to you because you will be confirming the truth, accuracy and completeness of matters contained in those documents at the issuance of the Bonds.

3. If the documents contain incorrect or incomplete factual statements, you must call those to our attention. We are always happy to discuss the content or meaning of the transaction documents with you. Any untruth, inaccuracy or incompleteness may have adverse consequences affecting either the tax exemption of interest paid on the Bonds or the adequacy of disclosures made in the Official Statement under the State and Federal securities laws, with resulting potential liability for you. During the course of this engagement, we will further assume and rely on you to provide us with complete and timely information on all developments pertaining to any aspect of the Bonds and their security. We understand that you will cooperate with us in this regard.

4. You should carefully review all of the representations you are making in the transaction documents. We are available and encourage you to consult with us for explanations as to what is intended in these documents. To the extent that the facts and representations stated in the documents we provide to you appear reasonable to us, and are not corrected by you, we are then relying upon your signed certifications for their truth, accuracy and completeness.

5. Issuing the Bonds as “securities” under State and Federal securities laws and on a tax-exempt basis is a serious undertaking. As the issuer of the Bonds, the City is obligated under the State and Federal securities laws and the Federal tax laws to disclose all material facts. The City’s lawyers, financial advisers and bankers can assist the City in fulfilling these duties, but the City in its corporate capacity, including your knowledge, has the collective knowledge of the facts pertinent to the transaction and the ultimate responsibility for the presentation and disclosure of the relevant information. Further, there are complicated Federal tax rules applicable to tax-exempt bonds. The IRS has an active program to audit such transactions. The documents we prepare are designed so that the Bonds will comply with the applicable rules, but this means you must fully understand the documents, including the representations and the covenants relating to continuing compliance with the federal tax requirements. Accordingly, we want you to ask questions about anything in the documents that is unclear.

6. As noted, the members of the governing body of the City also have duties under the State and Federal securities and tax laws with respect to these matters and should be

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knowledgeable as to the underlying factual basis for the bond issue size, use of proceeds and related matters.

7. We are also concerned about the adoption by the City of the gift ban provisions of the State Officials and Employees Ethics Act, any special ethics or gift ban ordinance, resolution, bylaw or code provision, any lobbyist registration ordinance, resolution, bylaw or code provision or any special provision of law or ordinance, resolution, bylaw or code provision relating to disqualification of counsel for any reason. We are aware of the provisions of the State Officials and Employees Ethics Act and will assume that you are aware of these provisions as well and that the City has adopted proceedings that are only as restrictive as such Act. However, if the City has stricter provisions than appear in such Act or has adopted such other special ethics or lobbyist provisions, we assume and are relying upon you to advise us of same.

E. FEES

As is customary, we will bill our fees as Bond Counsel on a transactional basis instead of hourly. Disbursements and other non-fee charges are included in our fees for professional services. Factors which affect our billing include: (a) the amount of the Bonds; (b) an estimate of the time necessary to do the work; (c) the complexity of the issue (number of parties, timetable, type of financing, legal issues and so forth); (d) recognition of the partially contingent nature of our fee, since it is customary that in the case no financing is ever completed, we render a greatly reduced statement of charges; and (e) a recognition that we carry the time for services rendered on our books until a financing is completed, rather than billing monthly or quarterly.

Based upon our current understanding of the terms, structure, size and schedule of the proposed financing, the duties we will undertake pursuant to this engagement letter, the time we estimate will be necessary to effectuate the transaction and the responsibilities we will assume, we expect that our fee will be \$25,000.

Our statement of charges is customarily rendered and paid at Closing, or in some instances upon or shortly after delivery of the bond transcripts; we generally do not submit any statement for fees prior to the Closing, except in instances where there is a substantial delay from the expected timetable. In such instances, we reserve the right to present an interim statement of charges. If, for any reason, the Bonds are not issued or are issued without the rendition of our Bond Opinion as bond counsel, or our services are otherwise terminated, we expect to negotiate with you a mutually agreeable compensation.

Mr. Mark Moran  
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The undersigned will be the attorney primarily responsible for the firm's services on this Bond issue, with assistance as needed from other members of our bond, securities and tax departments.

F. RISK OF AUDIT BY INTERNAL REVENUE SERVICE

The IRS has an ongoing program of auditing tax-exempt obligations to determine whether, in the view of the IRS, interest on such tax-exempt obligations is excludable from gross income of the owners for federal income tax purposes. We can give no assurances as to whether the IRS might commence an audit of the Bonds or whether, in the event of an audit, the IRS would agree with our opinions. If an audit were to be commenced, the IRS may treat the City as the taxpayer for purposes of the examination. As noted in Paragraph 7 of Part B above, the scope of our representation does not include responding to such an audit. However, if we were separately engaged at the time, and subject to the applicable rules of professional conduct, we may be able to represent the City in the matter.

G. END OF ENGAGEMENT AND POST-ENGAGEMENT; RECORDS

Our representation of the City and the attorney-client relationship created by this engagement letter will be concluded upon the issuance of the Bonds. Nevertheless, subsequent to the Closing, we will prepare and provide the Participants a bond transcript pertaining to the Bonds and make certain that a Federal Information Reporting Form 8038-G is filed.

Please note that you are engaging us as special counsel to provide legal services in connection with a specific matter. After the engagement, changes may occur in the applicable laws or regulations, or interpretations of those laws or regulations by the courts or governmental agencies, that could have an impact on your future rights and liabilities. Unless you engage us specifically to provide additional services or advice on issues arising from this matter, we have no continuing obligation to advise you with respect to future legal developments.

This will be true even though as a matter of courtesy we may from time to time provide you with information or newsletters about current developments that we think may be of interest to you. While we would be pleased to represent you in the future pursuant to a new engagement agreement, courtesy communications about developments in the law and other matters of mutual interest are not indications that we have considered the individual circumstances that may affect your rights or have undertaken to represent you or provide legal services.

At your request, to be made at or prior to Closing, any other papers and property provided by the City will be promptly returned to you upon receipt of payment for our outstanding fees

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Mr. Mark Moran  
December 16, 2019  
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and client disbursements. All other materials shall thereupon constitute our own files and property, and these materials, including lawyer work product pertaining to the transaction, will be retained or discarded by us at our sole discretion. You also agree with respect to any documents or information relating to our representation of you in any matter which have been lawfully disclosed to the public in any manner, such as by posting on EMMA, your website, newspaper publications, filings with a County Clerk or Recorder or with the Secretary of State, or otherwise, that we are permitted to make such documents or information available to other persons in our reasonable discretion. Such documents might include (without limitation) legal opinions, official statements, resolutions or ordinances, or like documents as assembled and made public in a governmental securities offering.

We call your attention to the City's own record keeping requirements as required by the IRS. Answers to frequently asked questions pertaining to those requirements can be found on the IRS' website under frequently asked questions related to tax-exempt bonds at [www.irs.gov](http://www.irs.gov) (click on "Tax Exempt Bond Community", then "Frequently Asked Questions"), and it will be your obligation to comply for at least as long as any of the Bonds (or any future bonds issued to refund the Bonds) are outstanding, plus three years.

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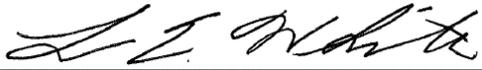
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H. YOUR SIGNATURE REQUIRED

If the foregoing terms are acceptable to you, please so indicate by returning the enclosed copy of this engagement letter dated and signed by an authorized officer not later than 30 days after the date of this letter, retaining the original for your files. Please note that if we perform Services prior to your executing this engagement letter, this engagement letter shall be effective as of the date we have begun rendering the Services. We will provide copies of this letter to certain of the Participants to provide them with an understanding of our role. We look forward to working with you.

Very truly yours,

CHAPMAN AND CUTLER LLP

By:   
Lawrence E. White

Accepted and Approved:

CITY OF GALENA, JO DAVIESS  
COUNTY, ILLINOIS

By: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_, 20\_\_

LEW/SGP:kd  
Enclosure

# CITY OF GALENA, ILLINOIS

101 Green Street, Galena, Illinois 61036



## MEMORANDUM

TO: Honorable Mayor Renner and City Council

FROM: Mark Moran, City Administrator

DATE: December 19, 2019

RE: 2020 Capital Improvement Plan

A handwritten signature in black ink, appearing to read "Mark Moran", is positioned to the right of the "FROM:" line.

I am presenting for your review the proposed Capital Improvement Plan (CIP) for fiscal years 2021-25. The plan includes proposed departmental projects and purchases exceeding \$10,000 over the next five years. More than 160 projects totaling \$8.3 million were submitted.

While the plan attempts to be realistic about available funding, completing the projects and funding the purchases would be subject to the availability of funding as determined during the annual budget process.

I suggest that you take time to review the CIP so we could begin discussions about the plan at Monday's meeting. I am hopeful that you would approve the plan, with any changes that you identify, no later than the January 13 meeting. The CIP is a very important component of the budget preparation process that will begin in January.

I understand that reviewing the CIP on an iPad is challenging. If you would like a paper copy of the plan, please let me know.

I look forward to your discussion about the CIP. Please let me know if you have any questions.



# City of Galena, Illinois

## CAPITAL IMPROVEMENT PLAN

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Fiscal Years 2021 – 2025

*“Planned expenditures for systematically constructing, maintaining, upgrading and replacing the community’s physical plant”*

---

DRAFT 1

December 23, 2019

Mayor Terry Renner

Alderspersons:

Chris Allendorf

Pam Bernstein

Robert Hahn

Jerry Kieffer

Marc McCoy

Jerry Westemeier

---

City Administrator, Mark Moran

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## **THE CAPITAL IMPROVEMENT PLAN**

A capital improvement plan (CIP) is a multi-year schedule of municipal improvements. The schedule usually covers a period of five or six years but may extend to 10 years and beyond. The Galena CIP spans a five-year period beginning May 1, 2020 (Fiscal Year 2012-21). This plan sets forth the proposed expenditures for systematically constructing, maintaining, upgrading and replacing the community's physical plant or infrastructure, and includes vehicles and other mobile equipment. Capital improvement projects are typically major, infrequent expenditures, such as the construction of a new facility or rehabilitation or major repair of an existing facility. With the relatively small size of our community and budget, this CIP recognizes a project as small as \$10,000 as a capital project. Individual projects from the adopted plan should become part of the capital budget for their respective departments.

In order to be useful as a tool for budgeting and sound financial management, the plan must be updated annually by reviewing existing projects, proposing new projects and extending the program by an additional year. This process should also consider the short term and long-term effects of the expenditures and any associated debt on fund balances and cash flow for operations. The CIP is considered essential for managing and coordinating the efficient expenditure of Galena's public resources.

## **A CAPITAL IMPROVEMENT DEFINED**

A capital improvement project is defined as a major expenditure that includes one or more of the following:

1. Any acquisition of land for a public purpose;
2. Any construction of a new facility (e.g., a public building, water lines, pavilion etc...) or an addition to, or extension of, such a facility;
3. A rehabilitation (i.e., something which is infrequent and would not be considered annual or other recurrent maintenance) or major repair of all or a part of a building, its grounds, or a facility, or of equipment, provided that the cost is \$10,000 or more and the improvement will have a useful life of 10 years or more;
4. Purchase or major equipment (i.e., items with a cost – individually or in total – of \$10,000 or more), which have a useful life of five years or more, including vehicles (rolling stock) if part of a systematic replacement plan;
5. Any planning, feasibility, engineering, or capital design study related to an individual capital improvement project or to a program that is implemented through individual capital improvement projects.

## **DEVELOPING THE GALENA CIP**

The necessity of a CIP was identified in 1991 as a recommendation of the Galena Comprehensive Plan. The city's first CIP was developed in 1999 and has been largely implemented. In 2003, the Galena Comprehensive Plan called for the update of the CIP and, in 2004 the city council identified the CIP update as one of the top priorities during the visioning and four-year goal setting process. The CIP was again updated in 2007 and each year since. The staff and administration have made a commitment to updating the plan annually. This section generally describes the process of developing the 2020 Galena CIP and the components of the plan.

### **Staff Involvement**

Developing the recommended capital improvement plan was a lengthy, multi-step process. The process began with each department head identifying the projects and major purchases that he or she would like to see completed over the next five years in his or her respective area(s) of responsibility. Department heads also estimated the cost of each project or purchase, described the relationship to other projects and assigned the projects a priority rating. More than 160 projects and purchases totaling \$8.3 million were submitted for the five-year period. Each project is described in a narrative and the estimated cost is identified in the ***“Attachment A: Individual Project Descriptions”***.

### **Evaluating Projects**

Two separate methods were utilized to demonstrate the relative importance of each project or purchase. First, each project or purchase was reviewed against criterion ranging from health and safety to resource conservation. Each criterion used is described in detail in ***Figure 1, “Criteria for Evaluating Capital Improvement Requests”*** on the next page. The results of the evaluation are shown in ***Attachment B, “Evaluation of Projects Using Criteria”***. Second, each department head ranked each project as either a “high”, “medium” or “low” priority. Combined, both methods of evaluation were useful for showing the relative importance or need of each project or purchase.

**FIGURE 1. CRITERIA FOR EVALUATING  
CAPITAL IMPROVEMENT PROJECT REQUESTS**

The following criteria were used to evaluate each of the proposed capital improvement projects or purchases. The results of the evaluation are shown as a matrix, *“Table 2. Evaluation of Requests Using Criteria”*.

<p><b>Risk to Public Safety or Health.</b> To protect against a clear and possibly immediate risk to public safety or public health.</p>
<p><b>Deteriorated Facility.</b> An investment that deals with a deteriorated facility or piece of equipment. The action taken may be either: 1) reconstruction or extensive rehabilitation to extend its useful life to avoid or to postpone replacing it with a new and costlier one; or 2) replacement of the facility or piece of equipment with a new one.</p>
<p><b>Systematic Replacement.</b> An investment that replaces or upgrades a facility or piece of equipment as part of a systematic replacement program. This investment assumes the equipment will be replaced at approximately the same level of service. Some increase in size to allow for normal growth or increased demand is anticipated.</p>
<p><b>Improvement of Operating Efficiency.</b> An investment that substantially and significantly improves the operating efficiency of a department. Or an expenditure that has a very favorable return on investment with a promise of reducing existing, or future, increases in operating expenses (e.g., introduction of a new or improved technology).</p>
<p><b>Coordination.</b> 1) An expenditure that is necessary to insure coordination with another CIP project (e.g., scheduling a sewer project to coincide with a street reconstruction project so that the street is not dug up a year after it is completed); 2) A project that is necessary to comply with requirements imposed by others (e.g., a court order, a change in federal or state law, an agreement with another governmental jurisdiction or agency); or 3) A project that meets established goals or objectives of the City Council and Mayor.</p>
<p><b>Equitable Provision of Services, Facilities.</b> 1) An investment that serves the special needs of a segment of the community's population identified by public policy as deserving of special attention (e.g., the handicapped, the elderly, or low- and moderate-income persons); or 2) An investment that, considering existing services or facilities, makes equivalent facilities or services available to neighborhoods or population groups that are now undeserved in comparison with residents generally.</p>
<p><b>Protection and Conservation of Resources.</b> 1) A project that protects natural resources that are at risk of being reduced in amount or quality; or 2) A project that protects the investment in existing infrastructure against excessive demand or overload that threatens the capacity or useful life of a facility or piece of equipment.</p>
<p><b>New or Substantially Expanded Facility.</b> Construction, or acquisition, of a new facility (including land) or new equipment, or major expansion thereof, that provides a service, or a level of service, not now available.</p>

**Revenue Sources and Projections**

The Galena CIP is utilized for planning and budgeting purposes and not as a rigid purchasing plan nor project schedule. Therefore, while the plan identifies likely revenue sources, it does not contain projections of available revenue for implementation of the plan. One exception is the Street Improvement Sales Tax Fund where a half percent sales tax is dedicated for streets and related infrastructure. Detailed revenue/expense analysis is performed to insure the proposed projects may be accomplished with available revenue from the fund. Sales tax funded projects and all the CIP’s prioritized projects should be evaluated for inclusion in the city’s operating budget each fiscal year.

Abbreviations are used throughout the plan for both revenue sources and departments. The abbreviations are shown in Figures 2 and 3 below.

**Figure 2.  
Revenue Sources Abbreviations**

Revenue Source	Abbreviation
General Fund Cash	GF
General Fund Financing	GFF
Motor Fuel Tax	MFT
Flood Control Cash	FC
Water Enterprise Cash	WTC
Water Enterprise Financing	WTF
Sewer Enterprise Cash	SWC
Sewer Enterprise Financing	SWF
Fire Department Cash	FD
Grants	GT

**Figure 3.  
Department Abbreviations**

Department	Abbreviation
Public Works	DPW
Engineering	ENG
Turner Hall	TH
Administration	ADM
Flood Control	FLD
Water	WAT
Sewer	SEW
Parks	PAR
Police	POL
Fire	FD
Swimming Pool	SP

**Recommended Plan**

After identifying all the potential capital projects and purchases, describing them in detail and evaluating their importance, the final plan was developed. The plan is presented as **“Attachment C: Recommended Capital Improvement Plan”**. The plan was recommended to the Mayor and City Council at the city council meeting of December 23, 2019 and approved \_\_\_\_\_. Again, the projects and purchases from the final plan should be incorporated into the annual operating budget as revenues permit.

**Summary**

The importance of implementing and updating the Capital Improvement Plan cannot be over-emphasized. Using the same process described herein, the Capital Improvement Plan will continue to be updated annually to span a full five-year period. New capital improvement requests will be submitted by department heads for evaluation and inclusion in the updated plan. With guidance from the Fund Balance Policy, the Comprehensive Plan, and constituents, elected officials will decide which projects and purchases from the recommended Capital Improvement Plan will be included in the annual budget.

**CIP PROPOSAL DESCRIPTIONS AND JUSTIFICATIONS OVERVIEW**

In the following section, every CIP project that has been proposed by the various departments of the City is described in detail. Criteria, including the recommendations of the Galena Comprehensive Plan are applied to assess whether a particular project is justified. Each project is defined in the following format:

---

Project Title:	<i>Name of the project as used throughout the CIP.</i>
Department:	<i>Name of the department that proposed the project.</i>
Date Submitted:	<i>The date the project was proposed. (Nearly all the projects in this first year of the plan were also proposed this year.)</i>
Project Priority:	<i>The priority of the project relative to other projects within the department.</i>
Project Description:	<i>Description of the project.</i>
CIP Evaluation Criteria:	<i>A list of the applicable criterion from the following page, Figure 1, "Evaluation Criteria for Capital Budget Requests". The criteria are used to evaluate the importance of the project relative to other projects.</i>
Comprehensive Plan:	<i>A statement of whether or not the project can be directly justified by the Galena Comprehensive Plan and, if so, a page number and an excerpt from the plan.</i>
Multi-year:	<i>Identification of whether the project will take more than one year to complete.</i>
Coordination:	<i>Identification of whether the project is dependent on one or more other CIP projects and, if so, a description of the related project(s).</i>
Cost Estimate:	<i>The estimated cost of the project.</i>
Basis of Cost Estimate:	<i>Identification of how the cost estimate was derived.</i>
Funding Source:	<i>Identification of the proposed funding for the project. Possible funding sources include, but are not limited to:</i>
	<ul style="list-style-type: none"><li>• <i>General Fund Reserves</i></li><li>• <i>General Fund Financing</i></li><li>• <i>Motor Fuel Tax</i></li><li>• <i>Flood Control Cash</i></li><li>• <i>Capital Projects Fund Reserves</i></li><li>• <i>Capital Projects Fund Financing</i></li><li>• <i>Water Enterprise Cash</i></li><li>• <i>Water Enterprise Financing</i></li><li>• <i>Sewer Enterprise Reserves</i></li><li>• <i>Sewer Enterprise Financing</i></li><li>• <i>Grants</i></li><li>• <i>Donations</i></li></ul>

## ATTACHMENT A. INDIVIDUAL PROJECT DESCRIPTIONS (FY 2021-2025)

### PUBLIC WORKS

Project Title:	<b>5-Year Street Improvement Program (See Appendix A)</b>
Department:	Public Works/Engineering
Date Submitted:	December 2019
Project Priority:	High
Project Description:	Includes all street improvement work planned for the five-year plan period. Projects to completed with the non-home rule sales tax revenues are listed in the 5-Year Street Improvement Plan and detailed in Appendix A.
CIP Evaluation Criteria:	Deteriorated Facility, Systematic Replacement, Equity Provision, Coordination, Operating Efficiency
Comprehensive Plan:	P. 108 "Budget for road improvements through the CIP process."
Multi-Year:	No
Coordination:	Yes. Projects are scheduled to coincide with underground utility work as much as possible.
Cost Estimate:	\$2,151,795
Basis of Cost Estimate:	Estimates based on measured quantities and prices from recent projects
Funding Source:	Capital Projects Fund (Sales Tax Fund)

Project Title:	<b>Downtown sidewalk and crosswalk replacement</b>
Department:	Public Works
Date Submitted:	December 2008
Project Priority:	High
Project Description:	Replace deteriorated concrete sidewalks and crosswalks in the downtown. The replacement plan is based on the study and recommendations by MSA Professional Services.
CIP Evaluation Criteria:	Deteriorated Facility, Public Safety, Systematic Replacement
Comprehensive Plan:	No direct reference
Multi-year:	Yes
Coordination:	No
Cost Estimate:	\$220,000
Basis of Cost Estimate:	Engineer's estimate
Funding Source:	Revolving Loan Fund Grant from Department of Commerce and Economic Opportunity, General Fund

Project Title:	<b>Street crack sealing and street repairs</b>
Department:	Public Works
Date Submitted:	December 2019
Project Priority:	High
Project Description:	Annual project to fill cracks in street pavement. Also, an allotment for miscellaneous repairs to streets and curb and gutter.
CIP Evaluation Criteria:	Deteriorated Facility, Systematic Replacement
Comprehensive Plan:	No direct reference
Multi-year:	Yes
Coordination:	No.
Cost Estimate:	\$125,000
Basis of Cost Estimate:	Engineer's estimate
Funding Source:	General Fund

Project Title:	<b>Replace approach structure on Meeker St pedestrian bridge</b>
Department:	Public Works
Date Submitted:	January 2016
Project Priority:	Medium
Project Description:	Replace deteriorated elevated concrete walkway that spans about 20 feet on the west end of the bridge and the same on the east end.
CIP Evaluation Criteria:	Deteriorated Facility, Public Safety, Systematic Replacement
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$45,000
Basis of Cost Estimate:	Engineer's estimate
Funding Source:	General Fund

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Project Title:	<b>Repairs to Kohlsaas Bridge deck</b>
Department:	Public Works
Date Submitted:	December 2018
Project Priority:	High
Project Description:	Complete specialty repairs to the underside of the concrete deck of the bridge. Seal top of deck.
CIP Evaluation Criteria:	Deteriorated Facility, Public Safety, Systematic Replacement
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$80,000
Basis of Cost Estimate:	Preliminary quote from contractor
Funding Source:	General Fund

---

Project Title:	<b>Additional parking at Recreation Park</b>
Department:	Public Works
Date Submitted:	January 2011
Project Priority:	High
Project Description:	Add perpendicular parking on the east side of the entry road to the pool. Parking would start in front of the Public Works building and extend to the area across from the playground. Widen entrance from Field Street to improve traffic flow.
CIP Evaluation Criteria:	New, Expanded Facility
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$53,000
Basis of Cost Estimate:	Contract award
Funding Source:	Grant Funding

---

Project Title:	<b>Commerce Street parking lot design and reconstruction</b>
Department:	Public Works
Date Submitted:	January 2017
Project Priority:	High
Project Description:	Resurfacing of the City parking lot between Commerce Street and Water Street. The project should include reshaping the lot to integrate Washington Street into the lot so all of the spaces would be "pay to park" spaces. Landscaping and lighting would also be considered.
CIP Evaluation Criteria:	Deteriorated Facility, Systematic Replacement
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$60,000
Basis of Cost Estimate:	Engineer's estimate
Funding Source:	Parking Fund

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Project Title:	<b>Depot exterior maintenance</b>
Department:	Public Works
Date Submitted:	December 2019
Project Priority:	High
Project Description:	Painting cupola and fascia, spot tuckpointing on west side, installation of ceilings on porch, painting parking lot lights.
CIP Evaluation Criteria:	Deteriorated Facility, Systematic Replacement
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	Yes. Coordinate light pole painting with painting of US 20 bridge railing
Cost Estimate:	\$12,000
Basis of Cost Estimate:	Preliminary estimate
Funding Source:	General Fund

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**Project Title:** Repair headwall at top of Green Street Steps  
**Department:** Public Works  
**Date Submitted:** December 2017  
**Project Priority:** High  
**Project Description:** Remove loose parge on headwall at top of Green Street steps, repair wall as needed, and apply new parge.  
**CIP Evaluation Criteria:** Deteriorated Facility  
**Comprehensive Plan:** No direct reference  
**Multi-year:** No  
**Coordination:** No  
**Cost Estimate:** \$10,000  
**Basis of Cost Estimate:** Engineer's estimate  
**Funding Source:** General Fund

**Project Title:** Paint Highway 20 bridge railings  
**Department:** Public Works  
**Date Submitted:** January 2017  
**Project Priority:** High  
**Project Description:** The steel stairway from the Highway 20 Bridge to the Depot Parking lot was painted in 2016. The proposed project would be to paint all of the steel railings on the US 20 bridge black to match the light poles.  
**CIP Evaluation Criteria:** Deteriorated Facility  
**Comprehensive Plan:** No direct reference  
**Multi-year:** No  
**Coordination:** No  
**Cost Estimate:** \$12,000  
**Basis of Cost Estimate:** Preliminary estimate  
**Funding Source:** General Fund

**Project Title:** Replace Green Street steps from Main to Bench  
**Department:** Public Works  
**Date Submitted:** December 2017  
**Project Priority:** Medium  
**Project Description:** Replace the concrete steps and railings from Main Street to Bench Street on Green Street. The current steps have been repeatedly repaired and are continuing to deteriorate.  
**CIP Evaluation Criteria:** Deteriorated Facility, Systematic Replacement  
**Comprehensive Plan:** No direct reference  
**Multi-year:** No  
**Coordination:** No  
**Cost Estimate:** \$30,000  
**Basis of Cost Estimate:** Preliminary estimate  
**Funding Source:** General Fund

**Project Title:** Dewey Avenue bridge replacement  
**Department:** Public Works  
**Date Submitted:** January 2015  
**Project Priority:** Medium  
**Project Description:** Replace the bridge over Hughlett's Creek on Dewey Avenue. The bridge has been inspected and found to be in poor condition. The project will be coordinated by the County Engineer and paid for with a combination of federal, county and city funds.  
**CIP Evaluation Criteria:** Deteriorated Facility, Systematic Replacement, Health Safety  
**Comprehensive Plan:** No direct reference  
**Multi-year:** Yes. Design, funding, and construction.  
**Coordination:** No  
**Cost Estimate:** \$75,000  
**Basis of Cost Estimate:** Preliminary estimate  
**Funding Source:** Motor Fuel Tax

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Project Title: **Sidewalk from US 20 to Cobblestone**  
 Department: Public Works  
 Date Submitted: January 2015  
 Project Priority: Medium  
 Project Description: Construction of five feet wide sidewalk from the intersection of U.S. Highway 20 and Oldenburg Lane to the beginning of Cobblestone Boulevard. The proposed sidewalk will link the bike path along U.S. Highway 20 to the Cobblestone Crossing Subdivision.  
 CIP Evaluation Criteria: New, Expanded Facility, Health Safety  
 Comprehensive Plan: No direct reference  
 Multi-year: Could be completed in phases  
 Coordination: No  
 Cost Estimate: \$47,000  
 Basis of Cost Estimate: Engineer's estimate  
 Funding Source: General Fund

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Project Title: **Annual sidewalk/steps replacement program**  
 Department: Public Works  
 Date Submitted: January 1999  
 Project Priority: Medium  
 Project Description: Systematic replacement of deteriorated sidewalks and public stairways throughout the community. The replacement schedule should be based on the results of the comprehensive inventory, condition assessment and prioritization.  
 CIP Evaluation Criteria: Deteriorated Facility, Public Safety, Equitable Provision of Facilities, New Facilities  
 Comprehensive Plan: P. 112 "Investigate the rehabilitation of sidewalks under the CIP process. This could include a discussion of possible financing mechanisms such as cost sharing or use of the assessment process."  
 Multi-year: Yes  
 Coordination: Yes. Initiate program after study/inventory is complete.  
 Cost Estimate: \$50,000  
 Basis of Cost Estimate: Completed inventory of one ward.  
 Funding Source: General Fund

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Project Title: **Seal concrete floor in Public Works Building**  
 Department: Public Works  
 Date Submitted: December 2018  
 Project Priority: Medium  
 Project Description: Install epoxy floor covering in garages to remedy spalling concrete and protect the in-floor heating system.  
 CIP Evaluation Criteria: Deteriorated Facility  
 Comprehensive Plan: No direct reference  
 Multi-year: No.  
 Coordination: Yes. Coordinate with coating floors in the swimming pool building.  
 Cost Estimate: \$30,000  
 Basis of Cost Estimate: Contractor estimate  
 Funding Source: General Fund

---

Project Title: **Replace Madison Street steps from Third to Fourth Street**  
 Department: Public Works  
 Date Submitted: December 2017  
 Project Priority: Medium  
 Project Description: Replace the concrete steps and railings from Third Street to Fourth Street on Madison Street (East Side). The current steps have been repeatedly repaired and are continuing to deteriorate.  
 CIP Evaluation Criteria: Deteriorated Facility, Systematic Replacement  
 Comprehensive Plan: No direct reference  
 Multi-year: No  
 Coordination: No  
 Cost Estimate: \$125,000  
 Basis of Cost Estimate: Engineer's estimate  
 Funding Source: General Fund, Grant

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Project Title:	<b>Wayfinding signage project</b>
Department:	Public Works
Date Submitted:	January 2017
Project Priority:	Medium
Project Description:	Project to improve wayfinding from the highway down to the pedestrian level. Project includes existing conditions assessment, systems strategy, design, and bidding performed by city staff. It is anticipated that the sign fabrication and installation would be phased over multiple years.
CIP Evaluation Criteria:	Deteriorated facility, Public Safety, Systematic Replacement
Comprehensive Plan:	No direct reference
Multi-year:	Yes
Coordination:	Yes. Coordinate with highway and street projects.
Cost Estimate:	\$50,000
Basis of Cost Estimate:	Preliminary estimate
Funding Source:	General Fund

Project Title:	<b>Public Works Rolling Stock Plan (See Appendix D)</b>
Department:	Public Works
Date Submitted:	December 2018
Project Priority:	High
Project Description:	Systematic replacement of public works vehicles and equipment.
CIP Evaluation Criteria:	Deteriorated Facility, Systematic Replacement, Operating Efficiency
Comprehensive Plan:	No direct reference
Multi-year:	Yes
Coordination:	No
Cost Estimate:	\$859,300
Basis of Cost Estimate:	Current cost of vehicles plus inflation factor
Funding Source:	General Fund, Water Fund, Sewer Fund, Flood Control

**ENGINEERING**

Project Title:	<b>Battery storage for City Hall solar</b>
Department:	Engineering
Date Submitted:	January 2017
Project Priority:	Medium
Project Description:	Installation of a battery pack on the interior of City Hall. The battery would store surplus power generated from the rooftop solar array. The stored power would be used when the solar array is not producing power. This project should use grant funding.
CIP Evaluation Criteria:	Resource Conservation, Operating Efficiency, New Facility
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$10,000
Basis of Cost Estimate:	Engineer's estimate
Funding Source:	Grant

**FLOOD CONTROL**

Project Title:	<b>Flood Control Rolling Stock Plan</b>
Department:	Flood Control
Date Submitted:	December 2018
Project Priority:	High
Project Description:	50% share of cost of trading-in the Public Works end loader every other year.
CIP Evaluation Criteria:	Systematic Replacement, Deteriorated Facility, Operating Efficiency
Comprehensive Plan:	No direct reference
Multi-year:	Yes
Coordination:	No
Cost Estimate:	\$31,800
Basis of Cost Estimate:	Contract cost
Funding Source:	Flood Control Fund

**WATER**

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Project Title: **East Side Water System DSI-Park Ave water main**  
 Department: Water  
 Date Submitted: January 2016  
 Project Priority: Medium  
 Project Description: Replace 2,525 feet of 6" and 4" water main with 10" water main in order to resolve a low pressure issue at the north end of Park Avenue on the east side of the river. The project is more fully described on page 5-1 of the 2015 Water System Study Report prepared by IIW Engineers and Surveyors. For the purposes of the CIP, this project also includes the installation of new water main on Fifth Street from Madison Street to Louieville Court.  
 CIP Evaluation Criteria: Systematic Replacement, Health Safety, Equitable Provision of Services  
 Comprehensive Plan: No direct reference  
 Multi-year: No  
 Coordination: Yes  
 Cost Estimate: \$1,400,000  
 Basis of Cost Estimate: Estimate from consulting engineer  
 Funding Source: Water Fund, EPA low-interest loan with 60% of principal forgiven

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Project Title: **East Side Water System DS2-Highway 20 water main**  
 Department: Water  
 Date Submitted: January 2016  
 Project Priority: Low  
 Project Description: Replace 720 feet of 4" water main with 6" water main under US Highway 20 between Park Avenue and Fourth Street. Also, replace 360  
 CIP Evaluation Criteria: Systematic Replacement, Health Safety, Equitable Provision of Services  
 Comprehensive Plan: No direct reference  
 Multi-year: No  
 Coordination: Yes  
 Cost Estimate: \$200,000  
 Basis of Cost Estimate: Estimate from consulting engineer  
 Funding Source: Water Fund, EPA low-interest loan with 60% of principal forgiven

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Project Title: **West side water system improvements**  
 Department: Water  
 Date Submitted: December 2018  
 Project Priority: High  
 Project Description: Removal of the Franklin Street water tower and distribution system upgrades to address both high and low pressure issues on the west side of the river. The project will provide more redundancy and security to the water supply.  
 CIP Evaluation Criteria: Systematic Replacement, Health Safety, Equitable Provision of Services  
 Comprehensive Plan: No direct reference.  
 Multi-year: Yes. Study began in 2018. Project plan development in 2019. Construction in 2022.  
 Coordination: No  
 Cost Estimate: \$1,300,000  
 Basis of Cost Estimate: Consulting engineer's estimate  
 Funding Source: Water Fund, EPA low-interest loan with 60% of principal forgiven

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Project Title: **Elm Street watermain addition**  
 Department: Water  
 Date Submitted: December 2019  
 Project Priority: High  
 Project Description: Installation of water main on Elm Street between Fulton Street and Council Fire Circle. The main will provide a redundant supply to the Indian Ridge Subdivision.  
 CIP Evaluation Criteria: Health Safety, Operating Efficiency, New Facility  
 Comprehensive Plan: No direct reference.  
 Multi-year: No  
 Coordination: Yes. Possibly coordinate/include with the West Side water system improvement project.  
 Cost Estimate: \$85,000  
 Basis of Cost Estimate: Preliminary estimate  
 Funding Source: Water Fund, EPA low-interest loan with 60% of principal forgiven

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Project Title:	<b>Tower, reservoir and standpipe inspection and maintenance</b>
Department:	Water
Date Submitted:	December 2019
Project Priority:	High
Project Description:	Inspection of interior of Horseshoe mound reservoir and interior and exterior of Dodge Street stand pipe. Repair as needed. Recoat Dodge Street stand pipe. Clean exterior of Industrial Park tower. Schedule all water storage facilities for regular inspection thereafter.
CIP Evaluation Criteria:	Deteriorated Facility, Health Safety, Operating Efficiency
Comprehensive Plan:	No direct reference.
Multi-year:	Yes. Standpipe recoating would likely occur the year after the inspection.
Coordination:	No
Cost Estimate:	\$115,000
Basis of Cost Estimate:	Preliminary estimate
Funding Source:	Water Fund

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Project Title:	<b>Hydrant and valve replacement</b>
Department:	Water
Date Submitted:	December 2018
Project Priority:	High
Project Description:	Replace hydrants and valves that are no longer operating properly.
CIP Evaluation Criteria:	Deteriorated Facility, Health Safety, Systematic Replacement, Operating Efficiency, Resource Conservation
Comprehensive Plan:	No direct reference.
Multi-year:	Yes
Coordination:	No
Cost Estimate:	\$30,000
Basis of Cost Estimate:	Preliminary estimate
Funding Source:	Water Fund

---

Project Title:	<b>Well #6 Upgrade</b>
Department:	Water
Date Submitted:	December 2019
Project Priority:	High
Project Description:	Inspect well. Lower the pump in Well #6 and replace the current shaft driven pump with a submersible pump as used in our other wells. Replace old well house with new well house.
CIP Evaluation Criteria:	Health Safety, Operating Efficiency, Resource Conservation, New Expanded Facility
Comprehensive Plan:	No direct reference.
Multi-year:	Yes. Inspection, design then construction.
Coordination:	No
Cost Estimate:	\$340,000
Basis of Cost Estimate:	Preliminary estimate from supplier
Funding Source:	Water Fund, possibly a low-interest forgivable loan from the Illinois EPA

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Project Title:	<b>South Street watermain replacement</b>
Department:	Water
Date Submitted:	December 2018
Project Priority:	High
Project Description:	Replacement of old watermain in South Street. Construction should be undertaken before the planned paving of the street in 2020.
CIP Evaluation Criteria:	Deteriorated Facility, Health Safety, Systematic Replacement, Operating Efficiency, Resource Conservation
Comprehensive Plan:	No direct reference.
Multi-year:	No
Coordination:	Yes
Cost Estimate:	\$128,000
Basis of Cost Estimate:	Preliminary estimate
Funding Source:	Street Sales Tax

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Project Title:	<b>High Street watermain replacement</b>
Department:	Water
Date Submitted:	December 2019
Project Priority:	High
Project Description:	Replacement of old watermain in High Street between Gear Street and South Street. Construction should be undertaken before the planned paving of the street in 2024.
CIP Evaluation Criteria:	Deteriorated Facility, Health Safety, Systematic Replacement, Operating Efficiency, Resource Conservation
Comprehensive Plan:	No direct reference.
Multi-year:	No
Coordination:	Yes. Coordinate with street paving.
Cost Estimate:	\$50,000
Basis of Cost Estimate:	Preliminary estimate
Funding Source:	Water Fund or possibility the Street Sales Tax

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Project Title:	<b>Dodge Street watermain replacement</b>
Department:	Water
Date Submitted:	December 2019
Project Priority:	High
Project Description:	Replacement of old watermain in Dodge Street between Gear Street and South Street. Construction should be undertaken before the planned paving of the street in 2024.
CIP Evaluation Criteria:	Deteriorated Facility, Health Safety, Systematic Replacement, Operating Efficiency, Resource Conservation
Comprehensive Plan:	No direct reference.
Multi-year:	No
Coordination:	Yes. Coordinate with street paving.
Cost Estimate:	\$50,000
Basis of Cost Estimate:	Preliminary estimate
Funding Source:	Water Fund or possibility the Street Sales Tax

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Project Title:	<b>Wann Street watermain replacement</b>
Department:	Water
Date Submitted:	December 2019
Project Priority:	High
Project Description:	Replacement of old watermain in Wann Street from Field Street to the north end.
CIP Evaluation Criteria:	Deteriorated Facility, Health Safety, Systematic Replacement, Operating Efficiency, Resource Conservation
Comprehensive Plan:	No direct reference.
Multi-year:	No
Coordination:	No
Cost Estimate:	\$120,000
Basis of Cost Estimate:	Preliminary estimate
Funding Source:	Water Fund or possibility the Street Sales Tax

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Project Title:	<b>East Side Water System DS3-south pressure reduction station</b>
Department:	Water
Date Submitted:	January 2016
Project Priority:	Low
Project Description:	Install 900 feet of 6" water main and a 6" pressure reduction station between Bouthillier Street and US Highway 20 in the Fourth Street right-of-way. The improvement is to address a fire flow deficiency in the area of the improvement. The project is more fully described on page 5-1 of the 2015 Water System Study Report prepared by IIW Engineers and Surveyors.
CIP Evaluation Criteria:	New Facility, Health Safety, Equitable Provision of Services
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	Yes
Cost Estimate:	\$200,000
Basis of Cost Estimate:	2015 estimate from consulting engineer
Funding Source:	Water Fund

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Project Title:	<b>East Side Water System WS1-new well and pump house</b>
Department:	Water
Date Submitted:	October 2004
Project Priority:	Low
Project Description:	Construct a new well and pump house on the east side of the Galena River adjacent to the Horseshoe Mound reservoir to provide a
CIP Evaluation Criteria:	New Facility, Health Safety, Equitable Provision of Services
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	Yes
Cost Estimate:	\$1,635,000
Basis of Cost Estimate:	2015 estimate from consulting engineer
Funding Source:	Water Fund

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Project Title:	<b>Well #5 and #7 Inspection and repair</b>
Department:	Water
Date Submitted:	December 2019
Project Priority:	Low
Project Description:	Inspect wells on a regular basis to identify preventative maintenance measures. Include Well #6 after upgrade completed.
CIP Evaluation Criteria:	Health Safety, Operating Efficiency, Resource Conservation
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$20,000
Basis of Cost Estimate:	Estimate from contractor
Funding Source:	Water Fund

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Project Title:	<b>Water and Sewer Rolling Stock Plan (See Appendix G)</b>
Department:	Water and Sewer
Date Submitted:	December 2019
Project Priority:	Medium
Project Description:	Systematic replacement of water and sewer vehicles and rolling equipment.
CIP Evaluation Criteria:	Deteriorated Facilities, Systematic Replacement, Operating Efficiency
Comprehensive Plan:	No direct reference
Multi-year:	Yes
Coordination:	Yes. Coordinate with Public Works Rolling Stock Plan
Cost Estimate:	\$165,300
Basis of Cost Estimate:	Current cost plus inflation factor
Funding Source:	Water and Sewer Funds

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**SEWER**

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Project Title:	<b>East side sewer crossing repair</b>
Department:	Sewer
Date Submitted:	December 2018
Project Priority:	High
Project Description:	Replace damaged sewer main under the east side levee just south of the Meeker Street pedestrian bridge and south toward Grant Park.
CIP Evaluation Criteria:	Public Health and Safety, Operating Efficiency, Systematic Replacement, Deteriorated Facility
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$38,000
Basis of Cost Estimate:	Preliminary estimate
Funding Source:	Sewer Fund

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Project Title:	<b>Replace heating system at treatment plant administration building</b>
Department:	Sewer
Date Submitted:	December 2019
Project Priority:	High
Project Description:	Replace the original 2001 furnace in the administration building at the wastewater treatment plant with a more efficient model. The furnace is at the end of its life.
CIP Evaluation Criteria:	Operating Efficiency, Systematic Replacement
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$10,000
Basis of Cost Estimate:	Contractor estimate
Funding Source:	Sewer Fund

Project Title:	<b>Replace air nozzles in treatment plant digestors</b>
Department:	Sewer
Date Submitted:	December 2019
Project Priority:	High
Project Description:	Replace the original air nozzles from 2001 in the digesters at the wastewater treatment plant. The nozzles introduce oxygenated bubbles into the final liquid stage of the sewerage treatment process.
CIP Evaluation Criteria:	Public Health and Safety, Operating Efficiency, Systematic Replacement
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$60,000
Basis of Cost Estimate:	Preliminary estimate
Funding Source:	Sewer Fund

Project Title:	<b>Polymer addition replacement at treatment plant</b>
Department:	Sewer
Date Submitted:	December 2019
Project Priority:	High
Project Description:	Replace the mechanism that feeds polymer into the belt filter press where the sewerage is dewatered for land application as sludge. The polymer feed is original equipment at the 2001 wastewater treatment plant.
CIP Evaluation Criteria:	Public Health and Safety, Operating Efficiency, Systematic Replacement
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$25,000
Basis of Cost Estimate:	Preliminary estimate
Funding Source:	Sewer Fund

Project Title:	<b>South Street sewer main replacement</b>
Department:	Sewer
Date Submitted:	December 2019
Project Priority:	High
Project Description:	Replace sewer main between West Street and Bench Street.
CIP Evaluation Criteria:	Public Health and Safety, Operating Efficiency, Systematic Replacement
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	Yes. Coordinate with water main replacement and resurfacing of South Street in 2024.
Cost Estimate:	\$50,000
Basis of Cost Estimate:	Preliminary estimate
Funding Source:	Sewer Fund

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Project Title:	<b>Phosphorus removal study</b>
Department:	Sewer
Date Submitted:	December 2019
Project Priority:	Medium
Project Description:	Conduct professional study to examine options for phosphorus removal at the wastewater treatment plant. Monitor EPA rule making to assess possible changes in requirements for phosphorus removal.
CIP Evaluation Criteria:	Public Health and Safety, Operating Efficiency, Systematic Replacement
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$10,000
Basis of Cost Estimate:	Preliminary estimate
Funding Source:	Sewer Fund

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Project Title:	<b>Industrial Park lift station generator</b>
Department:	Sewer
Date Submitted:	December 2019
Project Priority:	Medium
Project Description:	Install permanent generator for back-up power at the Industrial Park lift station. This is the only west-end lift station without back-up
CIP Evaluation Criteria:	Public Health and Safety, Operating Efficiency, New Expanded Facility
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$50,000
Basis of Cost Estimate:	Preliminary estimate
Funding Source:	Sewer Fund

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Project Title:	<b>Inflow and infiltration study</b>
Department:	Sewer
Date Submitted:	January 2010
Project Priority:	Medium
Project Description:	Professional study to determine points of inflow and infiltration of water into the sewer system. Reducing inflow and infiltration can
CIP Evaluation Criteria:	Operating Efficiency, Resource Conservation
Comprehensive Plan:	No direct reference
Multi-year:	Yes, implement recommendations in future year(s)
Coordination:	No
Cost Estimate:	\$10,000
Basis of Cost Estimate:	Estimate
Funding Source:	Sewer Fund

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Project Title:	<b>Hughlett Creek sewer main replacement</b>
Department:	Sewer Fund
Date Submitted:	October 2004
Project Priority:	Medium
Project Description:	Replace or reline sewer main in the Hughlett Creek valley below Dewey Avenue and near the pumping station at the old treatment plant
CIP Evaluation Criteria:	Systematic Replacement, Operating Efficiency, Expanded Facility
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$130,000
Basis of Cost Estimate:	Similar projects
Funding Source:	Sewer Fund

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Project Title:	<b>Influent pump replacement at treatment plant</b>
Department:	Sewer Fund
Project Priority:	December 2019
Project Description:	Medium
CIP Evaluation Criteria:	Replace two main influent pumps that move sewerage from the conveyance system into the treatment plant. The pumps are original from 2001. Explore the possibility of replacing one pump and the other at a later date. The estimated project cost is for two pumps.
Comprehensive Plan:	Systematic Replacement, Operating Efficiency
Multi-year:	No direct reference
Coordination:	Yes
Cost Estimate:	No
Basis of Cost Estimate:	\$100,000
Funding Source:	Preliminary estimate
	Sewer Fund

Project Title:	<b>Lift station pump replacement Meeker Street lift station</b>
Department:	Sewer Fund
Project Priority:	December 2019
Project Description:	Medium
CIP Evaluation Criteria:	There are three pumps at the Meeker Street lift station at the old treatment plant site. One pump was replaced in 2019. The two other pumps will likely need to be replaced in the near future. The estimated cost is for two pumps.
Comprehensive Plan:	Systematic Replacement, Operating Efficiency
Multi-year:	No direct reference
Coordination:	No
Cost Estimate:	No
Basis of Cost Estimate:	\$50,000
Funding Source:	Similar project
	Sewer Fund

Project Title:	<b>Madison and Fifth Street sewer main extension</b>
Department:	Sewer
Date Submitted:	October 2004
Project Priority:	Low
Project Description:	Install sanitary sewer main in the area of Madison Street and Fifth Street to convert eight homes from septic to public sewer. This is the
CIP Evaluation Criteria:	New Facility, Equitable Provision of Services, Health Safety
Comprehensive Plan:	P. 113 "Where feasible, connect all residences not currently served with sanitary sewer and water to the City's system to protect the public health and safety of the community and the City's water supply."
Multi-year:	No
Coordination:	No
Cost Estimate:	\$220,000
Basis of Cost Estimate:	Similar projects
Funding Source:	Sewer Fund

**PUBLIC SAFETY**

Project Title:	<b>Police Department electrical system upgrade</b>
Department:	Police
Date Submitted:	December 2019
Project Priority:	High
Project Description:	Upgrade electric system on the lower level of the building to bring the system up to the current code. The lower level electric was not included in the 2015 building remodel.
CIP Evaluation Criteria:	Deteriorated Facility, Systematic Replacement, Operating Efficiency
Comprehensive Plan:	No direct reference.
Multi-year:	No
Coordination:	No
Cost Estimate:	\$15,000
Basis of Cost Estimate:	Contractor estimate
Funding Source:	General Fund

Project Title:	<b>Police Department storm windows</b>
Department:	Police
Date Submitted:	December 2018
Project Priority:	Medium
Project Description:	Purchase storm window inserts for the interior of the Police Department. The windows are designed to fit inside the jambs and sill to provide energy efficient
CIP Evaluation Criteria:	Operating Efficiency, Resource Conservation
Comprehensive Plan:	No direct reference.
Multi-year:	No
Coordination:	No
Cost Estimate:	\$16,000
Basis of Cost Estimate:	Estimate from vendor
Funding Source:	General Fund

Project Title:	<b>Police Rolling Stock Plan</b>
Department:	Police
Date Submitted:	December 2018
Project Priority:	High
Project Description:	Systematic plan to replace squad cars in the Police Department. Replacement plan should be based on operating cost per mile, disruption to operations resulting from repairs and safety of the vehicles.
CIP Evaluation Criteria:	Deteriorated Facility, Systematic Replacement, Operating Efficiency
Comprehensive Plan:	P. 115 "Identify grant funding and other outside sources that will aid in purchasing capital equipment and the construction of new facilities, if they are determined to be necessary."
Multi-year:	No
Coordination:	No
Cost Estimate:	\$132,650
Basis of Cost Estimate:	Estimate based on current costs of new vehicles plus inflation factor
Funding Source:	General Fund/Court Fines

**FIRE DEPARTMENT**

Project Title:	<b>Replace bunker gear</b>
Department:	Fire
Date Submitted:	January 2015
Project Priority:	High
Project Description:	Replace outer protective clothing for all fire fighters.
CIP Evaluation Criteria:	Deteriorated Facility, Systematic Replacement, Health Safety
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$150,000
Basis of Cost Estimate:	Estimate
Funding Source:	Fire Fund

Project Title:	<b>Replace SCBA gear</b>
Department:	Fire
Date Submitted:	January 2015
Project Priority:	Medium
Project Description:	Replace self contained breathing apparatus (SCBA) for all fire fighters.
CIP Evaluation Criteria:	Deteriorated Facility, Systematic Replacement, Health Safety
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$150,000
Basis of Cost Estimate:	Estimate
Funding Source:	Fire Fund

Project Title:	<b>Training center classroom building</b>
Department:	Fire
Date Submitted:	January 2015
Project Priority:	High
Project Description:	Begin construction of new multi-purpose classroom building with vehicle bay. Building to be located on the property of the training center in the Industrial Park.
CIP Evaluation Criteria:	Operating Efficiency, New Facility, Health Safety
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$960,000
Basis of Cost Estimate:	Architect's Estimate
Funding Source:	Fire Fund, not-for-profit Volunteer Fire Department

Project Title:	<b>Fire Department Rolling Stock Plan</b>
Department:	Fire
Date Submitted:	December 2018
Project Priority:	Medium
Project Description:	Systematic replacement of fire vehicles. (See Fire Department Rolling Stock Plan)
CIP Evaluation Criteria:	Deteriorated Facility, Systematic Replacement, Operating Efficiency
Comprehensive Plan:	P. 115 "Develop a long term capital equipment and staffing plan for the Police and Fire Departments, based on population, tourism and
Multi-year:	No
Coordination:	No
Cost Estimate:	\$150,000
Basis of Cost Estimate:	Review of current costs plus inflation
Funding Source:	Fire Fund, borrowing

**PARKS AND RECREATION**

Project Title:	<b>Grant Park Restoration</b>
Department:	Parks
Date Submitted:	December 2017
Project Priority:	High
Project Description:	Completion of the Grant Park restoration plan. Includes tree planting, pedestrian bridge access improvements, directional/interpretive signs and repair of the gazebo.
CIP Evaluation Criteria:	Health Safety, Deteriorated Facility, Systematic Replacement
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$20,000
Basis of Cost Estimate:	Preliminary estimate
Funding Source:	Park Fund

Project Title:	<b>Skatepark ramp painting</b>
Department:	Parks
Date Submitted:	December 2019
Project Priority:	High
Project Description:	Priming and painting of ramp surfaces with manufacturer recommended paint. Ramps have not been painted since installed in 2003.
CIP Evaluation Criteria:	Health Safety, Deteriorated Facility, Systematic Replacement
Comprehensive Plan:	No direct reference
Multi-year:	No
Coordination:	No
Cost Estimate:	\$25,000
Basis of Cost Estimate:	Contractor quote
Funding Source:	Parks Fund

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Project Title: **Canoe and kayak launch**  
 Department: Parks  
 Date Submitted: January 2015  
 Project Priority: High  
 Project Description: Construction of a canoe, kayak launch and park south of the U.S. Highway 20 bridge and east of the Gazette parking lot. The project is expected to be completely grant funded.  
 CIP Evaluation Criteria: Expanded Facility, Resource Conservation  
 Comprehensive Plan: No direct reference  
 Multi-year: No  
 Coordination: Yes. Water main replacement has been completed in the area of the parking lot.  
 Cost Estimate: \$127,500  
 Basis of Cost Estimate: Contract amount  
 Funding Source: DNR grant funds, Community Development Fund of Galena grant

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Project Title: **Ridge Street park playground replacement**  
 Department: Parks  
 Date Submitted: December 2018  
 Project Priority: Medium  
 Project Description: Replace all playground equipment in the park with current, code compliant equipment.  
 CIP Evaluation Criteria: Systematic Replacement, Health Safety, Deteriorated Facility  
 Comprehensive Plan: P. 119 "Develop a comprehensive maintenance program for the parks. This program should be part of the regular Capital Improvement  
 Multi-year: No  
 Coordination: No  
 Cost Estimate: \$25,000  
 Basis of Cost Estimate: Cost of similar projects  
 Funding Source: Grant from the Galena Kiwanis

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Project Title: **Bouthillier Street park playground replacement**  
 Department: Parks  
 Date Submitted: December 2018  
 Project Priority: Medium  
 Project Description: Replace all playground equipment in the park with current, code compliant equipment.  
 CIP Evaluation Criteria: Systematic Replacement, Health Safety, Deteriorated Facility  
 Comprehensive Plan: P. 119 "Develop a comprehensive maintenance program for the parks. This program should be part of the regular Capital Improvement  
 Multi-year: No  
 Coordination: No  
 Cost Estimate: \$40,000  
 Basis of Cost Estimate: Cost of similar projects  
 Funding Source: Parks Fund

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Project Title: **Replace lighting at Rec Park ball field**  
 Department: Parks  
 Date Submitted: December 2017  
 Project Priority: Medium  
 Project Description: Replace lighting on poles above Rec Park ball field. Add lighting to allow sand volleyball courts to be used at night.  
 CIP Evaluation Criteria: Systematic Replacement, Health Safety, Deteriorated Facility  
 Comprehensive Plan: P. 119 "Develop a comprehensive maintenance program for the parks. This program should be part of the regular Capital Improvement  
 Multi-year: No  
 Coordination: No  
 Cost Estimate: \$80,000  
 Basis of Cost Estimate: Engineer's Estimate  
 Funding Source: Park Fund

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Project Title:	<b>Swimming pool maintenance and improvements</b>
Department:	Pool
Date Submitted:	December 2019
Project Priority:	High, Medium, Low
Project Description:	Numerous maintenance and improvement projects implemented over a multi-year period. The projects are more fully described in Appendix H, "Swimming Pool Maintenance and Improvement Plan."
CIP Evaluation Criteria:	Systematic Replacement, Health Safety, Deteriorated Facility, Expanded Facility
Comprehensive Plan:	P. 119 "Develop a comprehensive maintenance program for the parks. This program should be part of the regular Capital Improvement Plan."
Multi-year:	Yes
Coordination:	No
Cost Estimate:	\$261,500
Basis of Cost Estimate:	Quotes and estimates
Funding Source:	Pool Fund

**TURNER HALL**

Project Title:	<b>Turner Hall maintenance and improvements</b>
Department:	Turner Hall
Date Submitted:	December 2019
Project Priority:	Medium
Project Description:	Numerous maintenance and improvement projects implemented over a multi-year period. The projects are more fully described in Appendix I, "Turner Hall Maintenance and Improvement Plan."
CIP Evaluation Criteria:	Resource Conservation, Operating Efficiency, Deteriorated Facility, Systematic Replacement, Expanded Facility
Comprehensive Plan:	P. 121 "Continue to better utilize Turner Hall."
Multi-year:	Yes
Coordination:	No
Cost Estimate:	\$319,500
Basis of Cost Estimate:	Quotes and estimates
Funding Source:	Turner Hall Fund, General Fund, grants

**ATTACHMENT B. EVALUATION OF PROJECTS USING CRITERIA (FY 2021-2025)**

Dept.	Project Description	Health/Safety Risk	Systematic Replacement	Deteriorated Facility	Criterion				
					Equitable Provision	Operating Efficiency	Coordination	New/Expanded Facility	Resource Conservation
DPW	5-Year Street Improvement Program (See Appendix A)		X	X	X	X	X		
DPW	Downtown sidewalk and crosswalk replacement	X	X	X					
DPW	Street crack sealing and street repairs		X	X					
DPW	Replace approach structure on Meeker St pedestrian bridge	X	X	X					
DPW	Repairs to Kohlsaar Bridge deck	X	X	X					
DPW	Additional parking at Recreation Park							X	
DPW	Commerce Street parking lot design and reconstruction		X	X					
DPW	Depot exterior maintenance		X	X					
DPW	Repair headwall at top of Green Street Steps			X					
DPW	Paint Highway 20 bridge railings			X					
DPW	Replace Green Street steps from Main to Bench		X	X					
DPW	Dewey Avenue bridge replacement	X	X	X					
DPW	Sidewalk from US 20 to Cobblestone	X						X	
DPW	Annual sidewalk/steps replacement program	X		X	X			X	
DPW	Seal concrete floor in Public Works Building			X					
DPW	Replace Madison Street steps from Third to Fourth Street	X	X	X					
DPW	Wayfinding signage project	X	X	X					
DPW	Public Works Rolling Stock Plan		X	X		X			
<b>Engineering/Administration</b>									
ENG	Battery storage for City Hall solar					X		X	X
<b>Flood Control</b>									
FLD	Flood Control Rolling Stock Plan		X	X		X			
<b>Water</b>									
WAT	East Side Water System DSI-Park Ave water main	X	X		X				
WAT	East Side Water System DS2-Highway 20 water main	X	X		X				
WAT	West side water system improvements	X	X		X				
WAT	Elm Street watermain addition	X				X		X	
WAT	Tower, reservoir and standpipe inspection and maintenance	X		X		X			
WAT	Hydrant and valve replacement	X	X	X		X			X
WAT	Well #6 Upgrade	X				X		X	X
WAT	South Street watermain replacement	X	X	X		X			X
WAT	High Street watermain replacement	X	X	X		X			X
WAT	Dodge Street watermain replacement	X	X	X		X			X
WAT	Wann Street watermain replacement	X	X	X		X			X
WAT	East Side Water System DS3-south pressure reduction station	X			X			X	
WAT	East Side Water System WS1-new well and pump house	X			X			X	
WAT	Well #5 and #7 Inspection and repair	X				X			X
WAT	Water Rolling Stock Plan		X	X		X			

Dept.	Project Description	Health/Safety Risk	Systematic Replacement	Deteriorated Facility	Equitable Provision	Operating Efficiency	Coordination	New/Expanded Facility	Resource Conservation
<b>Sewer</b>									
SEW	East side sewer crossing repair								
SEW	Replace heating system at treatment plant administration building	X	X	X		X			
SEW	Replace air nozzles in treatment plant digestors		X			X			
SEW	Polymer addition replacement at treatment plant	X	X			X			
SEW	South Street sewer main replacement	X	X			X			
SEW	Phosphorus removal study	X	X			X			
SEW	Industrial Park lift station generator	X				X		X	
SEW	Inflow and infiltration study					X			X
SEW	Hughlett Creek sewer main replacement/relining		X			X		X	
SEW	Influent pump replacement at treatment plant		X			X			
SEW	Lift station pump replacement Meeker Street lift station		X			X			
SEW	Madison and Fifth Street sewer main extension	X			X			X	
SEW	Sewer Rolling Stock Plan		X	X		X			
<b>Public Safety</b>									
POL	Police Department electrical system upgrade	X	X	X					
POL	Police Department storm windows					X			X
POL	Police Rolling Stock Plan		X	X		X			
<b>Fire</b>									
FD	Replace bunker gear	X	X	X					
FD	Replace SCBA gear	X	X	X					
FD	Training center classroom building	X				X		X	
FD	Fire Department Rolling Stock Plan		X	X		X			
<b>Culture and Recreation</b>									
PAR	Grant Park Restoration	X	X	X					
PAR	Skatepark ramp painting	X	X	X					
PAR	Canoe and kayak launch							X	X
PAR	Ridge Street park playground replacement	X	X	X					
PAR	Bouthillier Street park playground replacement	X	X	X					
PAR	Replace lighting at Rec Park ball field	X	X	X					
SP	Swimming pool maintenance and improvements	X	X	X				X	
TH	Turner Hall maintenance and improvements		X	X		X		X	X

## ATTACHMENT C. RECOMMENDED CAPITAL IMPROVEMENT PLAN (FY 2021-2025)

Dept.	Project Description	Estimated Cost	Grant Funding?	Priority			FY 20/21 Cost	FY 21/22 Cost	FY 22/23 Cost	FY 23/24 Cost	FY 24/25 Cost	Total CIP Cost
				High	Medium	Low						
<b>Public Works</b>												
DPW	5-Year Street Improvement Program (See Appendix A)	\$ 2,151,795		X			\$ 372,550	\$ 533,345	\$ 418,500	\$ 400,700	\$ 426,700	\$ 2,151,795
DPW	Downtown sidewalk and crosswalk replacement	\$ 220,000	X	X			\$ 120,000		\$ 50,000		\$ 50,000	\$ 220,000
DPW	Street crack sealing and street repairs	\$ 125,000		X			\$ 25,000	\$ 25,000	\$ 25,000	25000	25000	\$ 125,000
DPW	Replace approach structure on Meeker St pedestrian bridge	\$ 45,000		X				\$ 45,000				\$ 45,000
DPW	Repairs to Kohlsaar Bridge deck	\$ 80,000		X			\$ 80,000					\$ 80,000
DPW	Additional parking at Recreation Park	\$ 53,000	X	X			\$ 53,000					\$ 53,000
DPW	Commerce Street parking lot design and reconstruction	\$ 60,000		X			\$ 60,000					\$ 60,000
DPW	Depot exterior maintenance	\$ 12,000			X		\$ 12,000					\$ 12,000
DPW	Repair headwall at top of Green Street Steps	\$ 10,000			X		\$ 10,000					\$ 10,000
DPW	Paint Highway 20 bridge railings	\$ 12,000			X		\$ 12,000					\$ 12,000
DPW	Replace Green Street steps from Main to Bench	\$ 30,000			X					\$ 30,000		\$ 30,000
DPW	Dewey Avenue bridge replacement	\$ 75,000	X		X			\$ 75,000				\$ 75,000
DPW	Sidewalk from US 20 to Cobblestone	\$ 50,000			X				\$ 50,000			\$ 50,000
DPW	Annual sidewalk/steps replacement program	\$ 50,000			X		\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 10,000	\$ 50,000
DPW	Seal concrete floor in Public Works Building	\$ 30,000			X		\$ 30,000					\$ 30,000
DPW	Replace Madison Street steps from Third to Fourth Street	\$ 125,000			X							
DPW	Wayfinding signage project	\$ 50,000			X			\$ 50,000				\$ 50,000
DPW	Public Works Rolling Stock Plan	\$ 859,300			X		\$ 186,300	\$ 184,750	\$ 254,000	\$ 117,500	\$ 116,750	\$ 859,300
	<b>TOTAL</b>	<b>\$ 4,038,095</b>					<b>\$ 970,850</b>	<b>\$ 923,095</b>	<b>\$ 807,500</b>	<b>\$ 583,200</b>	<b>\$ 628,450</b>	<b>\$ 3,913,095</b>
<b>Engineering/Administration</b>												
ENG	Battery storage for City Hall solar	\$ 10,000	X				\$ 10,000					\$ 10,000
	<b>TOTAL</b>	<b>\$ 10,000</b>					<b>\$ 10,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 10,000</b>
<b>Flood Control</b>												
FLD	Flood Control Rolling Stock Plan	\$ 31,800		X			\$ 31,800	\$ 9,550	\$ 4,000	\$ 9,750	\$ 4,000	\$ 59,100
	<b>TOTAL</b>	<b>\$ 31,800</b>					<b>\$ 31,800</b>	<b>\$ 9,550</b>	<b>\$ 4,000</b>	<b>\$ 9,750</b>	<b>\$ 4,000</b>	<b>\$ 59,100</b>
<b>Water</b>												
WAT	East Side Water System DSI-Park Ave water main	\$ 1,400,000	X	X			\$ 1,700,000					\$ 1,700,000
WAT	East Side Water System DS2-Highway 20 water main	\$ 200,000	X	X			\$ 200,000					\$ 200,000
WAT	West side water system improvements	\$ 1,300,000	X	X			\$ 50,000	\$ 50,000	\$ 1,200,000			\$ 1,300,000
WAT	Elm Street watermain addition	\$ 85,000		X					\$ 85,000			\$ 85,000
WAT	Tower, reservoir and standpipe inspection and maintenance	\$ 115,000		X			\$ 25,000	\$ 90,000				\$ 115,000
WAT	Hydrant and valve replacement	\$ 30,000		X				\$ 15,000		\$ 15,000		\$ 30,000
WAT	Well #6 Upgrade	\$ 340,000	X	X				\$ 40,000	\$ 300,000			\$ 340,000
WAT	South Street watermain replacement	\$ 128,000			X					\$ 128,000		\$ 128,000
WAT	High Street watermain replacement	\$ 50,000			X					\$ 50,000		\$ 50,000
WAT	Dodge Street watermain replacement	\$ 50,000			X					\$ 50,000		\$ 50,000
WAT	Wann Street watermain replacement	\$ 120,000			X						\$ 120,000	\$ 120,000
WAT	East Side Water System DS3-south pressure reduction station	\$ 200,000				X						\$ -
WAT	East Side Water System WS1-new well and pump house	\$ 1,635,000	X			X						\$ -
WAT	Well #5 and #7 Inspection and repair	\$ 20,000				X				\$ 20,000		\$ 20,000
WAT	Water Rolling Stock Plan	\$ 82,650				X	\$ 1,900	\$ 37,000	\$ 52,000	\$ 54,500	\$ 27,250	\$ 172,650
	<b>TOTAL</b>	<b>\$ 4,155,650</b>					<b>\$ 76,900</b>	<b>\$ 232,000</b>	<b>\$ 1,637,000</b>	<b>\$ 317,500</b>	<b>\$ 147,250</b>	<b>\$ 2,410,650</b>

Dept.	Project Description	Estimated Cost		Priority			FY 20/21 Cost	FY 21/22 Cost	FY 22/23 Cost	FY 23/24 Cost	FY 24/25 Cost	Total CIP Cost
				High	Medium	Low						
<b>Sewer</b>												
SEW	East side sewer crossing repair	\$ 38,000		X			\$ 38,000					\$ 38,000
SEW	Replace heating system at treatment plant administration building	\$ 10,000		X			\$ 10,000					\$ 10,000
SEW	Replace air nozzles in treatment plant digestors	\$ 60,000		X			\$ 60,000					\$ 60,000
SEW	Polymer addition replacement at treatment plant	\$ 25,000		X			\$ 25,000					\$ 25,000
SEW	South Street sewer main replacement	\$ 50,000		X					\$ 50,000			\$ 50,000
SEW	Phosphorus removal study	\$ 10,000			X			\$ 10,000				\$ 10,000
SEW	Industrial Park lift station generator	\$ 50,000			X				\$ 50,000			\$ 10,000
SEW	Inflow and infiltration study	\$ 10,000			X							\$ -
SEW	Hughlett Creek sewer main replacement/relining	\$ 130,000			X							\$ -
SEW	Influent pump replacement at treatment plant	\$ 100,000			X				\$ 50,000			\$ 50,000
SEW	Lift station pump replacement Meeker Street lift station	\$ 50,000			X			\$ 25,000				\$ 25,000
SEW	Madison and Fifth Street sewer main extension	\$ 220,000				X				\$ 220,000		\$ 220,000
SEW	Sewer Rolling Stock Plan	\$ 82,650			X		\$ 1,900	\$ 37,000	\$ 52,000	\$ 54,500	\$ 27,250	\$ 145,400
	<b>TOTAL</b>	<b>\$ 835,650</b>					<b>\$ 134,900</b>	<b>\$ 72,000</b>	<b>\$ 152,000</b>	<b>\$ 324,500</b>	<b>\$ 27,250</b>	<b>\$ 710,650</b>
<b>Public Safety</b>												
POL	Police Department electrical system upgrade	\$ 15,000		X			\$ 15,000					\$ 15,000
POL	Police Department storm windows	\$ 16,000			X				\$ 16,000			\$ 16,000
POL	Police Rolling Stock Plan	\$ 132,650		X			\$ 25,000	\$ 25,750	\$ 26,500	\$ 27,300	\$ 28,100	\$ 132,650
	<b>TOTAL</b>	<b>\$ 163,650</b>					<b>\$ 40,000</b>	<b>\$ 25,750</b>	<b>\$ 42,500</b>	<b>\$ 27,300</b>	<b>\$ 28,100</b>	<b>\$ 163,650</b>
<b>Fire</b>												
FD	Replace bunker gear	\$ 150,000		X			\$ 150,000					\$ 150,000
FD	Replace SCBA gear	\$ 150,000			X							\$ -
FD	Training center classroom building	\$ 960,000				X						\$ -
FD	Fire Department Rolling Stock Plan	\$ 150,000		X			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	<b>TOTAL</b>	<b>\$ 1,410,000</b>					<b>\$ 150,000</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 150,000</b>
<b>Culture and Recreation</b>												
PAR	Grant Park Restoration	\$ 20,000	X	X			\$ 20,000					\$ 20,000
PAR	Skatepark ramp painting	\$ 25,000		X			\$ 25,000					\$ 25,000
PAR	Canoe and kayak launch	\$ 127,500	X	X			\$ 127,500					\$ 127,500
PAR	Ridge Street park playground replacement	\$ 25,000	X		X			\$ 25,000				\$ 25,000
PAR	Bouthillier Street park playground replacement	\$ 40,000			X				\$ 40,000			\$ 40,000
PAR	Replace lighting at Rec Park ball field	\$ 80,000			X				\$ 80,000			\$ 80,000
SP	Swimming pool maintenance and improvements	\$ 261,500		X	X	X	\$ 121,500	\$ 45,000	\$ 45,000	\$ 25,000	\$ 25,000	\$ 261,500
	<b>TOTAL</b>	<b>\$ 579,000</b>					<b>\$ 294,000</b>	<b>\$ 70,000</b>	<b>\$ 125,000</b>	<b>\$ 65,000</b>	<b>\$ 25,000</b>	<b>\$ 579,000</b>
TH	Turner Hall maintenance and improvements	\$ 319,500	X	X	X	X	\$ 74,500	\$ 75,000	\$ 100,000	\$ 25,000	\$ 45,000	\$ 319,500
	<b>TOTAL</b>	<b>\$ 319,500</b>					<b>\$ 74,500</b>	<b>\$ 75,000</b>	<b>\$ 100,000</b>	<b>\$ 25,000</b>	<b>\$ 45,000</b>	<b>\$ 319,500</b>
	<b>TOTAL</b>	<b>\$ 11,511,545</b>					<b>\$ 1,751,150</b>	<b>\$ 1,397,845</b>	<b>\$ 2,864,000</b>	<b>\$ 1,342,500</b>	<b>\$ 901,050</b>	<b>\$ 8,315,645</b>

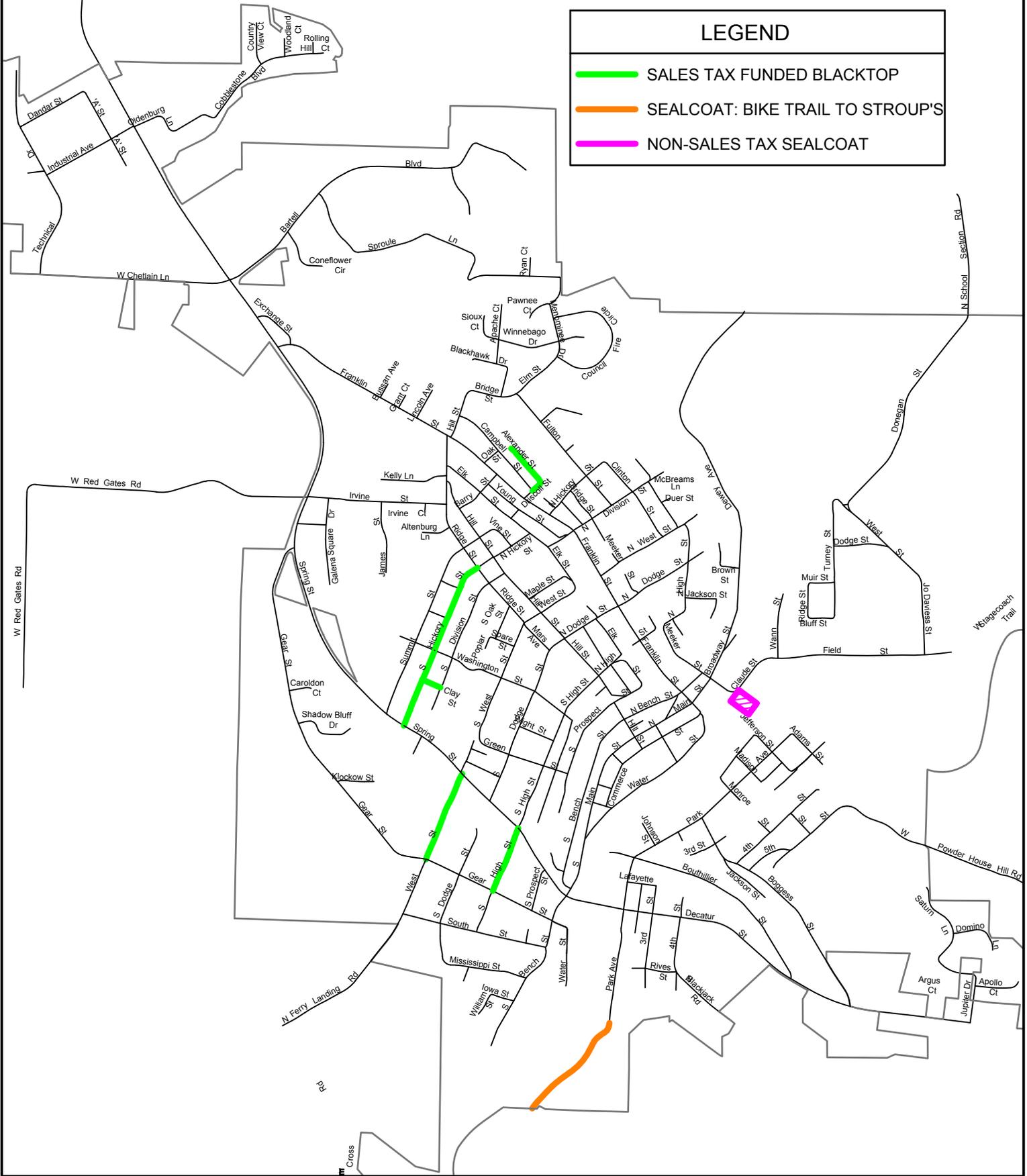
**APPENDIX A: 5-YEAR STREET IMPROVEMENT PROGRAM (FY 2021-2025)**

Street	Location	FY 20/21 Cost	FY 21/22 Cost	FY 22/23 Cost	FY 23/24 Cost	FY 24/25 Cost
<b>FY 20/21 (Year 1)</b>						
<b>Sales Tax Projects-Blacktop Overlay</b>						
West Street	Highway 20 to Gear Street	\$ 132,600				
Hickory Street	Highway 20 to Hill Street	\$ 108,400				
Clay Street	Hickory Street to Division Street	\$ 7,300				
Alexander Street and Driscoll Street	Complete Streets	\$ 48,900				
High Street	Highway 20 to Gear Street	\$ 38,200				
Green Street	High Street to Dodge Street (joint replacement)	\$ 6,000				
Prospect Street	Hill Street to Green Street (joint replacement)	\$ 10,000				
	<b>Blacktop Total</b>	<b>\$ 351,400</b>				
<b>Sales Tax Projects-Sealcoat</b>						
Park Avenue	Belvedere Mansion to End	\$ 15,000				
	<b>Seal Coat Total</b>	<b>\$ 15,000</b>				
<b>Sales Tax Projects-Alley Resurfacing</b>						
No alley resurfacing planned						
	<b>Alley Total</b>	<b>\$ -</b>				
	<b>Sales Tax Projects Total</b>	<b>\$ 366,400</b>				
<b>Non-Sales Tax-Sealcoat</b>						
Parks Department parking lot	Old wastewater plant	\$ 6,150				
	<b>Other Projects Total</b>	<b>\$ 6,150</b>				
	<b>TOTAL FY 20/21</b>	<b>\$ 372,550</b>				
<b>FY 21/22 (Year 2)</b>						
<b>Sales Tax Projects-Blacktop Overlay</b>						
Hill Street	High Street to Prospect Street		\$ 18,700			
Elk Street	High Street to North End (including High Street to Newer Pavement)		\$ 18,500			
West Street	Ridge Street to Green Street (and Green Street to Dodge Street)		\$ 101,400			
Timp Subdivision Phase 1	Jupiter Court, Apollo Court, Argus Court, Saturn Lane, Domino Lane		\$ 182,800			
Fourth Street	Bogges Street to End		\$ 53,200			
Madison Street	Fourth Street to End		\$ 6,600			
Monroe Street	Fourth Street to Fifth Street		\$ 15,000			
	<b>Blacktop Total</b>		<b>\$ 396,200</b>			
<b>Sales Tax Projects-Alley Resurfacing</b>						
South of Hill Street	Between High Street and Prospect Street (South of Hill Street)		\$ 5,000			
	<b>Alley Total</b>		<b>\$ 5,000</b>			
<b>Sales Tax Projects-Sealcoat</b>						
No sealcoat projects planned						
	<b>Sealcoat Total</b>		<b>\$ -</b>			
<b>Other Blacktop Projects (Non-Sales Tax)</b>						
Industrial Drive	US Highway 20 to Technical Drive		\$ 53,345			
Technical Drive	Chetlain Lane to Industrial Drive		\$ 78,800			
	<b>Other Projects Total</b>		<b>\$ 132,145</b>			
	<b>TOTAL FY 21/22</b>		<b>\$ 533,345</b>			
<b>FY 22/23 (Year 3)</b>						
<b>Sales Tax Projects-Blacktop Overlay</b>						
Hill Street	Bridge Street to Elm Street			\$ 145,000		
High Street	Highway 20 to Hill Street			\$ 120,700		
Division Street	Hill Street to End			\$ 54,100		
Washington Street	Summit Street to High Street			\$ 98,700		
	<b>Blacktop Total</b>			<b>\$ 418,500</b>		
<b>Sales Tax Projects-Alley Resurfacing</b>						
No alley resurfacing planned						
	<b>Alley Total</b>			<b>\$ -</b>		
<b>Sales Tax Projects-Sealcoat</b>						
No sealcoat projects planned						
	<b>Sealcoat Total</b>			<b>\$ -</b>		
	<b>Sales Tax Projects Total</b>			<b>\$ 418,500</b>		
	<b>TOTAL FY 22/23</b>			<b>\$ 418,500</b>		
<b>FY 23/24 (Year 4)</b>						
<b>Sales Tax Projects-Blacktop Overlay</b>						
Fulton Street	Elm Street to Division Street				\$ 85,900	
High Street	South Street to Gear Street				\$ 21,500	
Dodge Street	South Street to Gear Street				\$ 22,800	
South Street	Prospect Street Cul-de-sac				\$ 4,500	
South Street	West Street to Bench Street				\$ 98,000	
South Street	Watermain Replacement				\$ 128,000	
South Street	Sewer Main Replacement				\$ 40,000	
	<b>Blacktop Total</b>				<b>\$ 400,700</b>	
<b>Sales Tax Projects-Alley Resurfacing</b>						
No alley resurfacing planned						
	<b>Alley Total</b>				<b>\$ -</b>	
<b>Sealcoat</b>						
No sealcoating planned						
	<b>Sealcoat Total</b>				<b>\$ -</b>	
	<b>Sales Tax Projects Total</b>				<b>\$ 400,700</b>	
<b>Other Blacktop Projects (Non-Sales Tax)</b>						
	<b>Other Projects Total</b>				<b>\$ -</b>	
	<b>TOTAL FY 23/24</b>				<b>\$ 400,700</b>	
<b>FY 24/25 (Year 5)</b>						
<b>Sales Tax Projects-Blacktop Overlay</b>						
Fifth Street						\$ 55,800
Power House Hill Road	Highway 20 to Bogges Street (Including Fifth Street)					\$ 213,800
Summit Street	Highway 20 to Ridge Street					\$ 98,300
Campbell Street	Hill Street to Driscoll Street					\$ 58,800
	<b>Blacktop Total</b>					<b>\$ 426,700</b>
<b>Alley Resurfacing</b>						
No alley resurfacing planned						
	<b>Alley Total</b>					<b>\$ -</b>
<b>Sealcoat</b>						
No sealcoating planned						
	<b>Sealcoat Total</b>					<b>\$ -</b>
	<b>Sales Tax Projects Total</b>					<b>\$ 426,700</b>
	<b>TOTAL FY 24/25</b>					<b>\$ 426,700</b>
<b>TOTAL CIP COST</b>						<b>\$ 2,151,795</b>

# APPENDIX A1

## CITY OF GALENA - 5 YR. STREET IMPROVEMENT PROGRAM FY 2020-2021

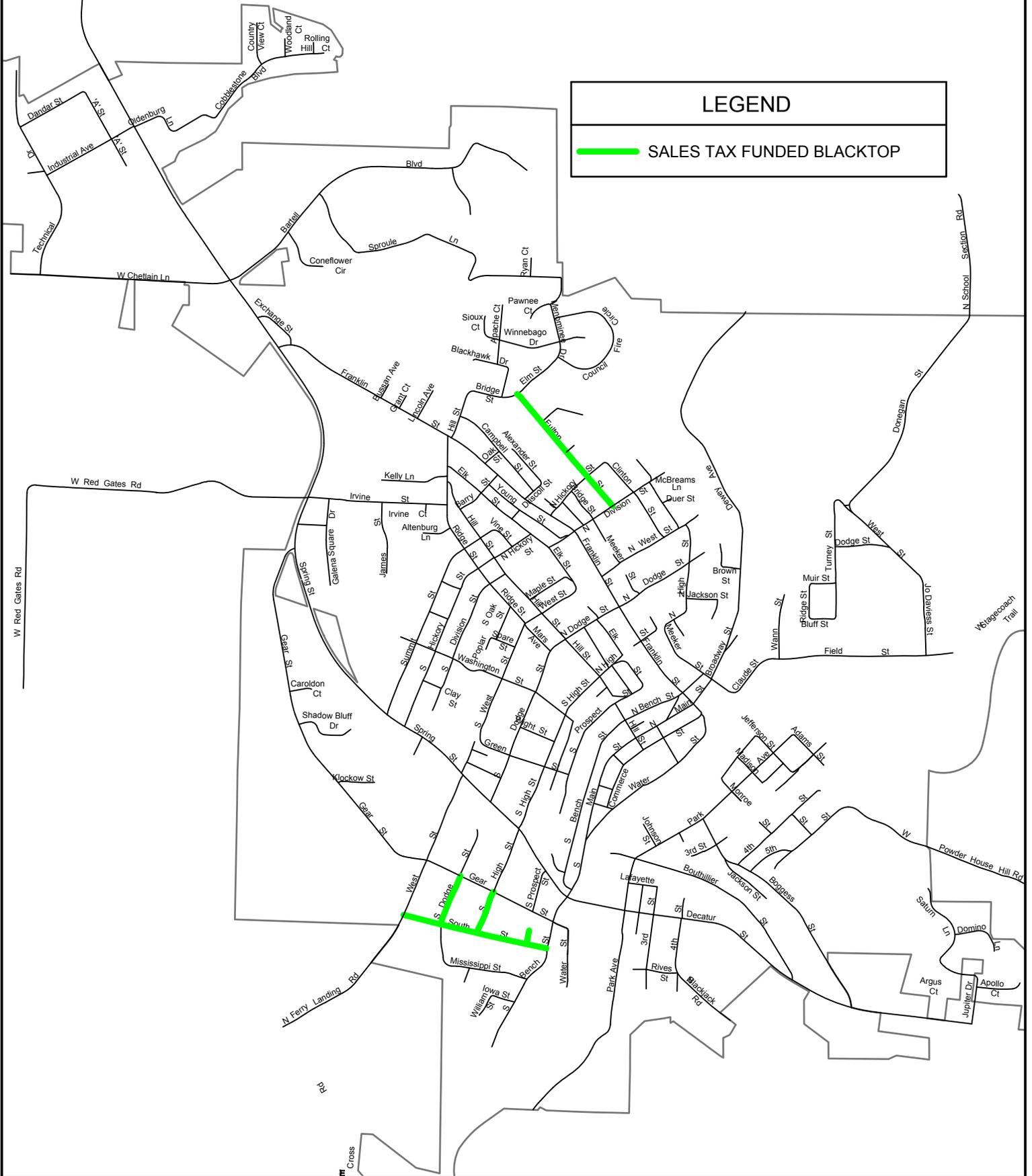
LEGEND	
	SALES TAX FUNDED BLACKTOP
	SEALCOAT: BIKE TRAIL TO STROUP'S
	NON-SALES TAX SEALCOAT





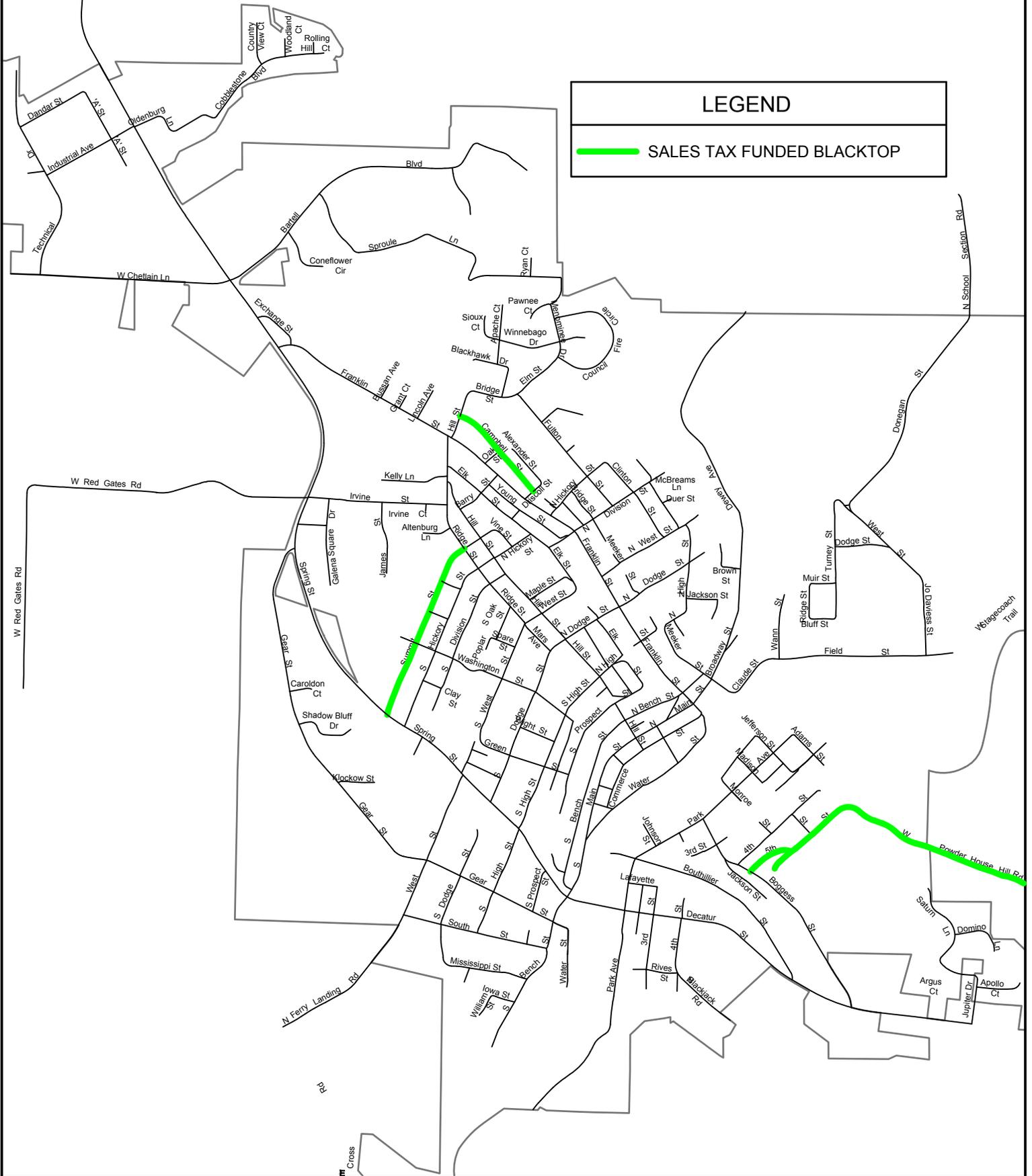


# APPENDIX A1 CITY OF GALENA - 5 YR. STREET IMPROVEMENT PROGRAM FY 2023-2024



# APPENDIX A1

## CITY OF GALENA - 5 YR. STREET IMPROVEMENT PROGRAM FY 2024-2025



**APPENDIX A2: ALLEY IMPROVEMENT PLAN (FY 2013-2022)**

Based on Condition Assessment Conducted February 7, 2012

Map Location	Alley Location Description	Surface Material	(PASER) Condition	Resurfacing Material	Square Feet	Estimated Surfacing Cost	Priority	Construction Year
A	Between Prospect and High (from Green to Washington)	Asphalt	2	Complete Asphalt Reconstruct	-11,000	\$ 32,700	1	Complete
B	Between Prospect and High (south of Green Street)	Asphalt	6	Patch Edges & 1-2" Asphalt Overlay	-13,000	\$ 19,300	10	Complete
C	Between Prospect and High (south of Hill Street)	Asphalt	5	Patch & 1-2" Asphalt Overlay	3,900	\$ 5,000	9	2021-22
D	Between Elk and Hill (from Prospect to High)	Asphalt	1	Complete Asphalt Reconstruct	-8,700	\$ 26,000	3	Complete
E	North of Franklin (between Driscoll and Hickory)	Asphalt	2	Complete Asphalt Reconstruct	-5,400	\$ 7,300	6	Complete
F	Between Elk and Hill (from Dodge to High)	Asphalt	1	Complete Asphalt Reconstruct	-10,300	\$ 30,650	2	Complete
G	Between Franklin and Bridge (from Division to Hickory)	Asphalt	1	Complete Asphalt Reconstruct	-7,300	\$ 7,300	5	Complete
H	Between Main and Bench (north of Hill Street)	Gravel	1	Complete Asphalt Reconstruct	-4,300	\$ 12,800	4	Complete
I	Between Park and Third (South of US 20)	Asphalt	1	Complete Asphalt Reconstruct	-3,400	NA	Private	Complete
J	Between Park and Third (from Lafayette to US 20)	Asphalt	4	Patch Edges & 1-2" Asphalt Overlay	-6,600	\$ 11,400	8	Complete
K	Between Park and Third (from Jefferson to Madison)	Asphalt	3	Patch Edges & 1-2" Asphalt Overlay	-10,200	\$ 17,700	7	Complete
<b>TOTAL</b>						<b>\$ 170,150</b>		

NOTE: Cost estimates are based on at least 30-50% of work being completed at same time. Otherwise, mobilization costs for single work items will increase cost.

## APPENDIX B: NON-HOME RULE SALES TAX (1/2%) STREET & INFRASTRUCTURE PROGRAM Completed Projects

Completed Projects															
	FY 2008-09	FY 2009-10	FY 2009-10	FY 2010-11	FY 2010-11	FY2011-12	FY2012-13	FY 2013-14	FY 2014-15	FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	
Improvement	Bouthillier Street	Dewey Avenue	Jackson Street	Pre-ERP & ERP	Main Street, Meeker Street	Main Street, Gear Street, Flood Pumps	Downtown Flood Pumps	Downtown Flood Pumps	Park Avenue	Kelly Lane, Alleys, Bench Street Repairs	Field, Hill, Elk, N. West, Division, South Bench Street	Poplar, N. Division, Clay, + 7	Dodge, Wight, Powder House, Shadow Bluff	West, 4th, Meeker, Harrison, N. High, Jackson, Gear	Total
Street (Rating) Condition	3-4 (Poor-Fair)	3-4 (Poor-Fair)	2-3 (Very Poor - Poor)	2-3 (Very Poor - Poor)	4 (Fair)	2-3 (Very Poor - Poor)	4 (Fair)	4 (Fair)	2-3 (Very Poor - Poor)	2-3 (Very Poor - Poor)	2-3 (Very Poor - Poor)	2-3 (Very Poor - Poor)	2-3 (Very Poor - Poor)	2-3 (Very Poor - Poor)	
Street Construction	X	X	X	X	X	X			X	X	X	X	X	X	
Curb & Gutter	X	X	X	X	X	X			X	X				X	
Sidewalk				X	X	X			X	X				X	
Water	X		X		X	X			X						
Sanitary Sewer	X		X		X	X			X						
Storm Sewer					X	X	X	X	X						
Engineering					X	X	X	X	X						
<b>Construction Sub-Total</b>															
Contingency (5%)															
<b>CONSTRUCTION TOTAL</b>	\$ 500,500	\$ 398,068	\$ 292,476	\$ 502,521	\$ 751,941	\$ 152,800	\$ 27,150	\$ 746,476	\$ 84,956	\$ 127,090	\$ 330,615	\$ 207,200	\$ 181,700	\$ 325,567	\$ 4,629,059
Interest Expense	\$ 130,258	\$ 96,996	\$ 77,065	\$ 51,784	\$ 133,674	\$ -	\$ -	\$ 34,270	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 524,048
<b>TOTAL PROJECT COST</b>	\$ 630,758	\$ 495,064	\$ 369,541	\$ 554,305	\$ 885,615	\$ 152,800	\$ 27,150	\$ 780,746	\$ 84,956	\$ 127,090	\$ 330,615	\$ 207,200	\$ 181,700	\$ 325,567	\$ 5,153,107

Notes:

1. Street Condition: Based on PASER rating system: 1 - Failed, 2 - Very Poor, 3 - Poor, 4 - Fair, 5 - Fair, 6 - Good, 7 - Good, 8 - Very Good, 9 - Excellent and 10 - Excellent
2. Street Surface: all streets to be blacktop unless otherwise noted
3. Gear Street costs were for sidewalk and curb and gutter on section across from Illinois Bank and Trust.
4. ERP = Emergency Street Repair Program grant from the Illinois Department of Transportation

## APPENDIX C: STREET IMPROVEMENT SALES TAX FUND PROJECTED YEAR-END FUND BALANCE

On February 8, 2008, Galena voters approved a referendum to increase the local sales tax one-half percent (0.5%) for streets and related infrastructure improvements. The sales tax became effective July 1, 2008 and generates approximately \$430,000 per year. The sales tax revenues are segregated and managed in a separate fund (Fund 41). The following table shows the year-end fund balance by fiscal year.

Fiscal Year	Actual Year-End Fund Balance*
2008-09	\$190,949
2009-10	\$268,873
2010-11	\$454,405
2011-12	\$481,677
2012-13	\$843,873
1013-14	\$229,568
2014-15	\$254,534
2015-16	\$268,844
2016-17	\$115,564
2017-18	\$65,355
2018-19	\$77,964

Fiscal Year	Projected Year-End Fund Balance
2019-20	\$28,153
2020-21	\$23,056
2021-22	\$24,526
2022-23	\$9,476
2023-24	\$43,006
2024-25	\$52,507

Notes:

\* Actual audited fund balance. All other years are projected based on proposed CIP expenditures.

**APPENDIX D. PUBLIC WORKS DEPARTMENT ROLLING STOCK AND EQUIPMENT PLAN (FY 2021-2025)**

Current Stock		Replacement Stock								
Year	Rolling Stock	Replace With	Priority	Total Cost	FY 20/21 Cost	FY 21/22 Cost	FY 22/23 Cost	FY 23/24 Cost	FY 24/25 Cost	Total CIP Cost
1961	125 Mobile Air Compressor			\$ -						\$ -
1991	Brush Chipper			\$ -						\$ -
2005	John Deere 410 Backhoe			\$ -						\$ -
2007	Sterling 10 Ton Dump w/ Plow	Same	High	\$ 130,000	\$ 130,000					\$ 130,000
2008	Tymco Street Sweeper	Same	Medium	\$ 160,000		\$ 160,000				\$ 160,000
2011	International 10 Ton Dump w/ Plow/Spreader	Same	Medium	\$ 135,000			\$ 135,000			\$ 135,000
2014	Chevy 1 Ton with Plow/Spreader/Dump	Same	Low	\$ 80,000			\$ 80,000			\$ 80,000
2014	Case Mini Excavator			\$ -						\$ -
2015	Ford 1 Ton Truck w/ Plow/Spreader/Dump		Low	\$ 90,000				\$ 90,000		\$ 90,000
2015	285 John Deere Z-Turn Mower	Same	Medium	\$ 23,500		\$ 11,500			\$ 12,000	\$ 23,500
2015	Articulating Boom Mower			\$ -						\$ -
2016	3/4 Ton Dodge Pickup w/ Plow			\$ 90,000					\$ 90,000	\$ 90,000
2018	John Deere 524 End loader w/ Backhoe (50% Flood Control)*	Same	High	\$ 23,000	\$ 11,500		\$ 11,500			\$ 23,000
2016	Brush grapple bucket			\$ -						\$ -
2016	Bat wing mower attachment for tractor			\$ -						\$ -
2017	758 John Deere Mower	Same	High	\$ 25,500	\$ 12,000			\$ 13,500		\$ 25,500
2017	John Deere 320 Skid Loader (leased) (Flood Control)*	Same	High	\$ 20,300	\$ 3,800	\$ 4,000	\$ 4,000	\$ 4,000	\$ 4,500	\$ 20,300
2017	John Deere 6145 Tractor (50% Parks)*	Same	High	\$ 48,500	\$ 9,000	\$ 9,250	\$ 10,000	\$ 10,000	\$ 10,250	\$ 48,500
2017	Dodge Ram 1 Ton w/ Plow and Spreader									\$ -
2018	Dodge 3/4 Ton Pickup (Parks)									\$ -
2019	Ford 1 Ton Truck w/ Plow/Spreader/Dump									\$ -
2019	758 John Deere Mower with broom	Same	Low	\$ 13,500			\$ 13,500			\$ 13,500
	Light duty used lift truck (new item)		Medium	\$ 20,000	\$ 20,000					\$ 20,000
	<b>TOTAL</b>			<b>\$ 859,300</b>	<b>\$ 186,300</b>	<b>\$ 184,750</b>	<b>\$ 254,000</b>	<b>\$ 117,500</b>	<b>\$ 116,750</b>	<b>\$ 859,300</b>

Priority	Description
High	A project that is so imperative that every effort should be made, including the development of a new funding source, to complete the project as soon as possible.
Medium	A project that should be completed when sufficient funds are available from established funding mechanisms.
Low	A project that would be desirable but would not address any health, safety, or other critical needs.

**Notes**

\* Leased equipment with equipment to be replaced with new in each year with a lease payment

## APPENDIX E. FIRE DEPARTMENT ROLLING STOCK PLAN (FY 2021-2025)

Rolling Stock	Priority	Total Cost	FY20/21 Cost	FY21/22 Cost	FY22/23 Cost	FY23/24 Cost	FY24/25 Cost	Total CIP Cost*
Replace Pumper Truck	Medium	\$ 150,000						\$ -
<b>TOTAL</b>		<b>\$ 150,000</b>	<b>\$ -</b>					

Note:

\* The cost of some vehicle purchases are shared with the Galena Rural Fire District.

Priority	Description
High	A project that is so imperative that every effort should be made, including the development of a new funding source, to complete the project as soon as possible.
Medium	A project that should be completed when sufficient funds are available from established funding mechanisms.
Low	A project that would be desirable but would not address any health, safety, or other critical needs.

## APPENDIX F. POLICE DEPARTMENT ROLLING STOCK PLAN (FY 2021-2025)

Rolling Stock	Priority	Total Cost	FY20/21 Cost	FY21/22 Cost	FY22/23 Cost	FY23/24 Cost	FY24/25 Cost	Total CIP Cost
Replace oldest squad with new model	High	\$ 25,000	\$ 25,000					\$ 25,000
Replace oldest squad with new model	High	\$ 25,750		\$ 25,750				\$ 25,750
Replace oldest squad with new model	High	\$ 26,500			\$ 26,500			\$ 26,500
Replace oldest squad with new model	High	\$ 27,300				\$ 27,300		\$ 27,300
Replace oldest squad with new model	High	\$ 28,100					\$ 28,100	\$ 28,100
<b>TOTAL</b>		<b>\$ 132,650</b>	<b>\$ 25,000</b>	<b>\$ 25,750</b>	<b>\$ 26,500</b>	<b>\$ 27,300</b>	<b>\$ 28,100</b>	<b>\$ 132,650</b>

Notes:

1. An inflation factor of 3% per year has been applied.
2. All police vehicle costs are General Fund obligations.
3. Starting in FY 09, an estimated \$4,000 per year from court fines would be dedicated to vehicle purchases.

Priority	Description
High	A project that is so imperative that every effort should be made, including the development of a new funding source, to complete the project as soon as possible.
Medium	A project that should be completed when sufficient funds are available from established funding mechanisms.
Low	A project that would be desirable but would not address any health, safety, or other critical needs.

**APPENDIX G. WATER AND SEWER DEPARTMENT ROLLING STOCK PLAN (FY 2021-2025)**

Current Stock		Replacement Stock								
Year	Rolling Stock	Replace With	Priority	Total Cost	FY 20/21 Cost	FY 21/22 Cost	FY 22/23 Cost	FY 23/24 Cost	FY 24/25 Cost	Total CIP Cost*
1984	GMC spreader truck	Same	High				\$ 100,000			\$ 100,000
1996	New Holland LX665 skid steer	Same	Medium	\$ 20,300	\$ 3,800	\$ 4,000	\$ 4,000	\$ 4,000	\$ 4,500	\$ 20,300
2001	Sniper 747-4000R trailer jet	Larger	Medium					\$ 80,000		\$ 80,000
2007	Chevy 3/4 ton pickup (red)	Same	Medium	\$ 25,000				\$ 25,000		\$ 25,000
2012	Chevy 3/4 ton w/ utility box/plow	3/4 Ton/plow/box	High	\$ 60,000		\$ 60,000				\$ 60,000
2015	Ford 3/4 ton with utility box	Same	Low	\$ 50,000					\$ 50,000	\$ 50,000
	500 Gallon Vacuum Truck	New	Medium							\$ -
	Lawn Tractor	New/Larger	Medium	\$ 10,000		\$ 10,000				\$ 10,000
										\$ -
										\$ -
										\$ -
										\$ -
	<b>TOTAL</b>			<b>\$ 165,300</b>	<b>\$ 3,800</b>	<b>\$ 74,000</b>	<b>\$ 104,000</b>	<b>\$ 109,000</b>	<b>\$ 54,500</b>	<b>\$ 345,300</b>

Water Cost (1/2 of TOTAL)	\$ 82,650	\$ 1,900	\$ 37,000	\$ 52,000	\$ 54,500	\$ 27,250	\$ 172,650
Sewer Cost (1/2 of TOTAL)	\$ 82,650	\$ 1,900	\$ 37,000	\$ 52,000	\$ 54,500	\$ 27,250	\$ 172,650

(FY 2021-2025)

Project #	Year	Priority	Project	Estimated Cost	Project Start
1	FY 20/21	High	New 16' diving board	\$7,500	Pre-Season 2020
2	FY 20/21	High	Sand filtration check / change sand	\$7,500	Pre-Season 2020
3	FY 20/21	High	Repair concrete on side of slide tank	\$2,500	Pre-Season 2020
4	FY 20/21	High	Permanent shade structures	\$20,000	Pre-Season 2020
5	FY 20/21	High	New electric switch for pump room	\$4,000	Pre-Season 2020
6	FY 20/21	High	Install ventilation fans in pump room	\$4,000	Pre-Season 2020
7	FY 20/21	High	Replace 4 strainers	\$17,000	Pre-Season 2020
8	FY 20/21	High	Replace chlorinator	\$20,000	Pre-Season 2020
9	FY 20/21	Medium	Pool deck / concrete updates	\$15,000	Fall 2020
10	FY 20/21	Medium	Pool house floors / non-slip surface	\$10,000	Pre-Season 2020
11	FY 20/21	Medium	Storage shed for chemicals--plan w/ new chlorination	\$3,000	Summer 2020
12	FY 20/21	Medium	Shower head replacement, men's locker room	\$11,000	Pre-Season 2020
<b>FY 20/21 TOTAL</b>				<b>\$121,500</b>	

Project #	Year	Priority	Project	Estimated Cost	Project Start
1	FY 21/22	High	Permanent shade structure concessions court	\$20,000	Fall 2021
2	FY 21/22	Medium	Pool deck / concrete updates	\$15,000	Fall 2021
3	FY 21/22	Medium	Maintenance and updates	\$10,000	Pre-Season 2021
<b>FY 21/22 TOTAL</b>				<b>\$45,000</b>	

Project #	Year	Priority	Project	Estimated Cost	Project Start
1	FY 22/23	High	Permanent shade structure kiddie pool	\$20,000	Fall 2022
2	FY 22/23	Medium	Pool deck / concrete updates	\$15,000	Fall 2022
3	FY 22/23	Medium	Maintenance & updates	\$10,000	Pre-Season 2021
<b>FY 22/23 TOTAL</b>				<b>\$45,000</b>	

Project #	Year	Priority	Project	Estimated Cost	Project Start
1	FY 23/24	Medium	Pool deck / concrete updates	\$15,000	Fall 2023
2	FY 23/24	Medium	Maintenance & updates	\$10,000	Pre-Season 2023
<b>FY 22/23 TOTAL</b>				<b>\$25,000</b>	

Project #	Year	Priority	Project	Estimated Cost	Project Start
1	FY 24/25	Medium	Pool deck / concrete updates	\$15,000	Fall
2	FY 24/25	Medium	Maintenance & updates	\$10,000	
<b>FY 22/23 TOTAL</b>				<b>\$25,000</b>	

**TOTAL 5-YEAR PLAN** **\$261,500**

FUTURE PROJECTS			Project	Estimated Cost
			New large waterslide	\$120,000
			Splash pad	\$175,000

**APPENDIX I: TURNER HALL MAINTENANCE AND IMPROVEMENT PLAN  
(FY 2021-2025)**

Project #	Year	Project		Estimated Cost
1	FY 20/21	High	Analysis of HVAC system needs by consultant	\$12,500
2	FY 20/21	High	Replace sidewalk on Bench Street	\$7,000
3	FY 20/21	Medium	300 new chairs and storage system (replace current chairs)	\$20,000
4	FY 20/21	Medium	Exterior tuckpointing	\$35,000
<b>FY 2020-21 TOTAL</b>				<b>\$74,500</b>

Project #	Year	Project		Estimated Cost
1	FY 21/22	Medium	Energy efficiency projects	\$15,000
2	FY 21/22	Medium	Stage lighting upgrades to improve efficiency/outdated equipment	\$15,000
3	FY 21/22	Medium	Video projection system, Apple TV, wiring	\$10,000
4	FY 21/22	Medium	Exterior tuckpointing	\$35,000
<b>FY 2021-22 TOTAL</b>				<b>\$75,000</b>

Project #	Year	Project		Estimated Cost
1	FY 22/23	High	HVAC rooftop unit replacement	\$75,000
2	FY 22/23	Medium	Energy efficiency projects / upgrades / emergency repairs	\$15,000
3	FY 22/23	Medium	Stage lighting upgrades	\$10,000
<b>FY 2022-23 TOTAL</b>				<b>\$100,000</b>

Project #	Year	Project		Estimated Cost
1	FY 23/24	High	Boiler replacement	\$25,000
<b>FY 2023-24 TOTAL</b>				<b>\$25,000</b>

Project #	Year	Project		Estimated Cost
1	FY 24/25	High	Floor refinishing	\$10,000
2	FY 24/25	Medium	Exterior tuckpointing	\$35,000
<b>FY 2024-25 TOTAL</b>				<b>\$45,000</b>
<b>TOTAL 5-YEAR PLAN</b>				<b>\$319,500</b>

FUTURE PROJECTS		Project	Estimated Cost
		Building addition and remodel with kitchen, bathrooms & storage	\$500,000

Invoice	Seq	Type	Description	Invoice Date	Total Cost	PO Number	GL Account
<b>5 ALARM FIRE &amp; SAFETY EQU (173)</b>							
192545-1	1	Invoice	HURST TOOL MAINTENA	12/11/2019	875.00		22.22.652.00
Total 5 ALARM FIRE & SAFETY EQU (173):					875.00		
<b>ALL STAR POWERWASH, INC. (120167)</b>							
6916	1	Invoice	GLASS DUMPSTER PRE	12/04/2019	3,199.54		13.44.929.00
Total ALL STAR POWERWASH, INC. (120167):					3,199.54		
<b>ARTHUR MURRY DANCE STUDIO (120762)</b>							
121819	1	Invoice	DAMAGE DEPOSIT REFU	12/18/2019	300.00		58.54.929.00
Total ARTHUR MURRY DANCE STUDIO (120762):					300.00		
<b>AT &amp; T (LOCAL) (103)</b>							
121519	1	Invoice	PUBLIC WORKS/PHONE	12/15/2019	53.20		01.41.552.00
121519	2	Invoice	FIRE DEPARTMENT/PHO	12/15/2019	98.69		22.22.552.00
121519	3	Invoice	POLICE/PHONE	12/15/2019	251.85		01.21.552.00
121519	4	Invoice	FINANCE/PHONE	12/15/2019	364.70		01.13.552.00
121519	5	Invoice	FLOOD CONTROL/PHON	12/15/2019	49.24		20.25.515.00
121519	6	Invoice	ADMINISTRATION/PHON	12/15/2019	46.87		01.13.552.00
Total AT & T (LOCAL) (103):					864.55		
<b>AZAVAR AUDIT (120348)</b>							
148950	1	Invoice	REV AUDIT FEES	12/01/2019	40.89		01.11.549.00
Total AZAVAR AUDIT (120348):					40.89		
<b>BEADLE, RANDY (51)</b>							
121819	1	Invoice	CROWD MANAGER/TUR	12/18/2019	100.00		58.54.537.01
Total BEADLE, RANDY (51):					100.00		
<b>CARD SERVICE CENTER (119840)</b>							
121519	1	Invoice	CREATIVE CLOUD	12/15/2019	22.30		01.16.684.00
121519	2	Invoice	RENTLY	12/15/2019	60.00		58.54.532.00
121519	3	Invoice	BACKUP SERVICES	12/15/2019	279.00		01.13.512.04
121519	4	Invoice	ADOBE/BUILDING	12/15/2019	15.93		01.13.512.04
121519	5	Invoice	CONFERENCE REGISTR	12/15/2019	225.00		01.11.563.00
121519	6	Invoice	SIGNS	12/15/2019	195.22		01.13.511.01
121519	7	Invoice	OFFICE SUPPLIES	12/15/2019	83.24		01.13.651.02
121519	8	Invoice	NIMCA MEETING	12/15/2019	120.28		01.13.929.01
121519	9	Invoice	LIGHTING FOR GARAGE	12/15/2019	149.97		01.21.511.00
121519	10	Invoice	ELEVATOR LICENSURE	12/15/2019	133.25		01.21.511.00
121519	11	Invoice	CREDIT	12/15/2019	895.98		01.21.562.00
121519	12	Invoice	OFFICE SUPPLIES	12/15/2019	103.72		01.21.651.00
121519	13	Invoice	DIGITAL RECORDER/BAT	12/15/2019	115.30		01.21.652.03
121519	14	Invoice	MEDICAL SUPPLIES	12/15/2019	74.75		01.21.652.04
121519	15	Invoice	IDOT SOILS CLASS	12/15/2019	287.50		01.45.563.00
121519	16	Invoice	HOLIDAY DECORATIONS	12/15/2019	98.00		58.54.553.00
121519	17	Invoice	SUPPLIES	12/15/2019	309.82		58.54.654.01

Invoice	Seq	Type	Description	Invoice Date	Total Cost	PO Number	GL Account
Total CARD SERVICE CENTER (119840):					1,377.30		
<b>CHICAGO CENTRAL PACIFIC (107)</b>							
9500206097	1	Invoice	LEASE PAYMENT	12/03/2019	300.00		01.11.549.00
Total CHICAGO CENTRAL PACIFIC (107):					300.00		
<b>DEARBORN NATIONAL LIFE INS. CO (119500)</b>							
120619	1	Invoice	EMPLOYEE LIFE INSURA	12/06/2019	264.87		01.13.452.00
Total DEARBORN NATIONAL LIFE INS. CO (119500):					264.87		
<b>FOLEY'S TIRE &amp; AUTO SERVICE, INC. (119917)</b>							
13182	1	Invoice	PARKS 1-TON	12/04/2019	114.39		17.52.514.00
13200	1	Invoice	2015 1-TON TIRE	12/10/2019	117.64		01.41.613.15
Total FOLEY'S TIRE & AUTO SERVICE, INC. (119917):					232.03		
<b>GALENA CHRYSLER (82)</b>							
74920	1	Invoice	SQUAD 3 MAINTENANCE	12/09/2019	457.66		01.21.513.06
74932	1	Invoice	PARKS 1-TON	12/10/2019	75.59		17.52.514.00
74936	1	Invoice	SQUAD 2 MAINTENANCE	12/11/2019	378.76		01.21.513.06
Total GALENA CHRYSLER (82):					912.01		
<b>GLOBAL REACH INTERNET PROD. (119792)</b>							
104300	1	Invoice	WEBSITE HOSTING FEE	10/01/2019	126.80		01.13.512.05
104860	1	Invoice	EXCHANGE ON LINE	12/06/2019	100.00		51.42.532.00
104860	2	Invoice	EXCHANGE ON LINE	12/06/2019	100.00		52.43.532.00
Total GLOBAL REACH INTERNET PROD. (119792):					326.80		
<b>GUY'S TRUCK &amp; TRACTOR SERVICE (119033)</b>							
GWIO14855	1	Invoice	EQUIPMENT MAINTENAN	11/05/2019	58.83		17.52.514.00
GWIO14896	1	Invoice	2016 1-TON	11/11/2019	4.11		01.41.613.03
GWIO15005	1	Invoice	BACKHOE	11/21/2019	1,061.82		01.41.613.07
GWIO15026	1	Invoice	2011 INTERNATIONAL	11/25/2019	24.65		01.41.613.06
Total GUY'S TRUCK & TRACTOR SERVICE (119033):					1,149.41		
<b>HALSTEAD, MARY L. (119966)</b>							
122319	1	Invoice	CITY HALL JANITOR	12/23/2019	290.00		01.13.511.07
122319	2	Invoice	PUBLIC RESTROOMS AT	12/23/2019	270.00		01.13.511.08
122319	3	Invoice	MARKET HOUSE RESTR	12/23/2019	258.50		01.13.511.09
Total HALSTEAD, MARY L. (119966):					818.50		
<b>HEALTHCARE SERVICE CORPORATION (118931)</b>							
121519	1	Invoice	HSA/FAMILY/EMPLOYEE	12/15/2019	7,679.67		01.218.0
121519	2	Invoice	PPO/BLUE CROSS BLUE	12/15/2019	132.42		01.218.0
121519	3	Invoice	HEALTH INSURANCE	12/15/2019	31,248.34		01.13.451.00
121519	4	Invoice	COBRA INSURANCE	12/15/2019	1,504.97		01.13.451.04

Invoice	Seq	Type	Description	Invoice Date	Total Cost	PO Number	GL Account
Total HEALTHCARE SERVICE CORPORATION (118931):					40,565.40		
<b>HEXAGON GEOSPATIAL (120333)</b>							
0008443	1	Invoice	SOFTWARE UPDATE	12/17/2019	612.00		01.45.532.01
Total HEXAGON GEOSPATIAL (120333):					612.00		
<b>HOLLAND HEATING, AIR COND (99)</b>							
47267	1	Invoice	FURNACE INSPECTION &	12/04/2019	150.00		22.22.611.00
Total HOLLAND HEATING, AIR COND (99):					150.00		
<b>IACP (120650)</b>							
0106927	1	Invoice	DUES FOR IACP	12/13/2019	380.00		01.21.561.00
0106927	2	Invoice	DUES FOR IACP	12/13/2019	145.00		01.21.549.00
Total IACP (120650):					525.00		
<b>INDUSTRIAL AUTOMATION CO. (120752)</b>							
22-58536	1	Invoice	FLOOD CONTROL UNIT	12/10/2019	5,110.00		20.25.576.01
Total INDUSTRIAL AUTOMATION CO. (120752):					5,110.00		
<b>JO CARROLL ENERGY, INC. (397)</b>							
121519	1	Invoice	STREET LIGHTS	12/15/2019	937.76		15.41.572.00
121519	2	Invoice	CITY HALL/ELECTRIC	12/15/2019	291.82		01.13.571.01
121519	3	Invoice	PUBLIC WORKS/ELECTRI	12/15/2019	317.32		01.41.571.01
121519	4	Invoice	EMS/ELECTRIC	12/15/2019	83.49		12.10.571.01
121519	5	Invoice	PARKS/ELECTRIC	12/15/2019	196.10		17.52.571.01
121519	6	Invoice	FIRE/ELECTRIC	12/15/2019	86.80		22.22.576.01
121519	7	Invoice	POOL/ELECTRIC	12/15/2019	169.56		59.55.571.01
Total JO CARROLL ENERGY, INC. (397):					2,082.85		
<b>JO DAVIESS CTY HWY DEPT (653)</b>							
3439	2	Adjustmen	TRAFFIC MARKING	11/21/2019	820.53-		01.41.514.01
Total JO DAVIESS CTY HWY DEPT (653):					820.53-		
<b>KEEFFER, JANELLE (120130)</b>							
121819	1	Invoice	HOLIDAY DECORATIONS	12/18/2019	27.82		58.54.553.00
Total KEEFFER, JANELLE (120130):					27.82		
<b>LAWSON PRODUCTS, INC. (627)</b>							
9307213778	1	Invoice	SUPPLIES	12/03/2019	152.41		01.41.652.00
Total LAWSON PRODUCTS, INC. (627):					152.41		
<b>LEXISNEXIS RISK SOLUTIONS (376)</b>							
1343164-201	1	Invoice	INVESTIGATION PROGR	11/30/2019	31.50		01.21.652.03

Invoice	Seq	Type	Description	Invoice Date	Total Cost	PO Number	GL Account
Total LEXISNEXIS RISK SOLUTIONS (376):					31.50		
<b>LOUIE'S AGGREGATE COMPANY (1218)</b>							
5731	1	Invoice	STORM SEWER	12/06/2019	401.68		01.41.514.06
5731	2	Invoice	MISC. MATERIALS	12/06/2019	465.82		01.41.614.04
5747	1	Invoice	MISC. MATERIALS	12/06/2019	135.20		01.41.614.04
Total LOUIE'S AGGREGATE COMPANY (1218):					1,002.70		
<b>MAILFINANCE (119741)</b>							
N8044264	1	Invoice	POSTAGE METER LEASE	12/06/2019	338.85		01.13.579.02
Total MAILFINANCE (119741):					338.85		
<b>MENARDS (280)</b>							
10532	1	Invoice	LIGHTING FOR GARAGE	12/12/2019	575.92		01.21.511.00
Total MENARDS (280):					575.92		
<b>MNS CONSTRUCTION, INC. (118877)</b>							
15083	1	Invoice	SLUDGE DISPOSAL	12/05/2019	4,407.50		52.43.929.00
Total MNS CONSTRUCTION, INC. (118877):					4,407.50		
<b>MONTGOMERY TRUCKING (133)</b>							
198480	1	Invoice	REFUSE HANDLING CON	12/01/2019	14,578.63		13.44.540.04
198480	2	Invoice	RECYCLING CONTRACT	12/01/2019	5,028.91		13.44.540.00
198480	3	Invoice	DUMPSTER RENT	12/01/2019	60.00		13.44.544.03
198480	4	Invoice	DUMPSTER DISPOSAL F	12/01/2019	227.50		01.41.573.00
198480	5	Invoice	MOVE GLASS RECYCLIN	12/01/2019	125.00		13.44.929.00
Total MONTGOMERY TRUCKING (133):					20,020.04		
<b>MOTOROLA SOLUTIONS - STARCOM (119812)</b>							
46504201911	1	Invoice	MONTHLY RATE FOR STA	12/01/2019	10.00		01.21.549.00
Total MOTOROLA SOLUTIONS - STARCOM (119812):					10.00		
<b>NAPA AUTO PARTS (79)</b>							
120119	1	Invoice	2011 INT. DUMP	12/01/2019	29.98		01.41.613.06
120119	2	Invoice	EQUIPMENT	12/01/2019	60.40		17.52.514.00
120119	3	Invoice	EQUIPMENT TRADE/NEW	12/01/2019	679.00		17.52.830.01
Total NAPA AUTO PARTS (79):					769.38		
<b>PETTY CASH/POLICE DEPT. (163)</b>							
121719	1	Invoice	POSTAGE	12/17/2019	21.45		01.21.551.00
Total PETTY CASH/POLICE DEPT. (163):					21.45		
<b>PROTECH HEATING &amp; COOLING, INC. (120531)</b>							
4534	1	Invoice	ANNUAL FURNACE INSP	12/19/2019	564.00		58.54.511.00

Invoice	Seq	Type	Description	Invoice Date	Total Cost	PO Number	GL Account
Total PROTECH HEATING & COOLING, INC. (120531):					564.00		
<b>R T &amp; T REPAIRS (119911)</b>							
WI002622	1	Invoice	07 STERLING	12/05/2019	291.99		01.41.613.05
Total R T & T REPAIRS (119911):					291.99		
<b>REDFEARN TREE SERVICE &amp; NURS. (118895)</b>							
6198	1	Invoice	TREE PLANTING	12/11/2019	3,000.00		01.41.830.02
6198	2	Invoice	TREE PLANTING	12/11/2019	1,325.00		17.52.870.04
Total REDFEARN TREE SERVICE & NURS. (118895):					4,325.00		
<b>SECOND CHANCE CARDIAC SOLUTIONS (120048)</b>							
19-012-619	1	Invoice	CHILD/ADULT ELECTROD	12/08/2019	509.65		01.21.652.04
Total SECOND CHANCE CARDIAC SOLUTIONS (120048):					509.65		
<b>SPAHN &amp; ROSE LUMBER CO (1166)</b>							
291525	1	Invoice	BIKE TRAIL	12/03/2019	94.66		17.52.517.01
Total SPAHN & ROSE LUMBER CO (1166):					94.66		
<b>STEPHENSON SERVICE CO. (119230)</b>							
113019	1	Invoice	FUEL	11/30/2019	684.04		01.41.655.00
Total STEPHENSON SERVICE CO. (119230):					684.04		
<b>TOTALFUNDS BY HASLER (119730)</b>							
120119	1	Invoice	POSTAGE	12/01/2019	546.45		01.13.551.00
TOTALFUNDS BY HASLER (119730):					546.45		
<b>TRI-STATE PORTA POTTY, INC. (908)</b>							
7916	1	Invoice	TRAINING CENTER PORT	12/10/2019	190.00		22.22.652.00
Total TRI-STATE PORTA POTTY, INC. (908):					190.00		
<b>TRI-STATE SHRED (119848)</b>							
37758	1	Invoice	SHREDDING OLD RECO	12/04/2019	304.65		01.21.549.00
Total TRI-STATE SHRED (119848):					304.65		
<b>US BANK TRUST (421)</b>							
1501686	1	Invoice	BOND PAYMENTS	11/07/2019	35,294.00		60.01.710.11
1501686	2	Invoice	BOND PAYMENTS	11/07/2019	35,294.00		52.43.716.01
1501686	3	Invoice	BOND PAYMENTS	11/07/2019	29,412.00		60.41.710.05
1501686	4	Invoice	BOND PAYMENTS	11/07/2019	1,712.00		60.01.720.11
1501686	5	Invoice	BOND PAYMENTS	11/07/2019	1,712.00		52.43.742.01
1501686	6	Invoice	BOND PAYMENTS	11/07/2019	1,426.00		60.41.720.05
Total US BANK TRUST (421):					104,850.00		

Invoice	Seq	Type	Description	Invoice Date	Total Cost	PO Number	GL Account
<b>US WATER (120719)</b>							
903129	1	Invoice	SEWER CONTRACT	12/01/2019	28,956.74		52.43.515.01
903129	2	Invoice	WATER CONTRACT	12/01/2019	28,956.74		51.42.515.00
903557	1	Invoice	SLUDGE DISPOSAL	12/10/2019	6,112.80		52.43.929.00
Total US WATER (120719):					64,026.28		
<b>VALLEY PERENNIALS (118994)</b>							
112619	1	Invoice	HOLIDAY DECORATIONS	11/26/2019	3,064.45		01.11.929.07
Total VALLEY PERENNIALS (118994):					3,064.45		
<b>VERIZON WIRELESS (316)</b>							
121519	1	Invoice	FIRE DEPARTMENT/VERI	12/15/2019	76.02		22.22.652.00
121519	2	Invoice	VERIZON JET PACK FOR	12/15/2019	38.01		01.21.652.03
9843122426	1	Invoice	PAY & DISPLAY	12/20/2019	75.10		53.48.555.00
Total VERIZON WIRELESS (316):					189.13		
<b>WAL-MART COMMUNITY (CC) (1258)</b>							
121519	1	Invoice	CITY HALL LIGHTS	12/15/2019	230.40		01.13.511.01
121519	2	Invoice	JANITOR SUPPLIES	12/15/2019	29.52		01.13.654.00
121519	3	Invoice	SUPPLIES	12/15/2019	14.79		01.13.654.01
121519	4	Invoice	OFFICE SUPPLIES	12/15/2019	61.91		01.21.651.00
121519	5	Invoice	SUPPLIES	12/15/2019	349.70		01.41.652.00
121519	6	Invoice	SUPPLIES	12/15/2019	42.72		58.54.654.01
Total WAL-MART COMMUNITY (CC) (1258):					729.04		
<b>WEIGAND, BARBARA (120639)</b>							
121819	1	Invoice	DEPOSIT REFUND	12/18/2019	200.00		58.54.929.00
Total WEIGAND, BARBARA (120639):					200.00		
<b>WHITE CONSTRUCTION CO., INC. (119359)</b>							
122319	1	Invoice	TURNER HALL JANITORI	12/23/2019	350.00		58.54.536.00
Total WHITE CONSTRUCTION CO., INC. (119359):					350.00		
<b>WHITE, GREGG &amp; JANE (120763)</b>							
121519	1	Invoice	CREDIT BALANCE REFU	12/15/2019	81.07		98.115.0
Total WHITE, GREGG & JANE (120763):					81.07		
<b>WHITE'S LAWN CARE (119092)</b>							
12414	1	Invoice	TRAFFIC SIGNAL MAINT	12/01/2019	120.00		15.41.514.06
Total WHITE'S LAWN CARE (119092):					120.00		
<b>WORKSHOP, THE (120647)</b>							
46556	1	Invoice	FIRE/RUG SERVICE	11/30/2019	137.50		22.22.538.01
46556	2	Invoice	POLICE/RUG SERVICE	11/30/2019	66.00		01.21.651.00
46556	3	Invoice	TURNER HALL/RUG SER	11/30/2019	29.70		58.54.654.01
46607	1	Invoice	SR. VAN TRANSP.	11/30/2019	833.00		01.13.542.00

Invoice	Seq	Type	Description	Invoice Date	Total Cost	PO Number	GL Account
Total WORKSHOP, THE (120647):					1,066.20		
<b>YOUNG, THERESA (120764)</b>							
121819	1	Invoice	CREDIT BALANCE REFU	12/18/2019	5.04		98.115.0
Total YOUNG, THERESA (120764):					5.04		
<b>ZIER'S TEST LANE (119286)</b>							
8924-6	1	Invoice	TRUCK TEST INSPECTIO	12/13/2019	21.00		01.41.652.05
Total ZIER'S TEST LANE (119286):					21.00		
Grand Totals:					<u>268,555.84</u>		

Report GL Period Summary

Vendor number hash: 4805489  
 Vendor number hash - split: 8054520  
 Total number of invoices: 64  
 Total number of transactions: 120

Terms Description	Invoice Amount	Net Invoice Amount
Open Terms	268,555.84	268,555.84
Grand Totals:	<u>268,555.84</u>	<u>268,555.84</u>